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## NON-COMMUTATIVE DESINGULARIZATION OF DETERMINANTAL VARIETIES II

RAGNAR-OLAF BUCHWEITZ, GRAHAM J. LEUSCHKE, AND MICHEL VAN DEN BERGH

ABSTRACT. In our paper "Non-commutative desingularization of determinantal varieties I" we constructed and studied non-commutative resolutions of determinantal varieties defined by maximal minors. At the end of the introduction we asserted that the results could be generalized to determinantal varieties defined by non-maximal minors, at least in characteristic zero. In this paper we prove the *existence* of non-commutative resolutions in the general case in a manner which is still characteristic free. The explicit description of the resolution by generators and relations is deferred to a later paper. As an application of our results we prove that there is a fully faithful embedding between the bounded derived categories of the two canonical (commutative) resolutions of a determinantal variety, confirming a well-known conjecture of Bondal and Orlov in this special case.

#### 1. Introduction

Let K be a field and let F, G be two K-vector spaces of ranks m and n respectively. We take unadorned tensor products over K and denote by  $(-)^{\vee}$  the K-dual. Put  $H = \operatorname{Hom}_K(G, F)$ , viewed as the affine variety of K-rational points of  $\operatorname{Spec} S$ , where  $S = \operatorname{Sym}_K(H^{\vee})$  is isomorphic to a polynomial ring in mn indeterminates. The *generic* S-linear map  $\varphi \colon G \otimes S \longrightarrow F \otimes S$  corresponds to multiplication by the generic  $(m \times n)$ -matrix comprising those indeterminates.

Fix a non-negative integer  $l < \min(m,n)$ , and let  $\operatorname{Spec} R$  be the locus in  $\operatorname{Spec} S$  where  $\wedge^{l+1}\varphi = 0$ . Then R is the quotient of S by the ideal of (l+1)-minors of the generic  $(m \times n)$ -matrix. It is a classical result that R is Cohen-Macaulay of codimension (n-l)(m-l), with singular locus defined by the l-minors of the generic matrix; in particular R is smooth in codimension 2.

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In this paper we consider some natural R-modules. For a partition  $\alpha = (\alpha_1, \dots, \alpha_r)$  and a vector space V, write

$$\bigwedge^{\alpha} V = \bigwedge^{\alpha_1} V \otimes \cdots \otimes \bigwedge^{\alpha_r} V.$$

Let  $\alpha'$  denote the conjugate partition of  $\alpha$ , and  $\wedge^{\alpha'} \varphi^{\vee} : \wedge^{\alpha'} F^{\vee} \otimes S \longrightarrow \wedge^{\alpha'} G^{\vee} \otimes S$  the natural map induced by  $\varphi$ . Define

$$T_{\alpha} = \operatorname{image} \left( \bigwedge^{\alpha'} F^{\vee} \otimes R \xrightarrow{\left( \bigwedge^{\alpha'} \varphi^{\vee} \right) \otimes R} \bigwedge^{\alpha'} G^{\vee} \otimes R \right).$$

Our first main result generalizes [3, Theorem A], and shows that general determinantal varieties admit a *non-commutative desingularization* in the following sense. Let  $B_{u,v}$  be the set of all partitions with at most u rows and at most v columns and set

$$T = igoplus_{lpha \in B_{l,m-l}} T_lpha \qquad ext{and} \qquad E = \operatorname{End}_R(T)^\circ \,.$$

**Theorem A.** For  $m \le n$ , the endomorphism ring  $E = \operatorname{End}_R(T)^\circ$  is maximal Cohen-Macaulay as an R-module, and has moreover finite global dimension.

In particular  $T_{\alpha}$  is a maximal Cohen-Macaulay R-module for each  $\alpha \in B_{l,m-l}$ .

If m = n then R is Gorenstein; in this case E is an example of a non-commutative crepant resolution as defined in [12].

The R-module  $T_{\alpha}$  is in general far from indecomposable. Denote by  $L_{\alpha}V$  the irreducible  $\mathrm{GL}(V)$ -module corresponding to a partition  $\alpha$  (Schur module [14]), and assume for a moment that K has characteristic zero. Then it follows from Pieri's formula that  $\bigwedge^{\alpha'}V$  is a direct sum of suitable  $L_{\beta}V$  for  $\beta \leqslant \alpha$  with  $L_{\alpha}V$  appearing with multiplicity one. Hence if we put

$$N_{\alpha} = \operatorname{image} \left( L_{\alpha}(F^{\vee}) \otimes R \xrightarrow{\left( L_{\alpha}(\phi^{\vee}) \right) \otimes R} L_{\alpha}(G^{\vee}) \otimes R \right)$$

then in characteristic zero  $T_{\alpha}$  is a direct sum of  $N_{\beta}$  for  $\beta \leq \alpha$  with  $N_{\alpha}$  appearing with multiplicity one. In particular we obtain that  $N_{\alpha}$  is maximal Cohen-Macaulay. This is false in small characteristic; see Remark 4.7 below where we make the connection with Weyman's work [14, §6].

If we set  $N = \bigoplus_{\alpha \in B_{l,m-l}} N_{\alpha}$ , then  $\operatorname{End}_{R}(N)^{\circ}$  is Morita equivalent to  $\operatorname{End}_{R}(T)^{\circ}$ . Clearly Theorem A remains valid in characteristic zero if we replace T by N.

Now let K be general again. We have taken care to state Theorem A in algebraic language but as in [3] we are only able to prove these results by invoking algebraic geometry, i.e. by constructing a suitable tilting bundle on the Springer resolution of Spec R.

Write  $\mathbb{G} = \operatorname{Grass}(l, F) \cong \operatorname{Grass}(l, m)$  for the Grassmannian variety of l-dimensional subspaces of F, and let  $\pi \colon \mathbb{G} \longrightarrow K$  be the structure morphism to the base scheme  $\operatorname{Spec} K$ . On  $\mathbb{G}$  we have a tautological exact sequence of vector bundles

$$(1.1.1) 0 \longrightarrow \mathcal{R} \longrightarrow \pi^* F^{\vee} \longrightarrow \mathcal{Q} \longrightarrow 0$$

whose fiber above a point  $(V \subset F) \in \mathbb{G}$  is the short exact sequence  $0 \longrightarrow (F/V)^{\vee} \longrightarrow F^{\vee} \longrightarrow V^{\vee} \longrightarrow 0$ . We first prove the following extension of a result due to Kapranov in characteristic zero [10].

**Theorem B.** The  $\mathcal{O}_{\mathbb{G}}$ -module

$$\mathcal{T}_0 = \bigoplus_{\alpha \in B_{l,m-l}} \bigwedge^{\alpha'} \mathcal{Q}$$

is a classical tilting bundle on G, i.e.

- (i)  $\mathcal{T}_0$  classically generates the derived category  $\mathcal{D}^b(\cosh\mathbb{G})$ , in that the smallest thick subcategory of  $\mathcal{D}^b(\cosh\mathbb{G})$  containing  $\mathcal{T}_0$  is  $\mathcal{D}^b(\cosh\mathbb{G})$ , and
- (ii)  $\operatorname{Hom}_{\mathcal{D}^b(\operatorname{coh}\mathbb{G})}(\mathcal{T}_0,\mathcal{T}_0[i]) = 0$  for  $i \neq 0$ .

From this we derive our main geometric result. Set  $\mathcal{Y} = \mathbb{G} \times_{\operatorname{Spec} K} H$ , with the canonical projections  $p: \mathcal{Y} \longrightarrow \mathbb{G}$  and  $q: \mathcal{Y} \longrightarrow H$ . Define the *incidence variety* 

$$\mathcal{Z} = \{ (V, \theta) \in \mathbb{G} \times_{\operatorname{Spec} K} H \mid \operatorname{image} \theta \subset V \} \subseteq \mathcal{Y}$$

and denote by j the natural inclusion  $\mathcal{Z} \longrightarrow \mathcal{Y}$ . The composition  $q' = qj \colon \mathcal{Z} \longrightarrow H$  is then a birational isomorphism from  $\mathcal{Z}$  onto its image  $q'(\mathcal{Z}) = \operatorname{Spec} R$ , while  $p' = pj \colon \mathcal{Z} \longrightarrow \mathbb{G}$  is a vector bundle (with zero section  $\theta = 0$ ). Figure 1.1 summarizes the schemes and maps we have defined. We call  $\mathcal{Z}$  the *Springer resolution* of  $\operatorname{Spec} R$ .

**Theorem C.** The  $\mathcal{O}_{\mathcal{Z}}$ -module

$$\mathcal{T} = p'^* \left( \bigoplus_{\alpha \in B_{l,m-l}} \bigwedge^{\alpha'} \mathcal{Q} \right)$$

is a classical tilting bundle on Z, and furthermore

(i) 
$$T \cong \mathbf{R}q'_*\mathcal{T}$$
, and

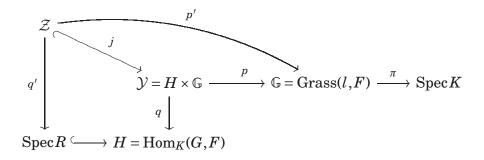


FIGURE 1.1.

(ii) 
$$E \cong \operatorname{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^{\circ}$$
.

The proofs of Theorems A and C are substantially simpler than the corresponding ones in [3], even in the case of maximal minors.

As  $H = \operatorname{Hom}_K(G, F)$  is canonically isomorphic to  $\operatorname{Hom}_K(F^{\vee}, G^{\vee})$  we obtain a second Springer resolution map  $q_2' \colon \mathcal{Z}_2 \longrightarrow \operatorname{Spec} R$  by replacing (F, G) with  $(G^{\vee}, F^{\vee})$ . As an application of Theorem C, we prove the following result.

**Theorem D.** Put  $\widehat{\mathcal{Z}} = \mathcal{Z} \times_H \mathcal{Z}_2$ . If  $m \leq n$  then the Fourier-Mukai transform with kernel  $\mathcal{O}_{\widehat{\mathcal{Z}}}$  induces a fully faithful embedding  $\mathcal{D}^b(\operatorname{coh} \mathcal{Z}) \hookrightarrow \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_2)$ .

A general conjecture by Bondal and Orlov [2] asserts that a flip between algebraic varieties induces a fully faithful embedding between their derived categories. It is not hard to see that the birational map  $\mathcal{Z}_2 \longrightarrow \mathcal{Z}$  is a flip, so we obtain a confirmation of the Bondal-Orlov conjecture in this special case.

In characteristic zero, we know how to describe explicitly the non-commutative desingularization as a quiver algebra with relations, as in our earlier paper [3]. This is deferred to a later paper as we want to keep the current one characteristic-free.

Characteristic-freeness complicates the representation theory somewhat, so we include a short section on the preliminaries we require, including Kempf's vanishing result and the characteristic-free versions of the Cauchy formula and Littlewood-Richardson rule. These are used to prove Theorem B in the third section. Section 4 proves Theorems A and C, and the last section contains the proof of Theorem D.

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#### 2. Preliminaries on algebraic groups

Throughout we use [8] as a convenient reference for facts about algebraic groups. If  $H \subseteq G$  is an inclusion of algebraic groups over the ground field K, then the restriction functor from rational G-modules to rational H-modules has a right adjoint denoted by  $\operatorname{ind}_H^G$  ([8, I.3.3]). Its right derived functors are denoted by  $\mathbf{R}^i \operatorname{ind}_H^G$ . For an inclusion of groups  $K \subseteq H \subseteq G$  and M a rational K-representation there is a spectral sequence [8, I.4.5(c)]

(2.0.1) 
$$E_2^{pq} : \mathbf{R}^p \operatorname{ind}_H^G \mathbf{R}^q \operatorname{ind}_K^H M \Longrightarrow \mathbf{R}^{p+q} \operatorname{ind}_K^G M.$$

If G/H is a scheme and V is a finite-dimensional representation of H then  $\mathcal{L}_{G/H}(V)$  is by definition the G-equivariant vector bundle on G/H given by the sections of  $(G \times V)/H$ . The functor  $\mathcal{L}_{G/H}(-)$  defines an equivalence between the finite-dimensional H-representations and the G-equivariant vector bundles on G/H. The inverse of this functor is given by taking the fiber in [H].

If G/H is a scheme then  $\mathbf{R}^i \operatorname{ind}_H^G$  may be computed as [8, Prop. I.5.12]

(2.0.2) 
$$\mathbf{R}^{i} \operatorname{ind}_{H}^{G} M = H^{i}(G/H, \mathcal{L}_{G/H}(M)).$$

We now assume that G is a split reductive group with a given split maximal torus and corresponding Borel subgroup,  $T \subseteq B \subseteq G$ . We let X(T) be the character group of T and we identify the elements of X(T) with the one-dimensional representations of T. The set of roots (the weights of Lie G) is denoted by  $\Delta$ . We have  $\Delta = \Delta^- \coprod \Delta^+$  where the negative roots  $\Delta^-$  represent the roots of Lie B. For  $\rho \in \Delta$  we denote the corresponding coroot in  $Y(T) = \text{Hom}(X(T), \mathbb{Z})$  [8, II.1.3] by  $\rho^\vee$ . The natural pairing between X(T) and Y(T) is denoted by  $\langle -, - \rangle$ . A weight  $\alpha \in X(T)$  is dominant if  $\langle \alpha, \rho^\vee \rangle \geq 0$  for all positive roots  $\rho$ . The set of dominant weights is denoted by  $X(T)_+$ , and for a dominant weight  $\alpha$ , let  $\mathcal{L}_{G/B}(\alpha)$  denote the corresponding vector bundle on G/B. We define  $\text{ind}_B^G \alpha$  similarly.

The following is the celebrated Kempf vanishing result ([11], see also [8, II.4.5]).

**Theorem 2.1.** If 
$$\alpha \in X(T)_+$$
 then  $\mathbf{R}^i \operatorname{ind}_B^G \alpha = H^i(G/B, \mathcal{L}_{G/B}(\alpha))$  vanishes for  $i > 0$ .

We will need the following characteristic-free version of the Cauchy formula and the Littlewood-Richardson rule. See [14, 2.3.2, 2.3.4].

**Theorem 2.2** (Boffi [1], Doubilet-Rota-Stein [5]). Let V and W be K-vector spaces and let  $\alpha$ and  $\beta$  be partitions.

- (i) There is a natural filtration on  $\operatorname{Sym}_{t}(V \otimes W)$  whose associated graded object is a direct sum with summands tensor products  $L_{\gamma}V \otimes L_{\delta}W$  of Schur functors.
- (ii) There is a natural filtration on  $L_{\alpha}V \otimes L_{\beta}V$  whose associated graded object is a direct sum of Schur functors  $L_{\gamma}V$ . The  $\gamma$  that appear, and their multiplicities, can be computed using the usual Littlewood-Richardson rule.

In a filtration as in (ii) above, we may assume by [8, II.4.16, Remark (4)] that the  $L_{\gamma}V$ which appear are in decreasing order for the lexicographic ordering on partitions, that is, the largest  $\gamma$  appears on top.

#### 3. A TILTING BUNDLE FOR GRASSMANNIANS

In this section we prove Theorem B, the existence of a characteristic-free tilting bundle on the Grassmannian G. We freely use the notations established in the previous sections. The proof depends on the following vanishing result which we will also use later on.

**Proposition 3.1.** Let  $\alpha \in B_{l,m-l}$  and let  $\delta$  be any partition. Then for all i > 0 one has

$$H^i\left(\mathbb{G},\left(\bigwedge^{\alpha'}\mathcal{Q}\right)^\vee\otimes_{\mathcal{O}_{\mathbb{G}}}L_{\delta}\mathcal{Q}\right)=0\,.$$

Before beginning the proof we introduce some more notation. We will identify  $\mathbb{G} = \operatorname{Grass}(l, F)$ with  $\operatorname{Grass}(m-l,F^{\vee})$  via the isomorphism  $(V \subset F) \mapsto ((F/V)^{\vee} \subset F^{\vee})$ .

For convenience we choose a basis  $(f_i)_{i=1,\ldots,m}$  for F and a corresponding dual basis  $(f_i^*)_i$ for  $F^{\vee}$ . We view  $\mathbb{G}$  as the homogeneous space G/P with  $G = \operatorname{GL}(m)$  and  $P \subset G$  the parabolic subgroup stabilizing the point  $(W \subset F^{\vee}) \in \mathbb{G}$ , where W is spanned by  $f_{l+1}^*, \dots, f_m^*$ . We let T and B be respectively the diagonal matrices and the lower triangular matrices in G. We identify X(T) and Y(T) with  $\mathbb{Z}^m$ , denoting by  $\varepsilon_i$  the  $i^{\text{th}}$  standard basis element. Thus  $\sum_i a_i \varepsilon_i$  corresponds to the character  $\operatorname{diag}(z_1, \dots, z_m) \mapsto z_1^{a_1} \cdots z_m^{a_m}$ . Under this identification roots and coroots coincide and are given by  $\varepsilon_i - \varepsilon_j$ ,  $i \neq j$ , a root being positive if i < j. The pairing between X(T) and Y(T) is the standard Euclidean scalar product and hence  $X(T)_+ = \{\sum_i a_i \varepsilon_i \mid a_i \ge a_j \text{ for } i \le j\}.$ 

Let  $H = G_1 \times G_2 = \operatorname{GL}(l) \times \operatorname{GL}(m-l) \subset \operatorname{GL}(m)$  be the Levi-subgroup of P containing T. We put  $B_i = B \cap G_i$ ,  $T_i = T \cap G_i$ .

We fix another parabolic subgroup  $P^{\circ}$  in G, given by the stabilizer of the flag spanned by  $f_p^*, \ldots, f_m^*$  for  $p=1,\ldots,l$ . We let  $G^{\circ}=\operatorname{GL}(m-l+1)\subset P^{\circ}\subset G=\operatorname{GL}(m)$  be the lower right  $(m-l+1\times m-l+1)$ -block in  $\operatorname{GL}(m)$ . We put  $T^{\circ}=T\cap G^{\circ}$ ,  $B^{\circ}=B\cap G^{\circ}$ , i.e.  $B^{\circ}$  is the set of lower triangular matrices in  $G^{\circ}$  and  $T^{\circ}$  is the set of diagonal matrices.

We also recall the following result. Cf. [6, §4, §4.8], [14, (4.1.10)].

**Proposition 3.2.** Let  $\delta = (\delta_1, ..., \delta_m)$  be a partition and let  $\widetilde{\delta} = \sum_i \delta_i \varepsilon_i$  be the corresponding weight. Then

$$L_{\delta}(F^{\vee}) = \operatorname{ind}_{R}^{G} \widetilde{\delta}$$
.

*Proof of Proposition 3.1.* Using the identity

$$\left(\bigwedge^{a}\mathcal{Q}\right)^{\vee} = \bigwedge^{l-a}\mathcal{Q} \otimes \left(\bigwedge^{l}\mathcal{Q}\right)^{\vee}$$

and Theorem 2.2(ii) we reduce immediately to the case  $\alpha'_1 = \cdots = \alpha'_{m-l} = l$ . The tautological exact sequence (1.1.1) lets us write

$$\left(\bigwedge^{l}\mathcal{Q}\right)^{\vee}=\bigwedge^{m}F\otimes\bigwedge^{m-l}\mathcal{R}.$$

Thus we need to prove that

$$L_{\delta}Q \otimes \bigwedge^{(m-l,\ldots,m-l)} \mathcal{R}$$

(with m-l instances of "m-l") has vanishing higher cohomology. Using (2.0.2) we see that we must prove that for i > 0 we have

(3.2.1) 
$$\mathbf{R}^{i} \operatorname{ind}_{P}^{G} \left( L_{\delta} \mathcal{Q}_{x} \otimes \bigwedge^{(m-l, \dots, m-l)} \mathcal{R}_{x} \right) = 0,$$

where  $x = [P] \in G/P = \mathbb{G}$ . Since  $\mathcal{Q}$  has rank l, we may assume that  $\delta$  has at most l entries. As above we write  $\widetilde{\delta} = \sum_{i=1}^{l} \delta_i \varepsilon_i \in X(T_1)$  for the corresponding weight. Let  $\sigma \in X(T_2)$  be given by  $(m-l)\sum_{i=l+1}^{m} \varepsilon_i$  and put  $\overline{\delta} = \widetilde{\delta} + \sigma \in X(T)$ .

As  $P/B \cong (G_1 \times G_2)/(B_1 \times B_2)$  we have

$$L_{\delta} \mathcal{Q}_{x} \otimes \bigwedge^{(m-l,\dots,m-l)} \mathcal{R}_{x} = \operatorname{ind}_{B_{1}}^{G_{1}} \widetilde{\delta} \otimes \operatorname{ind}_{B_{2}}^{G_{2}} \sigma$$
$$= \operatorname{ind}_{B}^{P} \overline{\delta}.$$

The positive roots of  $G_1$  are of the form  $\varepsilon_i - \varepsilon_j$  with i < j and  $1 \le i, j \le l$ . Similarly the positive roots of  $G_2$  are of the form  $\varepsilon_i - \varepsilon_j$  with i < j and  $l + 1 \le i, j \le m - l$ . It follows that  $\overline{\delta}$  is dominant when viewed as a weight for T considered as a maximal torus in  $H = G_1 \times G_2$ . So Kempf vanishing implies that  $\mathbf{R}^i$  ind $_B^P \overline{\delta} = \mathbf{R}^i$  ind $_{B_1 \times B_2}^{G_1 \times G_2} \overline{\delta} = 0$  for all i > 0.

Thus the spectral sequence (2.0.1) degenerates and we obtain

(3.2.2) 
$$\mathbf{R}^{i} \operatorname{ind}_{P}^{G} \left( L_{\delta} \mathcal{Q}_{x} \otimes \bigwedge^{(m-l, \dots, m-l)} \mathcal{R}_{x} \right) = \mathbf{R}^{i} \operatorname{ind}_{B}^{G} \overline{\delta}.$$

Thus if  $\overline{\delta}$  is dominant (i.e.  $\delta_l \ge m-l$ ) then the desired vanishing (3.2.1) follows by invoking Kempf vanishing again.

Assume then that  $\overline{\delta}$  is not dominant, i.e.  $0 \le \delta_l < m-l$ . We claim that  $\mathbf{R}^i \operatorname{ind}_B^{P^\circ} \overline{\delta} = 0$  for all i. Then by the spectral sequence (2.0.1) applied to  $B \subset P^\circ \subset G$  we obtain that  $\mathbf{R}^i \operatorname{ind}_B^G \overline{\delta} = 0$  for all i.

To prove the claim we note that  $P^{\circ}/B \cong G^{\circ}/B^{\circ}$  and hence  $\mathbf{R}^{i} \operatorname{ind}_{B}^{P^{\circ}} \overline{\delta} = \mathbf{R}^{i} \operatorname{ind}_{B^{\circ}}^{G^{\circ}} (\overline{\delta} \mid T^{\circ})$ . In other words we have reduced ourselves to the case l = 1 (replacing m by m - l + 1).

We therefore assume l=1, so that  $\mathbb{G}=\mathbb{P}^{m-1}$ . The partition  $\delta$  consists of a single entry  $\delta_1$  and  $\sigma=\sum_{i=2}^m(m-1)\varepsilon_i$ . Under the assumption  $\delta_1< m-1$  we have to prove  $\mathbf{R}^i\operatorname{ind}_B^G\overline{\delta}=0$  for all i. Applying (3.2.2) in reverse this means we have to prove that

$$\mathcal{Q}^{\otimes \delta_1} \otimes \left( \bigwedge^{(m-1,\dots,m-l)} \mathcal{R} \right)$$

has vanishing cohomology on  $\mathbb{P}^{m-1}$ . We now observe that the tautological sequence (1.1.1) on  $\mathbb{P}^{m-1}$  takes the form

$$0 \longrightarrow \Omega_{\mathbb{P}^{m-1}}(1) \longrightarrow \mathcal{O}^m_{\mathbb{P}^{m-1}} \longrightarrow \mathcal{O}_{\mathbb{P}^{m-1}}(1) \longrightarrow 0,$$

so that in particular

$$\textstyle \bigwedge^{m-1}\mathcal{R} = \textstyle \bigwedge^{m-1} \left(\Omega_{\mathbb{P}^{m-1}}(1)\right) = \mathcal{O}_{\mathbb{P}^{m-1}}(-1)$$

and so

$$\mathcal{Q}^{\otimes \delta_1} \otimes \bigwedge^{m-l} \mathcal{R} \otimes \cdots \otimes \bigwedge^{m-l} \mathcal{R} = \mathcal{O}_{\mathbb{P}^{m-1}}(-m+1+\delta_1).$$

It is standard that this line bundle has vanishing cohomology when  $\delta_1 < m - 1$ .

*Proof of Theorem B*. The main thing to prove is that  $\operatorname{Ext}^i_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0,\mathcal{T}_0)=0$  for  $i\neq 0$ . It follows lows from the usual spectral sequence argument that  $\mathrm{Ext}^i_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0,\mathcal{T}_0)$  is the  $i^{\mathrm{th}}$  cohomology of  $\mathcal{H}om_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_{0},\mathcal{T}_{0})=\mathcal{T}_{0}^{\vee}\otimes\mathcal{T}_{0}$ . Applying Theorem 2.2(ii) we see that it suffices to prove that  $\mathcal{T}_0^{\vee} \otimes L_{\delta} \mathcal{Q}$  has vanishing higher cohomology whenever  $\delta$  is a partition with at most l rows. This is the content of Proposition 3.1.

Kapranov's resolution of the diagonal argument implies that  $\mathcal{T}_0$  still classically generates  $\mathcal{D}^b(\operatorname{coh}(\mathbb{G}))$  [9, §4]. For this, we must show that  $L_\alpha\mathcal{Q}$  for  $\alpha \in B_{l,m-l}$  is in the thick subcategory  $\mathcal{C}$  generated by  $\mathcal{T}$ . Assume this is not the case and let  $\alpha$  be minimal for the lexicographic ordering on partitions such that  $L_{\alpha}Q$  is *not* in C.

Let  $\alpha' = (\alpha'_1, \dots, \alpha'_{m-l})$  be the dual partition and consider  $\mathcal{U} = \bigwedge^{\alpha'_1} \mathcal{Q} \otimes \dots \otimes \bigwedge^{\alpha'_{m-l}} \mathcal{Q}$ . By Theorem 2.2(ii) and the comment following,  $\mathcal{U}$  maps surjectively to  $L_{\alpha}\mathcal{Q}$  and the kernel is an extension of various  $L_{\beta}Q$  with  $\beta < \alpha$ . (Pieri's formula, which is a special case of the Littlewood-Richardson rule, implies that  $L_{\alpha}Q$  appears with multiplicity one in  $\mathcal{U}$ .) By the hypotheses all such  $L_{\beta}Q$  are in C. Since U is in C as well we obtain that  $L_{\alpha}Q$  is in C, which is a contradiction.

Kapranov [10] shows that

$$\mathcal{T}_0' = \bigoplus_{\alpha \in B_{l,m-l}} L_\alpha \mathcal{Q}$$

is a tilting bundle on  $\mathbb{G}$  when K has characteristic zero. For fields of positive characteristic p, Kaneda [9] shows that  $\mathcal{T}'_0$  remains tilting as long as  $p \ge m-1$ . However  $\mathcal{T}'_0$  fails to be tilting in very small characteristics.

**Example 3.3.** Assume that K has characteristic 2 and put  $\mathbb{G} = \text{Grass}(2,4)$ . Then the short exact sequence

$$(3.3.1) 0 \longrightarrow \bigwedge^2 \mathcal{Q} \longrightarrow \mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{Q} \longrightarrow \operatorname{Sym}_2 \mathcal{Q} \longrightarrow 0$$

is non-split. In particular  $\operatorname{Ext}^1_{\mathcal{O}_{\mathbb{G}}}(\operatorname{Sym}_2\mathcal{Q}, \wedge^2\mathcal{Q}) \neq 0$ , so that  $\operatorname{Sym}_2\mathcal{Q}$  and  $\wedge^2\mathcal{Q}$  are not common direct summands of a tilting bundle on G.

To see that (3.3.1) is not split, tensor with  $(\wedge^2 \mathcal{Q})^{\vee}$  to obtain the sequence

$$(3.3.2) 0 \longrightarrow \mathcal{O}_{\mathbb{G}} \longrightarrow \mathcal{E}nd_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q}) \xrightarrow{9} (\bigwedge^{2} \mathcal{Q})^{\vee} \otimes \operatorname{Sym}_{2} \mathcal{Q} \longrightarrow 0$$

where the leftmost map is the obvious one. Any splitting of the inclusion  $\mathcal{O}_{\mathbb{G}} \longrightarrow \mathcal{E}nd_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$  is of the form  $\mathrm{Tr}(a-)$ , where  $\mathrm{Tr}$  is the reduced trace and a is an element of  $\mathrm{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$  such that  $\mathrm{Tr}(a)=1$ . Hence it is sufficient to prove that  $\mathrm{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})=K$  since in that case we have  $\mathrm{Tr}(a)=0$  for any  $a\in\mathrm{End}_{\mathcal{O}_{\mathbb{G}}}(\mathcal{Q})$ .

By (the proof of) Proposition 3.1 we have  $H^i(\mathbb{G},(\bigwedge^2\mathcal{Q})^\vee\otimes\operatorname{Sym}_2\mathcal{Q})=0$  for all  $i\geqslant 0$  (observe that if we go through the proof we obtain a situation where  $\overline{\delta}$  is not dominant, whence all cohomology vanishes) and of course we also have  $H^0(\mathbb{G},\mathcal{O}_\mathbb{G})=K$ . Applying  $H^0(\mathbb{G},-)$  to (3.3.2) thus shows  $\operatorname{End}_{\mathcal{O}_\mathbb{G}}(\mathcal{Q})=K$ .

**Remark 3.4.** By [4, Lemma (3.4)] we obtain (at least when K is algebraically closed) a more economical tilting bundle for  $\mathbb{G}$ ,

$$\widetilde{\mathcal{T}} = \bigoplus_{\alpha \in B_{l \ m-l}} \mathcal{L}_{\mathbb{G}}(M(\alpha)),$$

where  $M(\alpha)$  is the tilting  $\mathrm{GL}(l)$ -representation with highest weight  $\alpha$ . Note however that the character of  $M(\alpha)$  strongly depends on the characteristic, whence so does the nature of  $\widetilde{\mathcal{T}}$ .

#### 4. A TILTING BUNDLE ON THE RESOLUTION

To prove Theorem C, keep all the notation introduced there. One easily verifies that

$$\mathcal{Z} = \operatorname{Spec}(\operatorname{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}));$$

indeed, a closed point of the right-hand side consists of a pair  $(V \subset F, \theta)$ , where  $(V \subset F) \in \mathbb{G}$  and  $\theta$  is an element of the fiber of  $(G \otimes \mathcal{Q})^{\vee}$  over the point  $(V \subset F)$ . That fiber is  $(G \otimes V^{\vee})^{\vee} = \operatorname{Hom}_{K}(G, V) \subset \operatorname{Hom}_{K}(G, F)$ , so the pair  $(V, \theta)$  is precisely a point of  $\mathcal{Z}$ .

Set  $\mathcal{T} = p'^* \mathcal{T}_0$ , a vector bundle on  $\mathcal{Z}$ .

**Proposition 4.1.** The  $\mathcal{O}_{\mathcal{Z}}$ -module  $\mathcal{T} = p'^* \mathcal{T}_0$  is a tilting bundle on  $\mathcal{Z}$ .

*Proof.* Since  $\mathcal{T}_0$  classically generates  $\mathcal{D}^b(\operatorname{coh}\mathbb{G})$  it is easy to see that  $\mathcal{T}$  classically generates  $\mathcal{D}^b(\operatorname{coh}\mathcal{Z})$ , so it remains to prove Ext-vanishing. We have

$$\operatorname{Ext}^i_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T},\mathcal{T}) = H^i(\mathbb{G},\operatorname{Sym}_{\mathbb{G}}(G\otimes\mathcal{Q})\otimes_{\mathcal{O}_{\mathbb{G}}}\mathcal{E}nd_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0))$$

and hence we need to prove that

$$(4.1.1) Sym_{\mathbb{G}}(G \otimes \mathcal{Q}) \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{H}om_{\mathcal{O}_{\mathbb{G}}}(\bigwedge^{\alpha'} \mathcal{Q}, \bigwedge^{\beta'} \mathcal{Q})$$

has vanishing higher cohomology for  $\alpha, \beta \in B_{l,m-l}$ .

Using Theorem 2.2 we find that (4.1.1) has a filtration whose associated graded object is a direct sum of vector bundles of the form

$$(4.1.2) \qquad (\wedge^{\alpha'} \mathcal{Q})^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} L_{\delta} \mathcal{Q}$$

where  $\alpha \in B_{l,m-l}$  and  $\delta$  is any partition containing  $\beta$ . It now suffices to invoke Proposition 3.1.

To prove the rest of Theorem C, we shall show that  $\operatorname{End}_R(\mathbf{R}q'_*\mathcal{T})^\circ = \mathbf{R}q'_*\mathcal{E}nd_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^\circ$ , and that the latter is MCM and has finite global dimension. Put

$$\mathcal{E} = \mathcal{E}nd_{\mathcal{O}_{\mathcal{F}}}(\mathcal{T})^{\circ}$$
,

and let  $\omega_{\mathcal{Z}}$  be the dualizing sheaf of  $\mathcal{Z}$ .

**Lemma 4.2.** Assume  $m \le n$ . Then  $\operatorname{Ext}^i_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{E}, \omega_{\mathcal{Z}}) = 0$  for all i > 0.

*Proof.* We have  $\mathcal{E} = {p'}^* \mathcal{E}_0$ , with  $\mathcal{E}_0 = \mathcal{H}om_{\mathcal{O}_{\mathbb{G}}}(\mathcal{T}_0, \mathcal{T}_0)$ . Substituting this and using the fact that  $\mathcal{E}_0$  is self-dual, we find

$$\begin{split} \operatorname{Ext}^i_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{E},\omega_{\mathcal{Z}}) &= \operatorname{Ext}^i_{\mathcal{O}_{\mathcal{Z}}}({p'}^*\mathcal{E}_0,\omega_{\mathcal{Z}}) \\ &= \operatorname{Ext}^i_{\mathcal{O}_{\mathbb{G}}}(\mathcal{E}_0,{p'}_*\omega_{\mathcal{Z}}) \\ &= H^i(\mathbb{G},\mathcal{E}_0 \otimes_{\mathcal{O}_{\mathbb{G}}} {p'}_*\omega_{\mathcal{Z}}). \end{split}$$

Hence to continue we must be able to compute  $p'_*\omega_{\mathcal{Z}}$ . Since  $\mathcal{Z} = \underline{\operatorname{Spec}}(\operatorname{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}))$ , the standard expression for the dualizing sheaf of a symmetric algebra gives

$$p'_*\omega_{\mathcal{Z}}=\omega_{\mathbb{G}}\otimes_{\mathcal{O}_{\mathcal{Z}}} \bigwedge^{ln}(G\otimes\mathcal{Q})\otimes_{\mathcal{O}_{\mathcal{Z}}} \mathrm{Sym}_{\mathbb{G}}(G\otimes\mathcal{Q}).$$

Furthermore the sheaf  $\Omega_{\mathbb{G}}$  of differential forms on  $\mathbb{G}$  is known to be given by  $\Omega_{\mathbb{G}} = \mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R}$ , where  $\mathcal{R}$  is the tautological sub-bundle of  $\pi^* F^{\vee}$  as in (1.1.1). Hence  $\omega_{\mathbb{G}} = \bigwedge^{ln} (\mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R})$  and so

$$p'_*\omega_{\mathcal{Z}} = \bigwedge^{ln}(\mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathcal{R}) \otimes_{\mathcal{O}_{\mathbb{G}}} \bigwedge^{ln}(G \otimes \mathcal{Q}) \otimes_{\mathcal{O}_{\mathbb{G}}} \operatorname{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}).$$

Rewriting all the exterior powers in terms of Q, we find

So finally

$$\mathcal{E}_0 \otimes_{\mathcal{O}_{\mathbb{G}}} p'_* \omega_{\mathcal{Z}} = \left( \bigwedge^m F \right)^{-l} \otimes \left( \bigwedge^n G \right)^l \otimes \mathcal{E}_0 \otimes_{\mathcal{O}_{\mathbb{G}}} \left( \bigwedge^l \mathcal{Q} \right)^{n-m} \otimes_{\mathcal{O}_{\mathbb{G}}} \operatorname{Sym}_{\mathbb{G}} (G \otimes \mathcal{Q}).$$

Discarding the vector spaces  $\wedge^m F$  and  $\wedge^n G$ , we find a direct sum of vector bundles of the form

$$\wedge^{\alpha'} \mathcal{Q}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}}} \wedge^{\beta} \mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \left( \wedge^{l} \mathcal{Q} \right)^{n-m} \otimes_{\mathcal{O}_{\mathbb{G}}} \operatorname{Sym}_{\mathbb{G}} (G \otimes \mathcal{Q}),$$

which (since  $m \le n$ ) are the subject of Proposition 3.1.

Next we verify Theorem C for

$$\overline{E} = \operatorname{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T})^{\circ} = \Gamma(\mathcal{Z}, \mathcal{E}) \quad \text{and} \quad \overline{T} = \Gamma(\mathcal{Z}, \mathcal{T}).$$

Recall the following consequence of tilting (see e.g. [7]).

**Proposition 4.3.** Assume that  $\mathcal{T}$  is a tilting bundle on a smooth variety X. Then  $\mathbf{R}\mathrm{Hom}_{\mathcal{O}_X}(\mathcal{T},-)$  defines an equivalence of derived categories  $\mathcal{D}^b(\mathrm{coh}\,X)\cong\mathcal{D}^b(\mathrm{mod}\,E)$  where  $E=\mathrm{End}_{\mathcal{O}_X}(\mathcal{T})^\circ$ . If X is projective over an affine variety then E is finite over its center and has finite global dimension.

## **Proposition 4.4.** Assume $m \le n$ . Then

- (i)  $\overline{E} \cong \operatorname{End}_R(\overline{T})^\circ$ ;
- (ii)  $\overline{E}$  and  $\overline{T}$  are MCM R-modules; and
- (iii)  $\overline{E}$  has finite global dimension.

*Proof.* That  $\overline{E}$  has finite global dimension follows from Propositions 4.1 and 4.3. Since  $\operatorname{Ext}^i_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T},\mathcal{T})=0$  for i>0 by Proposition 4.1, the higher direct images of  $\mathcal{E}$  vanish, i.e.

$$\mathbf{R}q'_*\mathcal{E} = q'_*\mathcal{E} = \overline{E}$$
.

To prove that  $\overline{E}$  is MCM we must show that  $\operatorname{Ext}_R^i(\overline{E},\omega_R)=0$  for i>0, where  $\omega_R$  is the dualizing module for R. Replacing  $\overline{E}$  by  $\mathbf{R}q'_*\mathcal{E}$  and using duality for the proper morphism q' [14, 1.2.22], we see that this is equivalent to showing  $\operatorname{Ext}_{\mathcal{O}_{\mathcal{I}}}^{i}(\mathcal{E}, q'^{!}\omega_{R}) = 0$  for i > 0. But  $q'^!\omega_R = \omega_Z$  is the dualizing sheaf for Z, so Lemma 4.2 implies that  $\overline{E}$  is MCM.

As  $\mathcal{O}_{\mathcal{Z}}$  is a direct summand of  $\overline{T}$  we see that  $\overline{T}$  is a summand of  $\overline{E}$ , whence  $\overline{T}$  is Cohen-Macaulay as well. Furthermore we have an obvious homomorphism  $i : \operatorname{End}_{\mathcal{O}_{\mathcal{Z}}}(\mathcal{T}) \longrightarrow \operatorname{End}_{R}(\overline{\mathcal{T}})$ between reflexive *R*-modules, which is an isomorphism on the locus where  $q': \mathcal{Z} \longrightarrow \operatorname{Spec} R$ is an isomorphism. The complement of this locus is given by the matrices which have rank < l, a subvariety of Spec R of codimension  $\ge 2$ . Hence i is an isomorphism.

Propositions 4.1 and 4.4 imply Theorems A and C provided we can show  $T \cong \overline{T}$ . We do this next. Recall that for a partition  $\alpha$  we denote

$$N_\alpha = \operatorname{image} \left( L_\alpha(F^\vee) \otimes R \xrightarrow{\quad (L_\alpha(\varphi^\vee)) \otimes R} L_\alpha(G^\vee) \otimes R \right).$$

**Proposition 4.5.** With notation as above, we have

$$N_{\alpha} \cong \Gamma(\mathcal{Z}, p'^*L_{\alpha}\mathcal{Q}).$$

*Proof.* With  $\varphi: G \otimes S \longrightarrow F \otimes S$  the generic map defined over S, let  $\psi = j^*q^*\varphi$  be the map induced over  $\mathcal{Z}$ . Then the fiber of  $\psi^{\vee}$  over a point  $(V,\theta)$  factors as

$$F^{\vee} \longrightarrow V^{\vee} \longrightarrow G^{\vee}$$

where the first map is the dual of the given inclusion  $V \hookrightarrow F$ . Thus we obtain that  $\psi^{\vee}$  factors as

$$p'^*\pi^*F^{\vee} \longrightarrow p'^*Q \longrightarrow p'^*\pi^*G^{\vee}.$$

The first map is obviously surjective. The second map is injective since it is a map between vector bundles which is generically injective. By exactness of the Schur functors applied to vector bundles, we get an epi-mono factorization

$$L_{\alpha}(\psi^{\vee}): L_{\alpha}(p^{\prime *}\pi^{*}F^{\vee}) \longrightarrow L_{\alpha}p^{\prime *}\mathcal{Q} \longrightarrow L_{\alpha}(p^{\prime *}\pi^{*}G^{\vee}).$$

To prove the claim it is clearly sufficient to show that the first map remains an epimorphism after applying  $q'_*$ , i.e. that the epimorphism

$$\pi^*L_{\alpha}(F^{\vee}) \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q}) \longrightarrow L_{\alpha}\mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q})$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . In fact it suffices to show that

$$\pi^* \left( L_{\alpha}(F^{\vee}) \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes F^{\vee}) \right) \longrightarrow L_{\alpha} \mathcal{Q} \otimes_{\mathcal{O}_{\mathbb{G}}} \mathrm{Sym}_{\mathbb{G}}(G \otimes \mathcal{Q})$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . By Theorem 2.2, source and target are filtered by Schur functors, so it is enough to show that for any partition  $\delta$  the canonical map

$$\pi^*L_{\delta}(F^{\vee}) \longrightarrow L_{\delta}\mathcal{Q}$$

remains an epimorphism upon applying  $\Gamma(\mathbb{G}, -)$ . But taking global sections of this map gives

$$L_{\delta}(F^{\vee}) \longrightarrow \Gamma(\mathbb{G}, L_{\delta}\mathcal{Q})$$

which is even an isomorphism by the definition of Schur modules. Hence we are done.  $\Box$ 

Set  $\overline{T}_{\alpha} = \Gamma(\mathcal{Z}, \mathcal{T}_{\alpha})$ , where  $\mathcal{T}_{\alpha} = p'^*(\wedge^{\alpha'}\mathcal{Q})$  as in Theorem B, and recall

$$T_{\alpha} = \operatorname{image} \left( \bigwedge^{\alpha'} (F^{\vee}) \otimes R \xrightarrow{\quad (\bigwedge^{\alpha'} \varphi^{\vee}) \otimes R} \bigwedge^{\alpha'} (G^{\vee}) \otimes R \right).$$

Filtering everything by Schur functors and applying Proposition 4.5, we see that these coincide:

**Corollary 4.6.** We have  $T_{\alpha} \cong \overline{T}_{\alpha}$  for each  $\alpha \in B_{l,m-l}$ . In particular  $T \cong \overline{T}$  is a maximal Cohen-Macaulay R-module.

Assembling the pieces, we obtain Theorem C and, as a consequence, Theorem A.

**Remark 4.7.** It follows from Proposition 4.5 that  $N_{\alpha} = M(\alpha, 0)$  in the notation of [14, §6]. In particular the very general result [14, Cor (6.5.17)] gives an alternative way to see that  $N_{\alpha}$  is Cohen-Macaulay in characteristic zero. Furthermore [14, Example (6.5.18)] shows that  $N_2$  is not Cohen-Macaulay in characteristic 2.

**Example 4.8.** Assume that l=m-1 with  $m \le n$ . Then we have  $\mathbb{G} = \mathbb{P}^{m-1}$ . Set  $\mathbb{P} = \mathbb{P}^{m-1}$ , so that  $\mathcal{Q} = \Omega_{\mathbb{P}}^{\vee}(-1)$ , and let  $\alpha = 1^a$  for some  $a, 0 \le a \le m-1$ . We find

$$\mathcal{T}_{\alpha} = p'^* \left( \bigwedge^{a} \Omega_{\mathbb{P}}^{\vee}(-a) \right)$$
$$= p'^* \left( \bigwedge^{m-1-a} \Omega_{\mathbb{P}} \otimes_{\mathcal{O}_{\mathbb{P}}} \omega_{\mathbb{P}}^{-1}(-a) \right)$$
$$= p'^* \left( \bigwedge^{m-1-a} \Omega_{\mathbb{P}}(m-a) \right)$$

Thus in the notation of [3] we have  $T_{\alpha} = M_{m-\alpha}$ .

#### 5. Proof of Theorem D

We now need to refer to the two resolutions of Spec R in a uniform way, so we introduce appropriate symmetrical notation. We start by putting  $G_1 = F^{\vee}$  and  $G_2 = G$  so that

$$H = \operatorname{Sym}_K(G_1 \otimes G_2)$$
.

We also put  $n_i = \operatorname{rank}_K G_i$  and  $\mathbb{G}_i = \operatorname{Grass}(n_i - l, G_i)$ . Thus  $n_1 = m$ ,  $n_2 = n$ , and we have canonically  $\mathbb{G}_1 \cong \mathbb{G}$ .

For symmetry we also put  $\mathcal{Z}_1 = \mathcal{Z}$ . In general we will decorate the notations in the diagram (1.1) by a "1" or a "2" depending on whether they refer to  $\mathcal{Z}_1$  or  $\mathcal{Z}_2$ .

We now explain how we prove Theorem D. In Proposition 4.1 we have constructed tilting bundles  $\mathcal{T}_1$ ,  $\mathcal{T}_2$  on  $\mathcal{Z}_1$ ,  $\mathcal{Z}_2$ . For our purposes it turns out to be technically more convenient to use the tilting bundle  $\mathcal{T}_1^{\vee}$  on  $\mathcal{Z}_1$  rather than  $\mathcal{T}_1$ . With  $E_1'$ ,  $E_2$  the endomorphism rings of  $\mathcal{T}_1^{\vee}$  and  $\mathcal{T}_2$  respectively, it turns out that if  $n_1 \leq n_2$  then  $E_1' \cong eE_2e$  for a suitable idempotent  $e \in E_2$ . Thus we immediately obtain a fully faithful embedding  $D^b(\operatorname{coh} \mathcal{Z}_1) \hookrightarrow D^b(\operatorname{coh} \mathcal{Z}_2)$ . We then show that this embedding coincides with the indicated Fourier-Mukai transform.

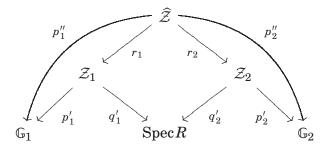
Now we proceed with the actual proof. On  $\mathbb{G}_i$  we have tautological exact sequences

$$0 \longrightarrow \mathcal{R}_i \longrightarrow \pi_i^* G_i \longrightarrow \mathcal{Q}_i \longrightarrow 0$$
.

We also define

$$\widehat{\mathcal{Z}} = \mathcal{Z}_1 \times_H \mathcal{Z}_2.$$

There are projection maps  $r_1: \widehat{\mathcal{Z}} \longrightarrow \mathcal{Z}_1$ ,  $r_2: \widehat{\mathcal{Z}} \longrightarrow \mathcal{Z}_2$ . These fit together in the following commutative diagram.



Let  $H_0 \subset \operatorname{Spec} R$  be the (open) locus of tensors of rank exactly l, so that the maps  $q_i'$  and  $r_i$ , for i = 1, 2, are all isomorphisms above  $H_0$ . Let  $\widehat{\mathcal{Z}}_0$  be the inverse image of  $H_0$  in  $\widehat{\mathcal{Z}}$ .

Let  $\alpha$  be a partition and set  $\mathcal{T}_{\alpha,i} = {p'_i}^* \left( \bigwedge^{\alpha'} \mathcal{Q}_i \right)$  for i = 1, 2. Further set  $B_i = B_{l,n_i-l}$ ,

$$\mathcal{T}_i = \bigoplus_{\alpha \in B_i} \mathcal{T}_{\alpha,i}$$
 and  $E_i = \operatorname{End}_{\mathcal{O}_{\mathcal{Z}_i}}(\mathcal{T}_i)^{\circ}$ .

By Theorem C,  $\mathcal{T}_i$  is a tilting bundle on  $\mathcal{Z}_i$  and hence  $\mathcal{D}^b(\operatorname{coh}\mathcal{Z}_i)\cong\mathcal{D}^b(\operatorname{mod}E_i)$ .

Here is an asymmetrical piece of notation. Assume that  $n_1 \le n_2$ . Then  $B_1 \subseteq B_2$ . Set

$$(5.0.1) \mathcal{T}_2' = \bigoplus_{\alpha \in B_1} \mathcal{T}_{\alpha,2} \subset \bigoplus_{\alpha \in B_2} \mathcal{T}_{\alpha,2} = \mathcal{T}_2 \text{and} E_2' = \operatorname{End}_{\mathcal{O}_{\mathcal{Z}_2}} (\mathcal{T}_2')^{\circ}.$$

As  $\mathcal{T}_2'$  is a direct summand of  $\mathcal{T}_2$ , we have  $E_2' = eE_2e$  for a suitable idempotent  $e \in E_2$ . Hence there is a fully faithful embedding

$$(5.0.2) \widetilde{e}: \mathcal{D}^b(\operatorname{mod} E_2') \hookrightarrow \mathcal{D}^b(\operatorname{mod} E_2)$$

given by  $\widetilde{e}(\mathcal{M}) = E_2 e \otimes_{E_2} \mathcal{M}$ .

Put  $E_1' = \operatorname{End}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^{\vee})^{\circ}$ . Note that it follows easily from Grothendieck duality that  $\mathcal{T}_1^{\vee}$  is also a tilting bundle on  $\mathcal{Z}_1$ .

Finally set

$$T_{\alpha,i} = q'_{i*} \mathcal{T}_{\alpha,i}, \qquad T_i = q'_{i*} \mathcal{T}_i,$$

and  $T_2' = {q'_2}_*\mathcal{T}_2'$ . By Theorem C, we have  $E_i = \operatorname{End}_R(T_i)^\circ$ ,  $E_1' = \operatorname{End}_R(T_1')^\circ$ , and  $E_2' = \operatorname{End}_R(T_2')^\circ$ .

**Lemma 5.1.** One has  $\widehat{\mathcal{Z}} = \underline{\operatorname{Spec}} \left( \operatorname{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2} (\mathcal{Q}_1 \boxtimes \mathcal{Q}_2) \right)$ .

*Proof.* This is a straightforward computation.

$$\begin{split} \mathcal{Z}_{1} \times_{H} \mathcal{Z}_{2} &= \mathcal{Z}_{1} \times_{\mathbb{G}_{1} \times H} (\mathbb{G}_{1} \times H) \times_{H} (\mathbb{G}_{2} \times H) \times_{\mathbb{G}_{2} \times H} \mathcal{Z}_{2} \\ &= \mathcal{Z}_{1} \times_{\mathbb{G}_{1} \times H} (\mathbb{G}_{1} \times \mathbb{G}_{2} \times H) \times_{\mathbb{G}_{2} \times H} \mathcal{Z}_{2} \\ &= (\mathcal{Z}_{1} \times \mathbb{G}_{2}) \times_{\widehat{\mathbb{G}} \times H} (\mathcal{Z}_{2} \times \mathbb{G}_{1}) \\ &= \underline{\operatorname{Spec}} \left( \operatorname{Sym}_{\mathbb{G}_{1} \times \mathbb{G}_{2}} (\mathcal{Q}_{1} \boxtimes \pi_{2}^{*} G_{2}) \otimes_{\operatorname{Sym}_{\mathbb{G}_{1} \times \mathbb{G}_{2}} (\pi_{1}^{*} G_{1} \boxtimes \pi_{2}^{*} G_{2}) \operatorname{Sym}_{\mathbb{G}_{1} \times \mathbb{G}_{2}} (\pi_{1}^{*} G_{1} \boxtimes \mathcal{Q}_{2}) \right) \\ &= \operatorname{Spec} \operatorname{Sym}_{\mathbb{G}_{1} \times \mathbb{G}_{2}} (\mathcal{Q}_{1} \boxtimes \mathcal{Q}_{2}) \end{split}$$

**Proposition 5.2.** Assume  $n_1 \leq n_2$ . Then  $T_2' \cong T_1^{\vee}$ . In particular  $E_2' \cong E_1'$ , and there is a fully faithful embedding  $\mathcal{D}^b(\text{mod}E_1') \hookrightarrow \mathcal{D}^b(\text{mod}E_2)$  (using (5.0.2)).

*Proof.* Since  $\widehat{\mathcal{Z}} = \underline{\operatorname{Spec}} \left( \operatorname{Sym}_{\mathbb{G}_1 \times \mathbb{G}_2} (\mathcal{Q}_1 \boxtimes \mathcal{Q}_2) \right)$ , we have a canonical map

$$u:(p_2'')^*\mathcal{Q}_2 \longrightarrow (p_1'')^*\mathcal{Q}_1^\vee$$

which is an isomorphism on  $\widehat{\mathcal{Z}}_0$ . Apply  $\bigwedge^{\alpha'}(-)$  for a partition  $\alpha$  to obtain a map

$$(5.2.1) \qquad \qquad \wedge^{\alpha'} u : r_2^* \mathcal{T}_{\alpha,2} \longrightarrow r_1^* (\mathcal{T}_{\alpha,1})^{\vee}$$

and push down with  $(q_1'r_1)_* = (q_2'r_2)_*$  to get a homomorphism of R-modules

$$\tau_{\alpha} \colon T_{\alpha,2} \longrightarrow T_{\alpha,1}^{\vee}$$

which is an isomorphism on  $H_0$ . Letting  $\alpha$  run over partitions in  $B_1$ , we find a homomorphism  $\tau\colon T_2'\longrightarrow T_1^\vee$  which is also an isomorphism on  $H_0$ . Since the exceptional loci for the  $q_i'$  in  $\mathcal{Z}_i$  have codimension at least 2, the modules  $T_1$  and  $T_2'$  are reflexive by [13, Lemma 4.2.1]. (In fact we know already that  $T_1$  is Cohen-Macaulay.) Hence  $\tau\colon T_2'\longrightarrow T_1^\vee$  is an isomorphism.

In particular  $\tau$  induces an isomorphism  $\widetilde{\tau} \colon E_1' \longrightarrow E_2'$ .

The birational map  $\mathcal{Z}_2 \longrightarrow \mathcal{Z}_1$  is easily seen to be a *flip*. Our final result thus verifies, in this special case, a general conjecture of Bondal and Orlov [2].

**Theorem 5.3.** Assume  $n_1 \le n_2$ . Then there is a fully faithful embedding

$$\mathcal{F} \colon \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_1) \longrightarrow \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_2)$$

given by

$$\mathcal{F}(\mathcal{M}) = \mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} \mathbf{R} \mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^{\vee}, \mathcal{M})$$

 $where \ E_1' = \operatorname{End}_R(\mathcal{T}_1^\vee)^\circ \ acts \ on \ \mathcal{T}_2' \ via \ the \ isomorphism \ E_1' \cong \operatorname{End}_{\mathcal{O}_{\mathcal{Z}_2}}(\mathcal{T}_2')^\circ \ of \ Proposition \ 5.2.$ 

*Proof.* Since  $\mathcal{T}_1^{\vee}$  and  $\mathcal{T}_2$  are tilting on  $\mathcal{Z}_1$  and  $\mathcal{Z}_2$ , respectively, we have equivalences

$$\mathbf{R}\mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^\vee,-)\colon \mathcal{D}^b(\mathrm{coh}\,\mathcal{Z}_1)\longrightarrow \mathcal{D}^b(\mathrm{mod}\,E_1')$$

and

$$\mathcal{T}_2 \overset{\mathbf{L}}{\otimes}_{E_2} -: \mathcal{D}^b(\operatorname{mod} E_2) \longrightarrow \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_2).$$

Putting these together with the isomorphism  $E_1' \cong E_2'$  and the fully faithful embedding  $\tilde{e} \colon \mathcal{D}^b(\operatorname{mod} E_2') \longrightarrow \mathcal{D}^b(\operatorname{mod} E_2)$ , we find the composition

$$\mathcal{F} \colon \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_1) \stackrel{\cong}{\longrightarrow} \mathcal{D}^b(\operatorname{mod} E_1') \stackrel{\cong}{\longrightarrow} \mathcal{D}^b(\operatorname{mod} E_2') \hookrightarrow \mathcal{D}^b(\operatorname{mod} E_2) \stackrel{\cong}{\longrightarrow} \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_2),$$

of the form asserted.  $\Box$ 

**Theorem 5.4.** Assume that  $n_1 \leq n_2$ . Then the Fourier-Mukai transform  $\mathsf{FM} = \mathbf{R} r_{2*} \mathbf{L} r_1^*$  with  $\mathsf{kernel}\ (r_1, r_2)_* \mathcal{O}_{\widehat{\mathcal{Z}}}$  defines a fully faithful embedding

$$\mathsf{FM} \colon \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_1) \hookrightarrow \mathcal{D}^b(\operatorname{coh} \mathcal{Z}_2).$$

There is a natural isomorphism between FM and the functor  $\mathcal{F} = \mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} \mathbf{R} \operatorname{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^{\vee}, -)$  introduced in Proposition 5.3. In particular FM is fully faithful.

*Proof.* For a partition  $\alpha \in B_1$ , the map  $\bigwedge^{\alpha'} u : r_2^* \mathcal{T}_{\alpha,2} \longrightarrow r_1^* (\mathcal{T}_{\alpha,1})^{\vee}$  constructed in (5.2.1) gives by adjointness a homomorphism on  $\mathcal{Z}_2$ 

$$\sigma \colon \mathcal{T}_{\alpha,2} \longrightarrow \mathbf{R}r_2 {}_*r_1^* (\mathcal{T}_{\alpha,1})^{\vee}.$$

We claim that  $\sigma$  is an isomorphism. In particular we must show  $\mathbf{R}^i r_{2*} r_1^* (\mathcal{T}_{\alpha,1})^{\vee} = 0$  for i > 0. To this latter end it is sufficient to show that for all  $y \in \mathbb{G}_2$  and all i > 0 we have

$$H^{i}(\mathbb{G}_{1}, \bigwedge^{\alpha'} \mathcal{Q}_{1}^{\vee} \otimes_{\mathcal{O}_{\mathbb{G}_{1}}} \operatorname{Sym}_{\mathbb{G}_{1}} (\mathcal{Q}_{1} \otimes (\mathcal{Q}_{2})_{y})) = 0.$$

This follows again from the Cauchy formula together with Proposition 3.1.

Now we can see that  $\sigma: \mathcal{T}_{\alpha,2} \longrightarrow r_{2*}r_1^*(\mathcal{T}_{\alpha,1})^{\vee}$  is an isomorphism. The source is reflexive, the target is torsion-free, and over  $\widehat{\mathcal{Z}}_0$  the map  $\sigma$  coincides with  $(q_2')^*\tau_{\alpha}$ , where  $\tau_{\alpha}: T_{\alpha,2} \longrightarrow T_{\alpha,1}^{\vee}$  as in (5.2.2). Since each  $\tau_{\alpha}$  is an isomorphism, so is  $\sigma$ .

In particular we obtain an isomorphism  $\widetilde{\sigma} \colon \mathcal{T}_2' \longrightarrow \mathbf{R}{r_2}_* \mathbf{L} r_1^* \mathcal{T}_1^{\vee}$  by summing over  $\alpha \in B_1$ .

To define the desired natural transformation  $\eta \colon \mathcal{F} \longrightarrow \mathsf{FM}$ , we must construct a morphism

$$\eta(\mathcal{M}) \colon \mathcal{T}_2' \overset{\mathbf{L}}{\otimes}_{E_1'} \mathbf{R} \mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_1}}(\mathcal{T}_1^{\vee}, \mathcal{M}) \longrightarrow \mathbf{R} r_{2*} r_1^* \mathcal{M}$$

for every  $\mathcal{M}$  in  $\mathcal{D}^b(\operatorname{coh}\mathcal{Z}_1)$ . The desired map is the composition of

$$\mathcal{T}_{2}^{\prime} \overset{\mathbf{L}}{\otimes}_{E_{1}^{\prime}} \mathbf{R} \mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_{1}}} (\mathcal{T}_{1}^{\vee}, \mathcal{M}) \xrightarrow{\tilde{\sigma} \otimes_{E_{1}^{\prime}} \mathbf{R} r_{2*} \mathbf{L} r_{1}^{*}} \mathbf{R} r_{2*} \mathbf{L} r_{1}^{*} \mathcal{T}_{1}^{\vee} \overset{\mathbf{L}}{\otimes}_{E_{1}^{\prime}} \mathbf{R} \mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_{2}}} (\mathbf{R} r_{2*} \mathbf{L} r_{1}^{*} \mathcal{T}_{1}^{\vee}, \mathbf{R} r_{2*} \mathbf{L} r_{1}^{*} \mathcal{M})$$

and the evaluation map from the derived tensor product to  $\mathbf{R}r_{2*}\mathbf{L}r_1^*\mathcal{M}$ . To show that  $\eta$  is an isomorphism, it suffices, since  $\mathcal{T}_1^{\vee}$  generates, to prove that  $\eta(\mathcal{T}_1^{\vee})$  is an isomorphism. In this case, we have

$$\mathcal{T}_{2}^{'} \overset{\mathbf{L}}{\otimes}_{E_{1}^{'}} \mathbf{R} \mathrm{Hom}_{\mathcal{O}_{\mathcal{Z}_{1}}} (\mathcal{T}_{1}^{\vee}, \mathcal{T}_{1}^{\vee}) \cong \mathcal{T}_{2}^{'} \overset{\mathbf{L}}{\otimes}_{E_{1}^{'}} E_{1}^{'} \cong \mathcal{T}_{2}^{'} \cong \mathbf{R} r_{2*} r_{1}^{*} \mathcal{T}_{1}^{\vee},$$

an isomorphism by construction.

**Remark 5.5.** Though we did not use it, in fact we have  $E'_1 \cong E_1$ . Indeed, for  $\alpha = (\alpha_1, ..., \alpha_l) \in B_i$ , define

$$\alpha^! = (n_i - l - \alpha_l, \dots, n_i - l - \alpha_1).$$

Then

$$\wedge^{\alpha'} \mathcal{Q}_i^{\vee} \cong \left( \wedge^l \mathcal{Q}_i \right)^{-(n_i - l)} \otimes_{\mathcal{O}_{\mathbb{G}_i}} \wedge^{(\alpha^!)'} \mathcal{Q}_i \, .$$

Thus

$$(\mathcal{T}_{\alpha,i})^{\vee} \cong {p_i'}^* \left( \bigwedge^l \mathcal{Q}_i \right)^{-(n_i - l)} \otimes_{\mathcal{O}_{\mathcal{Z}_i}} \mathcal{T}_{\alpha^!,i}$$

and hence

$$\mathcal{T}_i^{\vee} \cong {p'_i}^* \left( \bigwedge^l \mathcal{Q} \right)^{-(n_i - l)} \otimes_{\mathcal{O}_{\mathcal{Z}_i}} \mathcal{T}_i.$$

It follows that  $\operatorname{End}_{\mathcal{O}_{\mathcal{Z}_i}}(\mathcal{T}_i^{\vee}) \cong \operatorname{End}_{\mathcal{O}_{\mathcal{Z}_i}}(\mathcal{T}_i)$ .

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