

6-9-2011

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Ragar-Olaf Buchweitz  
*University of Toronto*

Graham J. Leuschke  
*Syracuse University*

Michel Van Den Bergh  
*Universiteit Hasselt*

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## Recommended Citation

Buchweitz, Ragar-Olaf; Leuschke, Graham J.; and Van Den Bergh, Michel, "Non-Commutative Desingularization of Determinantal Varieties, II" (2011). *Mathematics - Faculty Scholarship*. 41.  
<https://surface.syr.edu/mat/41>

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# NON-COMMUTATIVE DESINGULARIZATION OF DETERMINANTAL VARIETIES II

RAGNAR-OLAF BUCHWEITZ, GRAHAM J. LEUSCHKE, AND MICHEL VAN DEN BERGH

ABSTRACT. In our paper “Non-commutative desingularization of determinantal varieties I” we constructed and studied non-commutative resolutions of determinantal varieties defined by maximal minors. At the end of the introduction we asserted that the results could be generalized to determinantal varieties defined by non-maximal minors, at least in characteristic zero. In this paper we prove the *existence* of non-commutative resolutions in the general case in a manner which is still characteristic free. The explicit description of the resolution by generators and relations is deferred to a later paper. As an application of our results we prove that there is a fully faithful embedding between the bounded derived categories of the two canonical (commutative) resolutions of a determinantal variety, confirming a well-known conjecture of Bondal and Orlov in this special case.

## 1. INTRODUCTION

Let  $K$  be a field and let  $F, G$  be two  $K$ -vector spaces of ranks  $m$  and  $n$  respectively. We take unadorned tensor products over  $K$  and denote by  $(-)^{\vee}$  the  $K$ -dual. Put  $H = \text{Hom}_K(G, F)$ , viewed as the affine variety of  $K$ -rational points of  $\text{Spec} S$ , where  $S = \text{Sym}_K(H^{\vee})$  is isomorphic to a polynomial ring in  $mn$  indeterminates. The *generic  $S$ -linear map*  $\varphi: G \otimes S \rightarrow F \otimes S$  corresponds to multiplication by the generic  $(m \times n)$ -matrix comprising those indeterminates.

Fix a non-negative integer  $l < \min(m, n)$ , and let  $\text{Spec} R$  be the locus in  $\text{Spec} S$  where  $\wedge^{l+1} \varphi = 0$ . Then  $R$  is the quotient of  $S$  by the ideal of  $(l+1)$ -minors of the generic  $(m \times n)$ -matrix. It is a classical result that  $R$  is Cohen-Macaulay of codimension  $(n-l)(m-l)$ , with singular locus defined by the  $l$ -minors of the generic matrix; in particular  $R$  is smooth in codimension 2.

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*Date:* June 10, 2011.

*2000 Mathematics Subject Classification.* Primary: 14A22, 14E15, 14C40, 16S38; Secondary: 13C14, 14M15, 15A75.

The first author was partly supported by NSERC grant 3-642-114-80. The second author was partly supported by NSF grant DMS 0902119. The third author is director of research at the FWO.

In this paper we consider some natural  $R$ -modules. For a partition  $\alpha = (\alpha_1, \dots, \alpha_r)$  and a vector space  $V$ , write

$$\wedge^\alpha V = \wedge^{\alpha_1} V \otimes \dots \otimes \wedge^{\alpha_r} V.$$

Let  $\alpha'$  denote the conjugate partition of  $\alpha$ , and  $\wedge^{\alpha'} \varphi^\vee : \wedge^{\alpha'} F^\vee \otimes S \rightarrow \wedge^{\alpha'} G^\vee \otimes S$  the natural map induced by  $\varphi$ . Define

$$T_\alpha = \text{image} \left( \wedge^{\alpha'} F^\vee \otimes R \xrightarrow{\left( \wedge^{\alpha'} \varphi^\vee \right) \otimes R} \wedge^{\alpha'} G^\vee \otimes R \right).$$

Our first main result generalizes [3, Theorem A], and shows that general determinantal varieties admit a *non-commutative desingularization* in the following sense. Let  $B_{u,v}$  be the set of all partitions with at most  $u$  rows and at most  $v$  columns and set

$$T = \bigoplus_{\alpha \in B_{l,m-l}} T_\alpha \quad \text{and} \quad E = \text{End}_R(T)^\circ.$$

**Theorem A.** *For  $m \leq n$ , the endomorphism ring  $E = \text{End}_R(T)^\circ$  is maximal Cohen-Macaulay as an  $R$ -module, and has moreover finite global dimension.*

*In particular  $T_\alpha$  is a maximal Cohen-Macaulay  $R$ -module for each  $\alpha \in B_{l,m-l}$ .*

If  $m = n$  then  $R$  is Gorenstein; in this case  $E$  is an example of a *non-commutative crepant resolution* as defined in [12].

The  $R$ -module  $T_\alpha$  is in general far from indecomposable. Denote by  $L_\alpha V$  the irreducible  $\text{GL}(V)$ -module corresponding to a partition  $\alpha$  (Schur module [14]), and assume for a moment that  $K$  has characteristic zero. Then it follows from Pieri's formula that  $\wedge^{\alpha'} V$  is a direct sum of suitable  $L_\beta V$  for  $\beta \leq \alpha$  with  $L_\alpha V$  appearing with multiplicity one. Hence if we put

$$N_\alpha = \text{image} \left( L_\alpha(F^\vee) \otimes R \xrightarrow{(L_\alpha(\varphi^\vee)) \otimes R} L_\alpha(G^\vee) \otimes R \right)$$

then in characteristic zero  $T_\alpha$  is a direct sum of  $N_\beta$  for  $\beta \leq \alpha$  with  $N_\alpha$  appearing with multiplicity one. In particular we obtain that  $N_\alpha$  is maximal Cohen-Macaulay. This is false in small characteristic; see Remark 4.7 below where we make the connection with Weyman's work [14, §6].

If we set  $N = \bigoplus_{\alpha \in B_{l,m-l}} N_\alpha$ , then  $\text{End}_R(N)^\circ$  is Morita equivalent to  $\text{End}_R(T)^\circ$ . Clearly Theorem A remains valid in characteristic zero if we replace  $T$  by  $N$ .

Now let  $K$  be general again. We have taken care to state Theorem A in algebraic language but as in [3] we are only able to prove these results by invoking algebraic geometry, i.e. by constructing a suitable tilting bundle on the Springer resolution of  $\text{Spec} R$ .

Write  $\mathbb{G} = \text{Grass}(l, F) \cong \text{Grass}(l, m)$  for the Grassmannian variety of  $l$ -dimensional subspaces of  $F$ , and let  $\pi: \mathbb{G} \rightarrow K$  be the structure morphism to the base scheme  $\text{Spec} K$ . On  $\mathbb{G}$  we have a tautological exact sequence of vector bundles

$$(1.1.1) \quad 0 \rightarrow \mathcal{R} \rightarrow \pi^* F^\vee \rightarrow \mathcal{Q} \rightarrow 0$$

whose fiber above a point  $(V \subset F) \in \mathbb{G}$  is the short exact sequence  $0 \rightarrow (F/V)^\vee \rightarrow F^\vee \rightarrow V^\vee \rightarrow 0$ . We first prove the following extension of a result due to Kapranov in characteristic zero [10].

**Theorem B.** *The  $\mathcal{O}_{\mathbb{G}}$ -module*

$$\mathcal{T}_0 = \bigoplus_{\alpha \in B_{l, m-l}} \wedge^{\alpha'} \mathcal{Q}$$

*is a classical tilting bundle on  $\mathbb{G}$ , i.e.*

- (i)  $\mathcal{T}_0$  classically generates the derived category  $\mathcal{D}^b(\text{coh } \mathbb{G})$ , in that the smallest thick subcategory of  $\mathcal{D}^b(\text{coh } \mathbb{G})$  containing  $\mathcal{T}_0$  is  $\mathcal{D}^b(\text{coh } \mathbb{G})$ , and
- (ii)  $\text{Hom}_{\mathcal{D}^b(\text{coh } \mathbb{G})}(\mathcal{T}_0, \mathcal{T}_0[i]) = 0$  for  $i \neq 0$ .

From this we derive our main geometric result. Set  $\mathcal{Y} = \mathbb{G} \times_{\text{Spec} K} H$ , with the canonical projections  $p: \mathcal{Y} \rightarrow \mathbb{G}$  and  $q: \mathcal{Y} \rightarrow H$ . Define the *incidence variety*

$$\mathcal{Z} = \{(V, \theta) \in \mathbb{G} \times_{\text{Spec} K} H \mid \text{image } \theta \subset V\} \subseteq \mathcal{Y}$$

and denote by  $j$  the natural inclusion  $\mathcal{Z} \rightarrow \mathcal{Y}$ . The composition  $q' = qj: \mathcal{Z} \rightarrow H$  is then a birational isomorphism from  $\mathcal{Z}$  onto its image  $q'(\mathcal{Z}) = \text{Spec} R$ , while  $p' = pj: \mathcal{Z} \rightarrow \mathbb{G}$  is a vector bundle (with zero section  $\theta = 0$ ). Figure 1.1 summarizes the schemes and maps we have defined. We call  $\mathcal{Z}$  the *Springer resolution* of  $\text{Spec} R$ .

**Theorem C.** *The  $\mathcal{O}_{\mathcal{Z}}$ -module*

$$\mathcal{T} = p'^* \left( \bigoplus_{\alpha \in B_{l, m-l}} \wedge^{\alpha'} \mathcal{Q} \right)$$

*is a classical tilting bundle on  $\mathcal{Z}$ , and furthermore*

- (i)  $T \cong \mathbf{R}q'_* \mathcal{T}$ , and

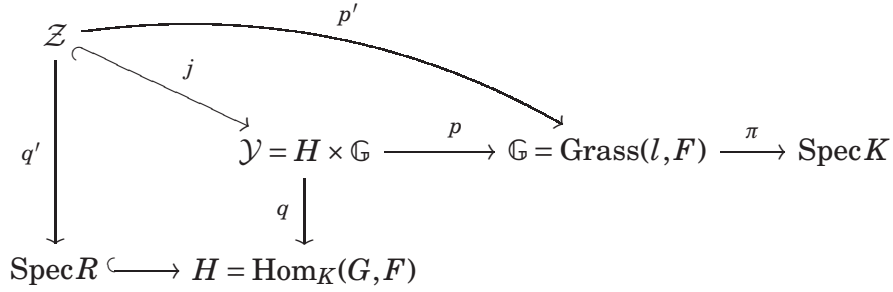


FIGURE 1.1.

(ii)  $E \cong \text{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^\circ$ .

The proofs of Theorems A and C are substantially simpler than the corresponding ones in [3], even in the case of maximal minors.

As  $H = \text{Hom}_K(G, F)$  is canonically isomorphic to  $\text{Hom}_K(F^\vee, G^\vee)$  we obtain a second Springer resolution map  $q'_2: \mathcal{Z}_2 \rightarrow \text{Spec } R$  by replacing  $(F, G)$  with  $(G^\vee, F^\vee)$ . As an application of Theorem C, we prove the following result.

**Theorem D.** *Put  $\widehat{\mathcal{Z}} = \mathcal{Z} \times_H \mathcal{Z}_2$ . If  $m \leq n$  then the Fourier-Mukai transform with kernel  $\mathcal{O}_{\widehat{\mathcal{Z}}}$  induces a fully faithful embedding  $\mathcal{D}^b(\text{coh } \mathcal{Z}) \hookrightarrow \mathcal{D}^b(\text{coh } \mathcal{Z}_2)$ .*

A general conjecture by Bondal and Orlov [2] asserts that a flip between algebraic varieties induces a fully faithful embedding between their derived categories. It is not hard to see that the birational map  $\mathcal{Z}_2 \rightarrow \mathcal{Z}$  is a flip, so we obtain a confirmation of the Bondal-Orlov conjecture in this special case.

In characteristic zero, we know how to describe explicitly the non-commutative desingularization as a quiver algebra with relations, as in our earlier paper [3]. This is deferred to a later paper as we want to keep the current one characteristic-free.

Characteristic-freeness complicates the representation theory somewhat, so we include a short section on the preliminaries we require, including Kempf's vanishing result and the characteristic-free versions of the Cauchy formula and Littlewood-Richardson rule. These are used to prove Theorem B in the third section. Section 4 proves Theorems A and C, and the last section contains the proof of Theorem D.

We are grateful to Vincent Franjou, Catharina Stroppel, and Antoine Touzé for help with references.

## 2. PRELIMINARIES ON ALGEBRAIC GROUPS

Throughout we use [8] as a convenient reference for facts about algebraic groups. If  $H \subseteq G$  is an inclusion of algebraic groups over the ground field  $K$ , then the restriction functor from rational  $G$ -modules to rational  $H$ -modules has a right adjoint denoted by  $\mathrm{ind}_H^G$  ([8, I.3.3]). Its right derived functors are denoted by  $\mathbf{R}^i \mathrm{ind}_H^G$ . For an inclusion of groups  $K \subseteq H \subseteq G$  and  $M$  a rational  $K$ -representation there is a spectral sequence [8, I.4.5(c)]

$$(2.0.1) \quad E_2^{pq} : \mathbf{R}^p \mathrm{ind}_H^G \mathbf{R}^q \mathrm{ind}_K^H M \implies \mathbf{R}^{p+q} \mathrm{ind}_K^G M.$$

If  $G/H$  is a scheme and  $V$  is a finite-dimensional representation of  $H$  then  $\mathcal{L}_{G/H}(V)$  is by definition the  $G$ -equivariant vector bundle on  $G/H$  given by the sections of  $(G \times V)/H$ . The functor  $\mathcal{L}_{G/H}(-)$  defines an equivalence between the finite-dimensional  $H$ -representations and the  $G$ -equivariant vector bundles on  $G/H$ . The inverse of this functor is given by taking the fiber in  $[H]$ .

If  $G/H$  is a scheme then  $\mathbf{R}^i \mathrm{ind}_H^G$  may be computed as [8, Prop. I.5.12]

$$(2.0.2) \quad \mathbf{R}^i \mathrm{ind}_H^G M = H^i(G/H, \mathcal{L}_{G/H}(M)).$$

We now assume that  $G$  is a split reductive group with a given split maximal torus and corresponding Borel subgroup,  $T \subseteq B \subseteq G$ . We let  $X(T)$  be the character group of  $T$  and we identify the elements of  $X(T)$  with the one-dimensional representations of  $T$ . The set of roots (the weights of  $\mathrm{Lie}G$ ) is denoted by  $\Delta$ . We have  $\Delta = \Delta^- \amalg \Delta^+$  where the negative roots  $\Delta^-$  represent the roots of  $\mathrm{Lie}B$ . For  $\rho \in \Delta$  we denote the corresponding coroot in  $Y(T) = \mathrm{Hom}(X(T), \mathbb{Z})$  [8, II.1.3] by  $\rho^\vee$ . The natural pairing between  $X(T)$  and  $Y(T)$  is denoted by  $\langle -, - \rangle$ . A weight  $\alpha \in X(T)$  is dominant if  $\langle \alpha, \rho^\vee \rangle \geq 0$  for all positive roots  $\rho$ . The set of dominant weights is denoted by  $X(T)_+$ , and for a dominant weight  $\alpha$ , let  $\mathcal{L}_{G/B}(\alpha)$  denote the corresponding vector bundle on  $G/B$ . We define  $\mathrm{ind}_B^G \alpha$  similarly.

The following is the celebrated Kempf vanishing result ([11], see also [8, II.4.5]).

**Theorem 2.1.** *If  $\alpha \in X(T)_+$  then  $\mathbf{R}^i \mathrm{ind}_B^G \alpha = H^i(G/B, \mathcal{L}_{G/B}(\alpha))$  vanishes for  $i > 0$ .*

We will need the following characteristic-free version of the Cauchy formula and the Littlewood-Richardson rule. See [14, 2.3.2, 2.3.4].

**Theorem 2.2** (Boffi [1], Doubilet-Rota-Stein [5]). *Let  $V$  and  $W$  be  $K$ -vector spaces and let  $\alpha$  and  $\beta$  be partitions.*

- (i) *There is a natural filtration on  $\text{Sym}_t(V \otimes W)$  whose associated graded object is a direct sum with summands tensor products  $L_\gamma V \otimes L_\delta W$  of Schur functors.*
- (ii) *There is a natural filtration on  $L_\alpha V \otimes L_\beta V$  whose associated graded object is a direct sum of Schur functors  $L_\gamma V$ . The  $\gamma$  that appear, and their multiplicities, can be computed using the usual Littlewood-Richardson rule.*

In a filtration as in (ii) above, we may assume by [8, II.4.16, Remark (4)] that the  $L_\gamma V$  which appear are in decreasing order for the lexicographic ordering on partitions, that is, the largest  $\gamma$  appears on top.

### 3. A TILTING BUNDLE FOR GRASSMANNIANS

In this section we prove Theorem B, the existence of a characteristic-free tilting bundle on the Grassmannian  $\mathbb{G}$ . We freely use the notations established in the previous sections. The proof depends on the following vanishing result which we will also use later on.

**Proposition 3.1.** *Let  $\alpha \in B_{l, m-l}$  and let  $\delta$  be any partition. Then for all  $i > 0$  one has*

$$H^i\left(\mathbb{G}, \left(\wedge^{\alpha'} \mathcal{Q}\right)^\vee \otimes_{\mathcal{O}_{\mathbb{G}}} L_\delta \mathcal{Q}\right) = 0.$$

Before beginning the proof we introduce some more notation. We will identify  $\mathbb{G} = \text{Grass}(l, F)$  with  $\text{Grass}(m-l, F^\vee)$  via the isomorphism  $(V \subset F) \mapsto ((F/V)^\vee \subset F^\vee)$ .

For convenience we choose a basis  $(f_i)_{i=1, \dots, m}$  for  $F$  and a corresponding dual basis  $(f_i^*)_{i=1, \dots, m}$  for  $F^\vee$ . We view  $\mathbb{G}$  as the homogeneous space  $G/P$  with  $G = \text{GL}(m)$  and  $P \subset G$  the parabolic subgroup stabilizing the point  $(W \subset F^\vee) \in \mathbb{G}$ , where  $W$  is spanned by  $f_{l+1}^*, \dots, f_m^*$ . We let  $T$  and  $B$  be respectively the diagonal matrices and the lower triangular matrices in  $G$ . We identify  $X(T)$  and  $Y(T)$  with  $\mathbb{Z}^m$ , denoting by  $\varepsilon_i$  the  $i^{\text{th}}$  standard basis element. Thus  $\sum_i a_i \varepsilon_i$  corresponds to the character  $\text{diag}(z_1, \dots, z_m) \mapsto z_1^{a_1} \cdots z_m^{a_m}$ . Under this identification roots and coroots coincide and are given by  $\varepsilon_i - \varepsilon_j$ ,  $i \neq j$ , a root being positive if  $i < j$ . The

pairing between  $X(T)$  and  $Y(T)$  is the standard Euclidean scalar product and hence  $X(T)_+ = \{\sum_i a_i \varepsilon_i \mid a_i \geq a_j \text{ for } i \leq j\}$ .

Let  $H = G_1 \times G_2 = \mathrm{GL}(l) \times \mathrm{GL}(m-l) \subset \mathrm{GL}(m)$  be the Levi-subgroup of  $P$  containing  $T$ . We put  $B_i = B \cap G_i$ ,  $T_i = T \cap G_i$ .

We fix another parabolic subgroup  $P^\circ$  in  $G$ , given by the stabilizer of the flag spanned by  $f_p^*, \dots, f_m^*$  for  $p = 1, \dots, l$ . We let  $G^\circ = \mathrm{GL}(m-l+1) \subset P^\circ \subset G = \mathrm{GL}(m)$  be the lower right  $(m-l+1 \times m-l+1)$ -block in  $\mathrm{GL}(m)$ . We put  $T^\circ = T \cap G^\circ$ ,  $B^\circ = B \cap G^\circ$ , i.e.  $B^\circ$  is the set of lower triangular matrices in  $G^\circ$  and  $T^\circ$  is the set of diagonal matrices.

We also recall the following result. Cf. [6, §4, §4.8], [14, (4.1.10)].

**Proposition 3.2.** *Let  $\delta = (\delta_1, \dots, \delta_m)$  be a partition and let  $\tilde{\delta} = \sum_i \delta_i \varepsilon_i$  be the corresponding weight. Then*

$$L_\delta(F^\vee) = \mathrm{ind}_B^G \tilde{\delta}.$$

□

*Proof of Proposition 3.1.* Using the identity

$$(\wedge^a \mathcal{Q})^\vee = \wedge^{l-a} \mathcal{Q} \otimes (\wedge^l \mathcal{Q})^\vee$$

and Theorem 2.2(ii) we reduce immediately to the case  $\alpha'_1 = \dots = \alpha'_{m-l} = l$ . The tautological exact sequence (1.1.1) lets us write

$$(\wedge^l \mathcal{Q})^\vee = \wedge^m F \otimes \wedge^{m-l} \mathcal{R}.$$

Thus we need to prove that

$$L_\delta \mathcal{Q} \otimes \wedge^{(m-l, \dots, m-l)} \mathcal{R}$$

(with  $m-l$  instances of “ $m-l$ ”) has vanishing higher cohomology. Using (2.0.2) we see that we must prove that for  $i > 0$  we have

$$(3.2.1) \quad \mathbf{R}^i \mathrm{ind}_P^G \left( L_\delta \mathcal{Q}_x \otimes \wedge^{(m-l, \dots, m-l)} \mathcal{R}_x \right) = 0,$$

where  $x = [P] \in G/P = \mathbb{G}$ . Since  $\mathcal{Q}$  has rank  $l$ , we may assume that  $\delta$  has at most  $l$  entries. As above we write  $\tilde{\delta} = \sum_{i=1}^l \delta_i \varepsilon_i \in X(T_1)$  for the corresponding weight. Let  $\sigma \in X(T_2)$  be given by  $(m-l) \sum_{i=l+1}^m \varepsilon_i$  and put  $\bar{\delta} = \tilde{\delta} + \sigma \in X(T)$ .



As  $P/B \cong (G_1 \times G_2)/(B_1 \times B_2)$  we have

$$\begin{aligned} L_\delta \mathcal{Q}_x \otimes \wedge^{(m-l, \dots, m-l)} \mathcal{R}_x &= \text{ind}_{B_1}^{G_1} \tilde{\delta} \otimes \text{ind}_{B_2}^{G_2} \sigma \\ &= \text{ind}_B^P \bar{\delta}. \end{aligned}$$

The positive roots of  $G_1$  are of the form  $\varepsilon_i - \varepsilon_j$  with  $i < j$  and  $1 \leq i, j \leq l$ . Similarly the positive roots of  $G_2$  are of the form  $\varepsilon_i - \varepsilon_j$  with  $i < j$  and  $l+1 \leq i, j \leq m-l$ . It follows that  $\bar{\delta}$  is dominant when viewed as a weight for  $T$  considered as a maximal torus in  $H = G_1 \times G_2$ . So Kempf vanishing implies that  $\mathbf{R}^i \text{ind}_B^P \bar{\delta} = \mathbf{R}^i \text{ind}_{B_1 \times B_2}^{G_1 \times G_2} \bar{\delta} = 0$  for all  $i > 0$ .

Thus the spectral sequence (2.0.1) degenerates and we obtain

$$(3.2.2) \quad \mathbf{R}^i \text{ind}_P^G \left( L_\delta \mathcal{Q}_x \otimes \wedge^{(m-l, \dots, m-l)} \mathcal{R}_x \right) = \mathbf{R}^i \text{ind}_B^G \bar{\delta}.$$

Thus if  $\bar{\delta}$  is dominant (i.e.  $\delta_l \geq m-l$ ) then the desired vanishing (3.2.1) follows by invoking Kempf vanishing again.

Assume then that  $\bar{\delta}$  is not dominant, i.e.  $0 \leq \delta_l < m-l$ . We claim that  $\mathbf{R}^i \text{ind}_B^{P^\circ} \bar{\delta} = 0$  for all  $i$ . Then by the spectral sequence (2.0.1) applied to  $B \subset P^\circ \subset G$  we obtain that  $\mathbf{R}^i \text{ind}_B^G \bar{\delta} = 0$  for all  $i$ .

To prove the claim we note that  $P^\circ/B \cong G^\circ/B^\circ$  and hence  $\mathbf{R}^i \text{ind}_B^{P^\circ} \bar{\delta} = \mathbf{R}^i \text{ind}_{B^\circ}^{G^\circ} (\bar{\delta} | T^\circ)$ . In other words we have reduced ourselves to the case  $l = 1$  (replacing  $m$  by  $m-l+1$ ).

We therefore assume  $l = 1$ , so that  $\mathbb{G} = \mathbb{P}^{m-1}$ . The partition  $\delta$  consists of a single entry  $\delta_1$  and  $\sigma = \sum_{i=2}^m (m-1)\varepsilon_i$ . Under the assumption  $\delta_1 < m-1$  we have to prove  $\mathbf{R}^i \text{ind}_B^G \bar{\delta} = 0$  for all  $i$ . Applying (3.2.2) in reverse this means we have to prove that

$$\mathcal{Q}^{\otimes \delta_1} \otimes \left( \wedge^{(m-1, \dots, m-l)} \mathcal{R} \right)$$

has vanishing cohomology on  $\mathbb{P}^{m-1}$ . We now observe that the tautological sequence (1.1.1) on  $\mathbb{P}^{m-1}$  takes the form

$$0 \longrightarrow \Omega_{\mathbb{P}^{m-1}}(1) \longrightarrow \mathcal{O}_{\mathbb{P}^{m-1}}^m \longrightarrow \mathcal{O}_{\mathbb{P}^{m-1}}(1) \longrightarrow 0,$$

so that in particular

$$\wedge^{m-1} \mathcal{R} = \wedge^{m-1} (\Omega_{\mathbb{P}^{m-1}}(1)) = \mathcal{O}_{\mathbb{P}^{m-1}}(-1)$$

and so

$$\mathcal{Q}^{\otimes \delta_1} \otimes \wedge^{m-l} \mathcal{R} \otimes \dots \otimes \wedge^{m-l} \mathcal{R} = \mathcal{O}_{\mathbb{P}^{m-1}}(-m+1+\delta_1).$$

It is standard that this line bundle has vanishing cohomology when  $\delta_1 < m-1$ . □

*Proof of Theorem B.* The main thing to prove is that  $\text{Ext}_{\mathcal{O}_{\mathbb{G}}}^i(\mathcal{T}_0, \mathcal{T}_0) = 0$  for  $i \neq 0$ . It follows from the usual spectral sequence argument that  $\text{Ext}_{\mathcal{O}_{\mathbb{G}}}^i(\mathcal{T}_0, \mathcal{T}_0)$  is the  $i^{\text{th}}$  cohomology of  $\text{Hom}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0, \mathcal{T}_0) = \mathcal{T}_0^\vee \otimes \mathcal{T}_0$ . Applying Theorem 2.2(ii) we see that it suffices to prove that  $\mathcal{T}_0^\vee \otimes L_\delta \mathcal{Q}$  has vanishing higher cohomology whenever  $\delta$  is a partition with at most  $l$  rows. This is the content of Proposition 3.1.

Kapranov's resolution of the diagonal argument implies that  $\mathcal{T}_0$  still classically generates  $\mathcal{D}^b(\text{coh}(\mathbb{G}))$  [9, §4]. For this, we must show that  $L_\alpha \mathcal{Q}$  for  $\alpha \in B_{l, m-l}$  is in the thick subcategory  $\mathcal{C}$  generated by  $\mathcal{T}$ . Assume this is not the case and let  $\alpha$  be minimal for the lexicographic ordering on partitions such that  $L_\alpha \mathcal{Q}$  is *not* in  $\mathcal{C}$ .

Let  $\alpha' = (\alpha'_1, \dots, \alpha'_{m-l})$  be the dual partition and consider  $\mathcal{U} = \wedge^{\alpha'_1} \mathcal{Q} \otimes \dots \otimes \wedge^{\alpha'_{m-l}} \mathcal{Q}$ . By Theorem 2.2(ii) and the comment following,  $\mathcal{U}$  maps surjectively to  $L_\alpha \mathcal{Q}$  and the kernel is an extension of various  $L_\beta \mathcal{Q}$  with  $\beta < \alpha$ . (Pieri's formula, which is a special case of the Littlewood-Richardson rule, implies that  $L_\alpha \mathcal{Q}$  appears with multiplicity one in  $\mathcal{U}$ .) By the hypotheses all such  $L_\beta \mathcal{Q}$  are in  $\mathcal{C}$ . Since  $\mathcal{U}$  is in  $\mathcal{C}$  as well we obtain that  $L_\alpha \mathcal{Q}$  is in  $\mathcal{C}$ , which is a contradiction.  $\square$

Kapranov [10] shows that

$$\mathcal{T}'_0 = \bigoplus_{\alpha \in B_{l, m-l}} L_\alpha \mathcal{Q}$$

is a tilting bundle on  $\mathbb{G}$  when  $K$  has characteristic zero. For fields of positive characteristic  $p$ , Kaneda [9] shows that  $\mathcal{T}'_0$  remains tilting as long as  $p \geq m - 1$ . However  $\mathcal{T}'_0$  fails to be tilting in very small characteristics.

**Example 3.3.** Assume that  $K$  has characteristic 2 and put  $\mathbb{G} = \text{Grass}(2, 4)$ . Then the short exact sequence

$$(3.3.1) \quad 0 \longrightarrow \wedge^2 \mathcal{Q} \longrightarrow \mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{Q} \longrightarrow \text{Sym}_2 \mathcal{Q} \longrightarrow 0$$

is non-split. In particular  $\text{Ext}_{\mathcal{O}_{\mathbb{G}}}^1(\text{Sym}_2 \mathcal{Q}, \wedge^2 \mathcal{Q}) \neq 0$ , so that  $\text{Sym}_2 \mathcal{Q}$  and  $\wedge^2 \mathcal{Q}$  are not common direct summands of a tilting bundle on  $\mathbb{G}$ .

To see that (3.3.1) is not split, tensor with  $(\wedge^2 \mathcal{Q})^\vee$  to obtain the sequence

$$(3.3.2) \quad 0 \longrightarrow \mathcal{O}_{\mathbb{G}} \longrightarrow \text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q}) \longrightarrow (\wedge^2 \mathcal{Q})^\vee \otimes \text{Sym}_2 \mathcal{Q} \longrightarrow 0$$

where the leftmost map is the obvious one. Any splitting of the inclusion  $\mathcal{O}_{\mathbb{G}} \rightarrow \text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$  is of the form  $\text{Tr}(a-)$ , where  $\text{Tr}$  is the reduced trace and  $a$  is an element of  $\text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$  such that  $\text{Tr}(a) = 1$ . Hence it is sufficient to prove that  $\text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q}) = K$  since in that case we have  $\text{Tr}(a) = 0$  for any  $a \in \text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$ .

By (the proof of) Proposition 3.1 we have  $H^i(\mathbb{G}, (\wedge^2 \mathcal{Q})^\vee \otimes \text{Sym}_2 \mathcal{Q}) = 0$  for all  $i \geq 0$  (observe that if we go through the proof we obtain a situation where  $\bar{\delta}$  is not dominant, whence all cohomology vanishes) and of course we also have  $H^0(\mathbb{G}, \mathcal{O}_{\mathbb{G}}) = K$ . Applying  $H^0(\mathbb{G}, -)$  to (3.3.2) thus shows  $\text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q}) = K$ .

**Remark 3.4.** By [4, Lemma (3.4)] we obtain (at least when  $K$  is algebraically closed) a more economical tilting bundle for  $\mathbb{G}$ ,

$$\tilde{\mathcal{T}} = \bigoplus_{\alpha \in B_{l,m-l}} \mathcal{L}_{\mathbb{G}}(M(\alpha)),$$

where  $M(\alpha)$  is the tilting  $\text{GL}(l)$ -representation with highest weight  $\alpha$ . Note however that the character of  $M(\alpha)$  strongly depends on the characteristic, whence so does the nature of  $\tilde{\mathcal{T}}$ .

#### 4. A TILTING BUNDLE ON THE RESOLUTION

To prove Theorem C, keep all the notation introduced there. One easily verifies that

$$\mathcal{Z} = \underline{\text{Spec}}(\text{Sym}_{\mathbb{G}}(G \otimes Q));$$

indeed, a closed point of the right-hand side consists of a pair  $(V \subset F, \theta)$ , where  $(V \subset F) \in \mathbb{G}$  and  $\theta$  is an element of the fiber of  $(G \otimes Q)^\vee$  over the point  $(V \subset F)$ . That fiber is  $(G \otimes V^\vee)^\vee = \text{Hom}_K(G, V) \subset \text{Hom}_K(G, F)$ , so the pair  $(V, \theta)$  is precisely a point of  $\mathcal{Z}$ .

Set  $\mathcal{T} = p'^* \mathcal{T}_0$ , a vector bundle on  $\mathcal{Z}$ .

**Proposition 4.1.** *The  $\mathcal{O}_{\mathcal{Z}}$ -module  $\mathcal{T} = p'^* \mathcal{T}_0$  is a tilting bundle on  $\mathcal{Z}$ .*

*Proof.* Since  $\mathcal{T}_0$  classically generates  $\mathcal{D}^b(\text{coh } \mathbb{G})$  it is easy to see that  $\mathcal{T}$  classically generates  $\mathcal{D}^b(\text{coh } \mathcal{Z})$ , so it remains to prove Ext-vanishing. We have

$$\text{Ext}_{\mathcal{O}_{\mathcal{Z}}}^i(\mathcal{T}, \mathcal{T}) = H^i(\mathbb{G}, \text{Sym}_{\mathbb{G}}(G \otimes Q) \otimes_{\mathcal{O}_{\mathbb{G}}} \text{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0))$$

and hence we need to prove that

$$(4.1.1) \quad \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}) \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Hom}_{\mathcal{O}_{\mathbb{G}}}(\wedge^{\alpha'} \mathcal{Q}, \wedge^{\beta'} \mathcal{Q})$$

has vanishing higher cohomology for  $\alpha, \beta \in B_{l, m-l}$ .

Using Theorem 2.2 we find that (4.1.1) has a filtration whose associated graded object is a direct sum of vector bundles of the form

$$(4.1.2) \quad (\wedge^{\alpha'} \mathcal{Q})^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} L_{\delta} \mathcal{Q}$$

where  $\alpha \in B_{l, m-l}$  and  $\delta$  is any partition containing  $\beta$ . It now suffices to invoke Proposition 3.1.  $\square$

To prove the rest of Theorem C, we shall show that  $\mathrm{End}_R(\mathbf{R}q'_* \mathcal{T})^{\circ} = \mathbf{R}q'_* \mathrm{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^{\circ}$ , and that the latter is MCM and has finite global dimension. Put

$$\mathcal{E} = \mathrm{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^{\circ},$$

and let  $\omega_{\mathcal{Z}}$  be the dualizing sheaf of  $\mathcal{Z}$ .

**Lemma 4.2.** *Assume  $m \leq n$ . Then  $\mathrm{Ext}_{\mathcal{O}_{\mathcal{Z}}}^i(\mathcal{E}, \omega_{\mathcal{Z}}) = 0$  for all  $i > 0$ .*

*Proof.* We have  $\mathcal{E} = p'^* \mathcal{E}_0$ , with  $\mathcal{E}_0 = \mathrm{Hom}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0, \mathcal{T}_0)$ . Substituting this and using the fact that  $\mathcal{E}_0$  is self-dual, we find

$$\begin{aligned} \mathrm{Ext}_{\mathcal{O}_{\mathcal{Z}}}^i(\mathcal{E}, \omega_{\mathcal{Z}}) &= \mathrm{Ext}_{\mathcal{O}_{\mathcal{Z}}}^i(p'^* \mathcal{E}_0, \omega_{\mathcal{Z}}) \\ &= \mathrm{Ext}_{\mathcal{O}_{\mathbb{G}}}^i(\mathcal{E}_0, p'_* \omega_{\mathcal{Z}}) \\ &= H^i(\mathbb{G}, \mathcal{E}_0 \otimes_{\mathcal{O}_{\mathbb{G}}} p'_* \omega_{\mathcal{Z}}). \end{aligned}$$

Hence to continue we must be able to compute  $p'_* \omega_{\mathcal{Z}}$ . Since  $\mathcal{Z} = \underline{\mathrm{Spec}}(\mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}))$ , the standard expression for the dualizing sheaf of a symmetric algebra gives

$$p'_* \omega_{\mathcal{Z}} = \omega_{\mathbb{G}} \otimes_{\mathcal{O}_{\mathcal{Z}}} \wedge^{ln}(G \otimes \mathcal{Q}) \otimes_{\mathcal{O}_{\mathcal{Z}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}).$$

Furthermore the sheaf  $\Omega_{\mathbb{G}}$  of differential forms on  $\mathbb{G}$  is known to be given by  $\Omega_{\mathbb{G}} = \mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R}$ , where  $\mathcal{R}$  is the tautological sub-bundle of  $\pi^* F^{\vee}$  as in (1.1.1). Hence  $\omega_{\mathbb{G}} = \wedge^{ln}(\mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R})$  and so

$$p'_* \omega_{\mathcal{Z}} = \wedge^{ln}(\mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R}) \otimes_{\mathcal{O}_{\mathbb{G}}} \wedge^{ln}(G \otimes \mathcal{Q}) \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}).$$

Rewriting all the exterior powers in terms of  $\mathcal{Q}$ , we find

$$\begin{aligned}
& \Lambda^{ln}(\mathcal{Q}^\vee \otimes_{\mathcal{O}_G} \mathcal{R}) \otimes_{\mathcal{O}_G} \Lambda^{ln}(G \otimes \mathcal{Q}) \\
&= \left(\Lambda^l \mathcal{Q}\right)^{-m+l} \otimes_{\mathcal{O}_G} \left(\Lambda^{m-l} \mathcal{R}\right)^l \otimes_{\mathcal{O}_G} (\Lambda^n G)^l \otimes \left(\Lambda^l \mathcal{Q}\right)^n \\
&= \left(\Lambda^l \mathcal{Q}\right)^{-m+l} \otimes_{\mathcal{O}_G} (\Lambda^m F)^{-l} \otimes \left(\Lambda^l \mathcal{Q}\right)^{-l} \otimes_{\mathcal{O}_G} (\Lambda^n G)^l \otimes_{\mathcal{O}_G} \left(\Lambda^l \mathcal{Q}\right)^n \\
&= \left(\Lambda^l \mathcal{Q}\right)^{n-m} \otimes (\Lambda^m F)^{-l} \otimes (\Lambda^n G)^l.
\end{aligned}$$

So finally

$$\mathcal{E}_0 \otimes_{\mathcal{O}_G} p'_* \omega_Z = (\Lambda^m F)^{-l} \otimes (\Lambda^n G)^l \otimes \mathcal{E}_0 \otimes_{\mathcal{O}_G} \left(\Lambda^l \mathcal{Q}\right)^{n-m} \otimes_{\mathcal{O}_G} \text{Sym}_G(G \otimes \mathcal{Q}).$$

Discarding the vector spaces  $\Lambda^m F$  and  $\Lambda^n G$ , we find a direct sum of vector bundles of the form

$$\Lambda^{\alpha'} \mathcal{Q}^\vee \otimes_{\mathcal{O}_G} \Lambda^\beta \mathcal{Q} \otimes_{\mathcal{O}_G} \left(\Lambda^l \mathcal{Q}\right)^{n-m} \otimes_{\mathcal{O}_G} \text{Sym}_G(G \otimes \mathcal{Q}),$$

which (since  $m \leq n$ ) are the subject of Proposition 3.1. □

Next we verify Theorem C for

$$\overline{E} = \text{End}_{\mathcal{O}_Z}(\mathcal{T})^\circ = \Gamma(Z, \mathcal{E}) \quad \text{and} \quad \overline{T} = \Gamma(Z, \mathcal{T}).$$

Recall the following consequence of tilting (see e.g. [7]).

**Proposition 4.3.** *Assume that  $\mathcal{T}$  is a tilting bundle on a smooth variety  $X$ . Then  $\mathbf{R}\text{Hom}_{\mathcal{O}_X}(\mathcal{T}, -)$  defines an equivalence of derived categories  $\mathcal{D}^b(\text{coh } X) \cong \mathcal{D}^b(\text{mod } E)$  where  $E = \text{End}_{\mathcal{O}_X}(\mathcal{T})^\circ$ . If  $X$  is projective over an affine variety then  $E$  is finite over its center and has finite global dimension.*

**Proposition 4.4.** *Assume  $m \leq n$ . Then*

- (i)  $\overline{E} \cong \text{End}_R(\overline{T})^\circ$ ;
- (ii)  $\overline{E}$  and  $\overline{T}$  are MCM  $R$ -modules; and
- (iii)  $\overline{E}$  has finite global dimension.

*Proof.* That  $\overline{E}$  has finite global dimension follows from Propositions 4.1 and 4.3. Since  $\text{Ext}_{\mathcal{O}_Z}^i(\mathcal{T}, \mathcal{T}) = 0$  for  $i > 0$  by Proposition 4.1, the higher direct images of  $\mathcal{E}$  vanish, i.e.

$$\mathbf{R}q'_* \mathcal{E} = q'_* \mathcal{E} = \overline{E}.$$

To prove that  $\overline{E}$  is MCM we must show that  $\text{Ext}_R^i(\overline{E}, \omega_R) = 0$  for  $i > 0$ , where  $\omega_R$  is the dualizing module for  $R$ . Replacing  $\overline{E}$  by  $\mathbf{R}q'_*\mathcal{E}$  and using duality for the proper morphism  $q'$  [14, 1.2.22], we see that this is equivalent to showing  $\text{Ext}_{\mathcal{O}_{\mathcal{Z}}}^i(\mathcal{E}, q'^!\omega_R) = 0$  for  $i > 0$ . But  $q'^!\omega_R = \omega_{\mathcal{Z}}$  is the dualizing sheaf for  $\mathcal{Z}$ , so Lemma 4.2 implies that  $\overline{E}$  is MCM.

As  $\mathcal{O}_{\mathcal{Z}}$  is a direct summand of  $\mathcal{T}$  we see that  $\overline{T}$  is a summand of  $\overline{E}$ , whence  $\overline{T}$  is Cohen-Macaulay as well. Furthermore we have an obvious homomorphism  $i: \text{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T}) \rightarrow \text{End}_R(\overline{T})$  between reflexive  $R$ -modules, which is an isomorphism on the locus where  $q': \mathcal{Z} \rightarrow \text{Spec}R$  is an isomorphism. The complement of this locus is given by the matrices which have rank  $< l$ , a subvariety of  $\text{Spec}R$  of codimension  $\geq 2$ . Hence  $i$  is an isomorphism.  $\square$

Propositions 4.1 and 4.4 imply Theorems A and C provided we can show  $T \cong \overline{T}$ . We do this next. Recall that for a partition  $\alpha$  we denote

$$N_\alpha = \text{image} \left( L_\alpha(F^\vee) \otimes R \xrightarrow{(L_\alpha(\varphi^\vee)) \otimes R} L_\alpha(G^\vee) \otimes R \right).$$

**Proposition 4.5.** *With notation as above, we have*

$$N_\alpha \cong \Gamma(\mathcal{Z}, p'^*L_\alpha\mathcal{Q}).$$

*Proof.* With  $\varphi: G \otimes S \rightarrow F \otimes S$  the generic map defined over  $S$ , let  $\psi = j^*q^*\varphi$  be the map induced over  $\mathcal{Z}$ . Then the fiber of  $\psi^\vee$  over a point  $(V, \theta)$  factors as

$$F^\vee \rightarrow V^\vee \rightarrow G^\vee$$

where the first map is the dual of the given inclusion  $V \hookrightarrow F$ . Thus we obtain that  $\psi^\vee$  factors as

$$p'^*\pi^*F^\vee \rightarrow p'^*\mathcal{Q} \rightarrow p'^*\pi^*G^\vee.$$

The first map is obviously surjective. The second map is injective since it is a map between vector bundles which is generically injective. By exactness of the Schur functors applied to vector bundles, we get an epi-mono factorization

$$L_\alpha(\psi^\vee): L_\alpha(p'^*\pi^*F^\vee) \rightarrow L_\alpha p'^*\mathcal{Q} \rightarrow L_\alpha(p'^*\pi^*G^\vee).$$

To prove the claim it is clearly sufficient to show that the first map remains an epimorphism after applying  $q'_*$ , i.e. that the epimorphism

$$\pi^*L_\alpha(F^\vee) \otimes_{\mathcal{O}_{\mathbb{G}}} \text{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}) \rightarrow L_\alpha\mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \text{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q})$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . In fact it suffices to show that

$$\pi^* (L_\alpha(F^\vee) \otimes_{\mathcal{O}_{\mathbb{G}}} \text{Sym}_{\mathbb{G}}(G \otimes F^\vee)) \longrightarrow L_\alpha \mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \text{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q})$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . By Theorem 2.2, source and target are filtered by Schur functors, so it is enough to show that for any partition  $\delta$  the canonical map

$$\pi^* L_\delta(F^\vee) \longrightarrow L_\delta \mathcal{Q}$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . But taking global sections of this map gives

$$L_\delta(F^\vee) \longrightarrow \Gamma(\mathbb{G}, L_\delta \mathcal{Q})$$

which is even an isomorphism by the definition of Schur modules. Hence we are done.  $\square$

Set  $\overline{T}_\alpha = \Gamma(\mathcal{Z}, \mathcal{T}_\alpha)$ , where  $\mathcal{T}_\alpha = p'^*(\wedge^{\alpha'} \mathcal{Q})$  as in Theorem B, and recall

$$T_\alpha = \text{image} \left( \wedge^{\alpha'}(F^\vee) \otimes R \xrightarrow{(\wedge^{\alpha'} \varphi^\vee) \otimes R} \wedge^{\alpha'}(G^\vee) \otimes R \right).$$

Filtering everything by Schur functors and applying Proposition 4.5, we see that these coincide:

**Corollary 4.6.** *We have  $T_\alpha \cong \overline{T}_\alpha$  for each  $\alpha \in B_{l,m-l}$ . In particular  $T \cong \overline{T}$  is a maximal Cohen-Macaulay  $R$ -module.*

Assembling the pieces, we obtain Theorem C and, as a consequence, Theorem A.

**Remark 4.7.** It follows from Proposition 4.5 that  $N_\alpha = M(\alpha, 0)$  in the notation of [14, §6]. In particular the very general result [14, Cor (6.5.17)] gives an alternative way to see that  $N_\alpha$  is Cohen-Macaulay in characteristic zero. Furthermore [14, Example (6.5.18)] shows that  $N_2$  is not Cohen-Macaulay in characteristic 2.

**Example 4.8.** Assume that  $l = m - 1$  with  $m \leq n$ . Then we have  $\mathbb{G} = \mathbb{P}^{m-1}$ . Set  $\mathbb{P} = \mathbb{P}^{m-1}$ , so that  $\mathcal{Q} = \Omega_{\mathbb{P}}^\vee(-1)$ , and let  $\alpha = 1^a$  for some  $a$ ,  $0 \leq a \leq m - 1$ . We find

$$\begin{aligned} \mathcal{T}_\alpha &= p'^* (\wedge^a \Omega_{\mathbb{P}}^\vee(-a)) \\ &= p'^* (\wedge^{m-1-a} \Omega_{\mathbb{P}} \otimes_{\mathcal{O}_{\mathbb{P}}} \omega_{\mathbb{P}}^{-1}(-a)) \\ &= p'^* (\wedge^{m-1-a} \Omega_{\mathbb{P}}(m-a)) \end{aligned}$$

Thus in the notation of [3] we have  $T_\alpha = M_{m-a}$ .

## 5. PROOF OF THEOREM D

We now need to refer to the two resolutions of  $\text{Spec}R$  in a uniform way, so we introduce appropriate symmetrical notation. We start by putting  $G_1 = F^\vee$  and  $G_2 = G$  so that

$$H = \text{Sym}_K(G_1 \otimes G_2).$$

We also put  $n_i = \text{rank}_K G_i$  and  $\mathbb{G}_i = \text{Grass}(n_i - l, G_i)$ . Thus  $n_1 = m$ ,  $n_2 = n$ , and we have canonically  $\mathbb{G}_1 \cong \mathbb{G}$ .

For symmetry we also put  $\mathcal{Z}_1 = \mathcal{Z}$ . In general we will decorate the notations in the diagram (1.1) by a “1” or a “2” depending on whether they refer to  $\mathcal{Z}_1$  or  $\mathcal{Z}_2$ .

We now explain how we prove Theorem D. In Proposition 4.1 we have constructed tilting bundles  $\mathcal{T}_1, \mathcal{T}_2$  on  $\mathcal{Z}_1, \mathcal{Z}_2$ . For our purposes it turns out to be technically more convenient to use the tilting bundle  $\mathcal{T}_1^\vee$  on  $\mathcal{Z}_1$  rather than  $\mathcal{T}_1$ . With  $E'_1, E_2$  the endomorphism rings of  $\mathcal{T}_1^\vee$  and  $\mathcal{T}_2$  respectively, it turns out that if  $n_1 \leq n_2$  then  $E'_1 \cong eE_2e$  for a suitable idempotent  $e \in E_2$ . Thus we immediately obtain a fully faithful embedding  $D^b(\text{coh } \mathcal{Z}_1) \hookrightarrow D^b(\text{coh } \mathcal{Z}_2)$ . We then show that this embedding coincides with the indicated Fourier-Mukai transform.

Now we proceed with the actual proof. On  $\mathbb{G}_i$  we have tautological exact sequences

$$0 \longrightarrow \mathcal{R}_i \longrightarrow \pi_i^* G_i \longrightarrow \mathcal{Q}_i \longrightarrow 0.$$

We also define

$$\widehat{\mathcal{Z}} = \mathcal{Z}_1 \times_H \mathcal{Z}_2.$$

There are projection maps  $r_1: \widehat{\mathcal{Z}} \rightarrow \mathcal{Z}_1, r_2: \widehat{\mathcal{Z}} \rightarrow \mathcal{Z}_2$ . These fit together in the following commutative diagram.

$$\begin{array}{ccccc}
 & & \widehat{\mathcal{Z}} & & \\
 & \swarrow^{p''_1} & \downarrow r_1 & \searrow r_2 & \\
 & \mathcal{Z}_1 & & & \mathcal{Z}_2 \\
 & \swarrow p'_1 & \downarrow q'_1 & \searrow q'_2 & \downarrow p'_2 \\
 \mathbb{G}_1 & & \text{Spec}R & & \mathbb{G}_2
 \end{array}$$

Let  $H_0 \subset \text{Spec}R$  be the (open) locus of tensors of rank exactly  $l$ , so that the maps  $q'_i$  and  $r_i$ , for  $i = 1, 2$ , are all isomorphisms above  $H_0$ . Let  $\widehat{\mathcal{Z}}_0$  be the inverse image of  $H_0$  in  $\widehat{\mathcal{Z}}$ .



Let  $\alpha$  be a partition and set  $\mathcal{T}_{\alpha,i} = p_i'^* (\wedge^{\alpha'} Q_i)$  for  $i = 1, 2$ . Further set  $B_i = B_{l, n_i - l}$ ,

$$\mathcal{T}_i = \bigoplus_{\alpha \in B_i} \mathcal{T}_{\alpha,i} \quad \text{and} \quad E_i = \text{End}_{\mathcal{O}_{\mathcal{Z}_i}}(\mathcal{T}_i)^\circ.$$

By Theorem C,  $\mathcal{T}_i$  is a tilting bundle on  $\mathcal{Z}_i$  and hence  $\mathcal{D}^b(\text{coh } \mathcal{Z}_i) \cong \mathcal{D}^b(\text{mod } E_i)$ .

Here is an asymmetrical piece of notation. Assume that  $n_1 \leq n_2$ . Then  $B_1 \subseteq B_2$ . Set

$$(5.0.1) \quad \mathcal{T}'_2 = \bigoplus_{\alpha \in B_1} \mathcal{T}_{\alpha,2} \subset \bigoplus_{\alpha \in B_2} \mathcal{T}_{\alpha,2} = \mathcal{T}_2 \quad \text{and} \quad E'_2 = \text{End}_{\mathcal{O}_{\mathcal{Z}_2}}(\mathcal{T}'_2)^\circ.$$

As  $\mathcal{T}'_2$  is a direct summand of  $\mathcal{T}_2$ , we have  $E'_2 = eE_2e$  for a suitable idempotent  $e \in E_2$ . Hence there is a fully faithful embedding

$$(5.0.2) \quad \tilde{e}: \mathcal{D}^b(\text{mod } E'_2) \hookrightarrow \mathcal{D}^b(\text{mod } E_2)$$

given by  $\tilde{e}(\mathcal{M}) = E_2e \otimes_{E'_2} \mathcal{M}$ .

Put  $E'_1 = \text{End}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}'_1)^\circ$ . Note that it follows easily from Grothendieck duality that  $\mathcal{T}'_1$  is also a tilting bundle on  $\mathcal{Z}_1$ .

Finally set

$$T_{\alpha,i} = q_i' \mathcal{T}_{\alpha,i}, \quad T_i = q_i' \mathcal{T}_i,$$

and  $T'_2 = q_2' \mathcal{T}'_2$ . By Theorem C, we have  $E_i = \text{End}_R(T_i)^\circ$ ,  $E'_1 = \text{End}_R(T'_1)^\circ$ , and  $E'_2 = \text{End}_R(T'_2)^\circ$ .

**Lemma 5.1.** *One has  $\widehat{\mathcal{Z}} = \underline{\text{Spec}}(\text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(Q_1 \boxtimes Q_2))$ .*

*Proof.* This is a straightforward computation.

$$\begin{aligned} \mathcal{Z}_1 \times_H \mathcal{Z}_2 &= \mathcal{Z}_1 \times_{\mathbb{G}_1 \times H} (\mathbb{G}_1 \times H) \times_H (\mathbb{G}_2 \times H) \times_{\mathbb{G}_2 \times H} \mathcal{Z}_2 \\ &= \mathcal{Z}_1 \times_{\mathbb{G}_1 \times H} (\mathbb{G}_1 \times \mathbb{G}_2 \times H) \times_{\mathbb{G}_2 \times H} \mathcal{Z}_2 \\ &= (\mathcal{Z}_1 \times \mathbb{G}_2) \times_{\widehat{\mathbb{G}} \times H} (\mathcal{Z}_2 \times \mathbb{G}_1) \\ &= \underline{\text{Spec}} \left( \text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(Q_1 \boxtimes \pi_2^* G_2) \otimes_{\text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(\pi_1^* G_1 \boxtimes \pi_2^* G_2)} \text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(\pi_1^* G_1 \boxtimes Q_2) \right) \\ &= \underline{\text{Spec}} \text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(Q_1 \boxtimes Q_2) \quad \square \end{aligned}$$

**Proposition 5.2.** *Assume  $n_1 \leq n_2$ . Then  $T'_2 \cong T_1^\vee$ . In particular  $E'_2 \cong E'_1$ , and there is a fully faithful embedding  $\mathcal{D}^b(\text{mod } E'_1) \hookrightarrow \mathcal{D}^b(\text{mod } E_2)$  (using (5.0.2)).*

*Proof.* Since  $\widehat{\mathcal{Z}} = \underline{\text{Spec}}(\text{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2}(\mathcal{Q}_1 \boxtimes \mathcal{Q}_2))$ , we have a canonical map

$$u: (p_2'')^* \mathcal{Q}_2 \longrightarrow (p_1'')^* \mathcal{Q}_1^\vee$$

which is an isomorphism on  $\widehat{\mathcal{Z}}_0$ . Apply  $\wedge^{\alpha'}(-)$  for a partition  $\alpha$  to obtain a map

$$(5.2.1) \quad \wedge^{\alpha'} u: r_2^* \mathcal{T}_{\alpha,2} \longrightarrow r_1^*(\mathcal{T}_{\alpha,1})^\vee$$

and push down with  $(q_1' r_1)_* = (q_2' r_2)_*$  to get a homomorphism of  $R$ -modules

$$(5.2.2) \quad \tau_\alpha: T_{\alpha,2} \longrightarrow T_{\alpha,1}^\vee$$

which is an isomorphism on  $H_0$ . Letting  $\alpha$  run over partitions in  $B_1$ , we find a homomorphism  $\tau: T_2' \longrightarrow T_1^\vee$  which is also an isomorphism on  $H_0$ . Since the exceptional loci for the  $q_i'$  in  $\mathcal{Z}_i$  have codimension at least 2, the modules  $T_1$  and  $T_2'$  are reflexive by [13, Lemma 4.2.1]. (In fact we know already that  $T_1$  is Cohen-Macaulay.) Hence  $\tau: T_2' \longrightarrow T_1^\vee$  is an isomorphism.

In particular  $\tau$  induces an isomorphism  $\tilde{\tau}: E_1' \longrightarrow E_2'$ . □

The birational map  $\mathcal{Z}_2 \longrightarrow \mathcal{Z}_1$  is easily seen to be a *flip*. Our final result thus verifies, in this special case, a general conjecture of Bondal and Orlov [2].

**Theorem 5.3.** *Assume  $n_1 \leq n_2$ . Then there is a fully faithful embedding*

$$\mathcal{F}: \mathcal{D}^b(\text{coh } \mathcal{Z}_1) \longrightarrow \mathcal{D}^b(\text{coh } \mathcal{Z}_2)$$

given by

$$\mathcal{F}(\mathcal{M}) = \mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} \mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee, \mathcal{M})$$

where  $E_1' = \text{End}_R(\mathcal{T}_1^\vee)^\circ$  acts on  $\mathcal{T}_2'$  via the isomorphism  $E_1' \cong \text{End}_{\mathcal{O}_{\mathcal{Z}_2}}(\mathcal{T}_2')^\circ$  of Proposition 5.2.

*Proof.* Since  $\mathcal{T}_1^\vee$  and  $\mathcal{T}_2'$  are tilting on  $\mathcal{Z}_1$  and  $\mathcal{Z}_2$ , respectively, we have equivalences

$$\mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee, -): \mathcal{D}^b(\text{coh } \mathcal{Z}_1) \longrightarrow \mathcal{D}^b(\text{mod } E_1')$$

and

$$\mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_2'} -: \mathcal{D}^b(\text{mod } E_2) \longrightarrow \mathcal{D}^b(\text{coh } \mathcal{Z}_2).$$

Putting these together with the isomorphism  $E'_1 \cong E'_2$  and the fully faithful embedding  $\tilde{e}: \mathcal{D}^b(\text{mod } E'_2) \rightarrow \mathcal{D}^b(\text{mod } E_2)$ , we find the composition

$$\mathcal{F}: \mathcal{D}^b(\text{coh } \mathcal{Z}_1) \xrightarrow{\cong} \mathcal{D}^b(\text{mod } E'_1) \xrightarrow{\cong} \mathcal{D}^b(\text{mod } E'_2) \hookrightarrow \mathcal{D}^b(\text{mod } E_2) \xrightarrow{\cong} \mathcal{D}^b(\text{coh } \mathcal{Z}_2),$$

of the form asserted.  $\square$

**Theorem 5.4.** *Assume that  $n_1 \leq n_2$ . Then the Fourier-Mukai transform  $\text{FM} = \mathbf{R}r_{2*} \mathbf{L}r_1^*$  with kernel  $(r_1, r_2)_* \mathcal{O}_{\hat{\mathcal{Z}}}$  defines a fully faithful embedding*

$$\text{FM}: \mathcal{D}^b(\text{coh } \mathcal{Z}_1) \hookrightarrow \mathcal{D}^b(\text{coh } \mathcal{Z}_2).$$

There is a natural isomorphism between FM and the functor  $\mathcal{F} = \mathcal{T}'_2 \overset{\mathbf{L}}{\otimes}_{E'_1} \mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee, -)$  introduced in Proposition 5.3. In particular FM is fully faithful.

*Proof.* For a partition  $\alpha \in B_1$ , the map  $\wedge^{\alpha'} u: r_2^* \mathcal{T}_{\alpha,2} \rightarrow r_1^*(\mathcal{T}_{\alpha,1})^\vee$  constructed in (5.2.1) gives by adjointness a homomorphism on  $\mathcal{Z}_2$

$$\sigma: \mathcal{T}_{\alpha,2} \rightarrow \mathbf{R}r_{2*} r_1^*(\mathcal{T}_{\alpha,1})^\vee.$$

We claim that  $\sigma$  is an isomorphism. In particular we must show  $\mathbf{R}^i r_{2*} r_1^*(\mathcal{T}_{\alpha,1})^\vee = 0$  for  $i > 0$ . To this latter end it is sufficient to show that for all  $y \in \mathbb{G}_2$  and all  $i > 0$  we have

$$H^i(\mathbb{G}_1, \wedge^{\alpha'} \mathcal{Q}_1^\vee \otimes_{\mathcal{O}_{\mathbb{G}_1}} \text{Sym}_{\mathbb{G}_1}(\mathcal{Q}_1 \otimes (\mathcal{Q}_2)_y)) = 0.$$

This follows again from the Cauchy formula together with Proposition 3.1.

Now we can see that  $\sigma: \mathcal{T}_{\alpha,2} \rightarrow r_{2*} r_1^*(\mathcal{T}_{\alpha,1})^\vee$  is an isomorphism. The source is reflexive, the target is torsion-free, and over  $\hat{\mathcal{Z}}_0$  the map  $\sigma$  coincides with  $(q'_2)^* \tau_\alpha$ , where  $\tau_\alpha: \mathcal{T}_{\alpha,2} \rightarrow T_{\alpha,1}^\vee$  as in (5.2.2). Since each  $\tau_\alpha$  is an isomorphism, so is  $\sigma$ .

In particular we obtain an isomorphism  $\tilde{\sigma}: \mathcal{T}'_2 \rightarrow \mathbf{R}r_{2*} \mathbf{L}r_1^* \mathcal{T}_1^\vee$  by summing over  $\alpha \in B_1$ .

To define the desired natural transformation  $\eta: \mathcal{F} \rightarrow \text{FM}$ , we must construct a morphism

$$\eta(\mathcal{M}): \mathcal{T}'_2 \overset{\mathbf{L}}{\otimes}_{E'_1} \mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee, \mathcal{M}) \rightarrow \mathbf{R}r_{2*} r_1^* \mathcal{M}$$

for every  $\mathcal{M}$  in  $\mathcal{D}^b(\text{coh } \mathcal{Z}_1)$ . The desired map is the composition of

$$\mathcal{T}'_2 \overset{\mathbf{L}}{\otimes}_{E'_1} \mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee, \mathcal{M}) \xrightarrow{\tilde{\sigma} \otimes_{E'_1} \mathbf{R}r_{2*} \mathbf{L}r_1^*} \mathbf{R}r_{2*} \mathbf{L}r_1^* \mathcal{T}_1^\vee \overset{\mathbf{L}}{\otimes}_{E'_1} \mathbf{R}\text{Hom}_{\mathcal{O}_{\mathcal{Z}_2}}(\mathbf{R}r_{2*} \mathbf{L}r_1^* \mathcal{T}_1^\vee, \mathbf{R}r_{2*} \mathbf{L}r_1^* \mathcal{M})$$

and the evaluation map from the derived tensor product to  $\mathbf{R}r_{2*}\mathbf{L}r_1^*\mathcal{M}$ . To show that  $\eta$  is an isomorphism, it suffices, since  $\mathcal{T}_1^\vee$  generates, to prove that  $\eta(\mathcal{T}_1^\vee)$  is an isomorphism. In this case, we have

$$\mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} \mathbf{R}\mathrm{Hom}_{\mathcal{O}_{Z_1}}(\mathcal{T}_1^\vee, \mathcal{T}_1^\vee) \cong \mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} E_1' \cong \mathcal{T}_2' \cong \mathbf{R}r_{2*}r_1^*\mathcal{T}_1^\vee,$$

an isomorphism by construction. □

**Remark 5.5.** Though we did not use it, in fact we have  $E_1' \cong E_1$ . Indeed, for  $\alpha = (\alpha_1, \dots, \alpha_l) \in B_i$ , define

$$\alpha^l = (n_i - l - \alpha_l, \dots, n_i - l - \alpha_1).$$

Then

$$\wedge^{\alpha^l} \mathcal{Q}_i^\vee \cong \left( \wedge^l \mathcal{Q}_i \right)^{-(n_i-l)} \otimes_{\mathcal{O}_{G_i}} \wedge^{(\alpha^l)'} \mathcal{Q}_i.$$

Thus

$$(\mathcal{T}_{\alpha,i})^\vee \cong p_i'^* \left( \wedge^l \mathcal{Q}_i \right)^{-(n_i-l)} \otimes_{\mathcal{O}_{Z_i}} \mathcal{T}_{\alpha^l,i}$$

and hence

$$\mathcal{T}_i^\vee \cong p_i'^* \left( \wedge^l \mathcal{Q} \right)^{-(n_i-l)} \otimes_{\mathcal{O}_{Z_i}} \mathcal{T}_i.$$

It follows that  $\mathrm{End}_{\mathcal{O}_{Z_i}}(\mathcal{T}_i^\vee) \cong \mathrm{End}_{\mathcal{O}_{Z_i}}(\mathcal{T}_i)$ .

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DEPT. OF COMPUTER AND MATHEMATICAL SCIENCES, UNIVERSITY OF TORONTO AT SCARBOROUGH,  
TORONTO, ONT. M1C 1A4, CANADA

*E-mail address:* ragnar@utsc.utoronto.ca

DEPT. OF MATHEMATICS, SYRACUSE UNIVERSITY, SYRACUSE NY 13244, USA

*E-mail address:* gjleusch@math.syr.edu

*URL:* <http://www.leuschke.org/>

DEPARTEMENT WNI, UNIVERSITEIT HASSELT, 3590 DIEPENBEEK, BELGIUM

*E-mail address:* michel.vandenbergh@uhasselt.be