Syracuse University SURFACE

Mathematics - Faculty Scholarship

**Mathematics** 

9-19-2011

# Adjoint Functors, Projectivization, and Differentiation Algorithms for Representations of Partially Ordered Sets

Mark Kleiner Syracuse University

Markus Reitenbach Colorado Mesa University

Follow this and additional works at: https://surface.syr.edu/mat

Part of the Mathematics Commons

# **Recommended Citation**

Kleiner, Mark and Reitenbach, Markus, "Adjoint Functors, Projectivization, and Differentiation Algorithms for Representations of Partially Ordered Sets" (2011). *Mathematics - Faculty Scholarship*. 79. https://surface.syr.edu/mat/79

This Article is brought to you for free and open access by the Mathematics at SURFACE. It has been accepted for inclusion in Mathematics - Faculty Scholarship by an authorized administrator of SURFACE. For more information, please contact surface@syr.edu.

# ADJOINT FUNCTORS, PROJECTIVIZATION, AND DIFFERENTIATION ALGORITHMS FOR REPRESENTATIONS OF PARTIALLY ORDERED SETS

MARK KLEINER AND MARKUS REITENBACH

ABSTRACT. Adjoint functors and projectivization in representation theory of partially ordered sets are used to generalize the algorithms of differentiation by a maximal and by a minimal point. Conceptual explanations are given for the combinatorial construction of the derived set and for the differentiation functor.

#### 1. INTRODUCTION

Throughout this paper S is a finite partially ordered set (poset), and k is a field.

An S-space is a family  $\mathbf{V} = (V, V(s))_{s \in S}$ , where V is a finite dimensional k-vector space, V(s) is a subspace of V for each s, and  $s \leq t$  in S implies  $V(s) \subseteq V(t)$ . Here V is called the *ambient* space of  $\mathbf{V}$ , and the V(s) are called the subspaces. One defines a morphism and a direct sum of S-spaces in a natural way. An S-space is *indecomposable* if it is not isomorphic to a direct sum of two nonzero S-spaces. We denote by S-sp the category of S-spaces. Introduced by Gabriel in [G1], it is closely related to the category of representations of the poset S originally introduced by Nazarova and Roiter [NR]. Because of this close relationship, S-spaces are often also called representations of S.

The theory of S-spaces, and of representations of posets, has had many applications in the study of representations of finite-dimensional algebras, lattices over orders, and in other areas of mathematics [GR, R, S]. The first, and still most important, technical tool for the study of S-spaces themselves was provided by the so-called *differentiation algorithms*. Given a poset S, one constructs in a purely combinatorial way a *derived poset* S' with the property that the categories S-sp and S'-sp are "closely related," where the meaning of the latter depends on the problem one intends to solve. For instance, if the problem is to determine whether S-sp is of *finite representation type*, i.e., has only finitely many nonisomorphic indecomposable objects, then the two categories are closely related if there is a bijection, up to a finite number of elements, between the sets of isomorphism classes of indecomposable S-spaces and S'-spaces. One then iterates the procedure and considers a sequence of derived posets with the goal of obtaining an m-th derived poset  $S^{(m)}$  for which the category  $S^{(m)}$ -sp is well understood, so that the problem at hand is easy to solve for  $S^{(m)}$ . The obtained solution then also holds for the original poset S.

The advantage of this method is that one replaces a difficult study of S-spaces with an easier study (often!) of the combinatorics of differentiations. The hard part is the justification of the algorithm, which must rely on the properties of S-spaces.

The aim of this paper is to generalize the algorithms of differentiation with respect to a maximal element of S, introduced by Nazarova and Roiter in [NR], and with respect to a minimal element of S, introduced by Zavadsky (see [N]), and to give a conceptual explanation of the two algorithms and their generalizations. Both algorithms involve a combinatorial construction of the

<sup>2000</sup> Mathematics Subject Classification. Primary 16G20.

Key words and phrases. Partially ordered set; Representation; Differentiation algorithm; Adjoint functors; Projectivization.

derived poset S' from S, as well as a construction of a functor S-sp  $\rightarrow S'$ -sp, the differentiation functor, that has nice properties. The existing descriptions of both algorithms do not explain where the combinatorial construction comes from and present the differentiation functor as an ad hoc computational procedure.

We introduce the algorithms of differentiation with respect to a *principal filter* and to a *principal ideal* of S that generalize those with respect to a minimal element and to a maximal element, respectively, and show for both generalizations that the differentiation functor is a composition of three functors, two of which are analogs of the *restriction* and *induction* functors from the representation theory of finite groups and the third is a straightforward reduction of the size of the ambient space. We also show for both algorithms that the combinatorial construction of the derived poset S' from the given poset S is imposed on us by the fact that the projectivization procedure due to Auslander (see [ARS]) is an ingredient of the differentiation functor.

In Section 2, we review combinatorics of posets and general properties of the category S-sp (see [G1, G2, GR]). Unless  $S = \emptyset$ , the category S-sp is not abelian but it has an *exact structure* based on the notion of a *proper* morphism, to be defined later, and it has enough (relatively) projectives and injectives. If R is a subset of a poset S, the restriction functor res<sup>S</sup><sub>R</sub> applied to an S-space preserves the ambient space and "forgets" the subspaces associated with the elements of  $S \setminus R$ . The induction functor  $\operatorname{ind}_R^S$  is a left adjoint, and the *coinduction* functor  $\operatorname{coind}_R^G$  is a right adjoint, of  $\operatorname{res}^S_R$ . In [S], the induction and coinduction are called the lower and upper induction, respectively. Recall that if G is a finite group with a subgroup H, the induction functor  $\operatorname{ind}_H^G$  is both a left and right adjoint of the restriction, induction, and coinduction that play a crucial role in the rest of the paper. In particular, although the restriction functor  $\operatorname{res}^S_R$  generally is not full, it satisfies a weaker but still useful condition provided R is either an ideal or a filter of S; the condition seems interesting on its own. In this section we also review an equivalence between the category S-sp and the category of finitely generated socle-projective modules over the incidence algebra of the enlargement of S by a unique maximal element.

Although relatively projective and relatively injective objects in various categories have been studied extensively, relatively semisimple objects seem to be less popular. In this paper, relatively semisimple objects in the category S-sp play an important role, and we study them in Section 3. We say that a nonzero S-space V is (relatively) simple if every nonzero proper monomorphism  $\mathbf{U} \to \mathbf{V}$ in S-sp is an isomorphism, an S-space is (relatively) semisimple if it is isomorphic to a direct sum of simple S-spaces, and we denote by S-sp the full subcategory of S-sp determined by the semisimple S-spaces; simple and semisimple S-spaces are called sp-simple and sp-semisimple, respectively, in [S]. We recall that an S-space is simple if and only if its ambient space is one-dimensional, and that there is a bijection between the set of isomorphism classes of simple S-spaces and the set  $\mathcal{A}(S)$ of *antichains* of S, where an antichain is a subset of S that contains no two distinct comparable elements. If  $A, B \in \mathcal{A}(S)$  and  $k_A, k_B$  are representatives of the corresponding isomorphism classes of simple S-spaces, we write  $A \leq B$  if there exists a nonzero morphism  $k_B \to k_A$ , which turns  $\mathcal{A}(S)$  into a poset that contains S and whose unique maximal element is the empty antichain; we denote the poset by  $\hat{\mathcal{A}}(S)$ . Let  $\mathcal{U}$  be the direct sum of a complete set of representatives of the isomorphism classes of simple S-spaces. We prove that the incidence algebra  $k\hat{\mathcal{A}}(S)$  is the opposite of the endomorphism ring of  $\mathcal{U}$ .

Section 4 deals with projectivization. Since  $\mathcal{U}$  is an additive generator of S-ss, the representable functor  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, \underline{\ })$  induces an equivalence between the category S-ss and the category of finitely generated projective  $k\hat{\mathcal{A}}(S)$ -modules. Denote by  $\hat{a}(S)$  the set of nonempty antichains of S. Then  $\hat{\mathcal{A}}(S)$  is the enlargement of  $\hat{a}(S)$  by a unique maximal element, and the category of socle-projective  $k\hat{\mathcal{A}}(S)$ -modules is equivalent to the category  $\hat{a}(S)$ -sp. Composing the two equivalences, we obtain

that the category S-ss is equivalent to the category of projective  $\hat{a}(S)$ -spaces. In particular, if the width of S, w(S), does not exceed two, where the width of a poset is the largest possible cardinality of an antichain in it, the category S-sp is equivalent to the category of projective  $\hat{a}(S)$ -spaces because S-sp = S-ss if and only if  $w(S) \leq 2$ . The latter equivalence is an analog of the following well-known fact about representations of algebras. If  $\Lambda$  is an artin algebra of finite representation type and  $\Gamma$  is its Auslander algebra, the category of finitely generated  $\Lambda$ -modules is equivalent to the category of finitely generated projective  $\Gamma$ -modules. We finish the section by proving for categories of S-spaces a more general version of the above equivalence. If T is a subposet of width < 2 of a poset S but no assumption on w(S) is made, for a suitable poset P the functor coind S induces an equivalence between the category S-sp and the full subcategory of P-sp determined by the *P*-spaces **V** for which  $\operatorname{res}_{\hat{a}(T)}^{P}$  **V** is a projective  $\hat{a}(T)$ -space. This shows that the poset  $\hat{a}(T)$ , which is the main ingredient of the combinatorial construction of the derived poset S' from S, comes from projectivization. Using the contravariant representable functor  $\operatorname{Hom}_{S-\operatorname{sp}}(\underline{-},\mathcal{U})$ , we prove that for a suitable poset Q the functor  $\operatorname{ind}_{S}^{Q}$  induces an equivalence between the category S-sp and the full subcategory of Q-sp determined by the Q-spaces V for which  $\operatorname{res}_{\check{a}(T)}^{Q}$  V is an injective  $\check{a}(T)$ -space. Here  $\check{a}(T)$  is the set of nonempty antichains of T with a partial order different from that of  $\hat{a}(T)$ .

Finally, Section 5 presents the construction and justification of the differentiation algorithms with respect to a principal filter and to a principal ideal. It begins with a description of a functor that we characterized earlier as a straightforward reduction of the size of the ambient space. Let  $\mathbf{V} = (V, V(s))_{s \in S}$  be an arbitrary S-space. For any subspace U of the ambient space V, one can construct two S-spaces in an obvious way: one with the ambient space U and subspaces  $V(s) \cap U$ ,  $s \in S$ , the other with the ambient space V/U and subspaces (V(s) + U)/U,  $s \in S$ . If  $p \in S$  is fixed and U = V(p), both constructions become functorial in  $\mathbf{V}$ , thus giving rise to two endofunctors of S-sp,  $E^p$  and  $E_p$ , respectively.

For any  $p \in S$ , the subset  $\langle p \rangle = \{s \in S \mid p \leq s\}$  is called the *principal filter* of S generated by p. We set  $S_{\langle p \rangle} = \langle p \rangle \cup \hat{a}(S \setminus \langle p \rangle)$  and  $S_p = S_{\langle p \rangle} \setminus \langle p \rangle$  where  $\langle p \rangle = \{t \in S_{\langle p \rangle} \mid t \leq p\}$  is the *principal ideal* of  $S_{\langle p \rangle}$  generated by p. When  $w(S \setminus \langle p \rangle) \leq 2$ , we construct the differentiation functor res $_{S_p}^{S_{\langle p \rangle}} E_p \operatorname{coind}_{S_p}^{S_{\langle p \rangle}} : S$ -sp  $\rightarrow S_p$ -sp with respect to the principal filter  $\langle p \rangle$ . If p is a minimal element of S, our formula agrees with the known one. Similarly, we construct a differentiation functor with respect to the principal ideal of S generated by p. The proofs use properties of adjoint functors specialized to restriction, induction, and coinduction, as well as the existence and properties of projective covers and injective envelopes in S-sp.

# 2. Preliminaries

2.1. Filters, ideals, and antichains. We recall several facts, mostly without proof, needed in the sequel; see [E].

Throughout the paper,  $S^{\text{op}} = (S, \leq)$  stands for the opposite poset of  $S = (S, \leq)$  where  $a \leq b$  if and only if  $b \leq a$ , for all  $a, b \in S$ . If T is a subset of S, we always view it as a poset  $T = (T, \leq)$ with respect to the same partial order.

A subset F of S is a *filter* if, for all  $s \in S$ , we have that  $t \in F$  and  $t \leq s$  imply  $s \in F$ . A subset I of S is an *ideal* if, for all  $s \in S$ , we have that  $t \in I$  and  $s \leq t$  imply  $s \in I$ . Of course, F is a filter if and only if  $S \setminus F$  is an ideal. If T is a subset of S, then  $\langle T \rangle$  is the filter generated by T, that is the intersection of all filters of S containing T. The ideal (T) generated by T is defined similarly. If  $p \in S$ , then  $\langle p \rangle$  (respectively, (p)) denotes the *principal filter* (respectively, *principal ideal*) of S generated by p, i.e.,  $\langle p \rangle = \{s \in S \mid p \leq s\}$  and  $(p) = \{s \in S \mid s \leq p\}$ . We denote by  $\mathcal{F}(S)$  the set of filters of S, and by  $\mathcal{I}(S)$  the set of ideals of S.

We will later use the following easily verifiable statement.

**Proposition 2.1.** Let S be a poset with a subset T.

- (a) If F is a filter of S, then  $F \cap T$  is a filter of T.
- (b) If I is an ideal of S, then  $I \cap T$  is an ideal of T.

Recall that a subset A of S is an *antichain* if no two distinct elements of A are comparable. We denote by  $\mathcal{A}(S)$  the set of antichains, and by a(S) the set of nonempty antichains, of S so that  $\mathcal{A}(S) = a(S) \cup \{\emptyset\}$ . The *width* of S, w(S), is the largest possible cardinality of an antichain in S. For all subsets T of S, min T (respectively, max T) denotes the set of minimal (respectively, maximal) elements of T; clearly, min T, max  $T \in \mathcal{A}(S)$ . For  $a, b \in S$ , an element  $a \wedge b \in S$  is the *meet* of a and b if, for all  $s \in S$ ,  $s \leq a$  and  $s \leq b$  imply  $s \leq a \wedge b$ . An element  $a \vee b \in S$  is the join of a and b if, for all  $s \in S$ ,  $a \leq s$  and  $b \leq s$  imply  $a \vee b \leq s$ . A poset S is a *meet-semilattice* (join-semilattice) if the meet (join) exists for every two elements of S.

The following two propositions relating the sets  $\mathcal{A}(S)$ ,  $\mathcal{F}(S)$ , and  $\mathcal{I}(S)$  are well known, and we present them without proof.

#### **Proposition 2.2.** Let S be a poset.

- (a) The functions  $\mathcal{F}(S) \to \mathcal{A}(S)$  given by  $F \mapsto \min F$  for all  $F \in \mathcal{F}(S)$ , and  $\mathcal{A}(S) \to \mathcal{F}(S)$  given by  $A \mapsto \langle A \rangle$  for all  $A \in \mathcal{A}(S)$  are mutually inverse bijections.
- (b) Let  $F, G \in \mathcal{F}(S)$  and let  $\min F = \{a_1, \ldots, a_m\}$ ,  $\min G = \{b_1, \ldots, b_n\}$ ,  $m, n \ge 0$ . Then  $F \supseteq G$  if and only if for all j, there exists an i satisfying  $a_i \le b_j$ .
- (c) For all  $\{a_1, \ldots, a_m\}, \{b_1, \ldots, b_n\}$  in  $\mathcal{A}(S)$ , set  $\{a_1, \ldots, a_m\} \leq \{b_1, \ldots, b_n\}$  if and only if for all j, there exists an i satisfying  $a_i \leq b_j$ . Then:
  - (i)  $(\mathcal{A}(S), \leq)$  is a meet-semilattice where  $A \wedge B = \min\{A \cup B\}$  for all  $A, B \in \mathcal{A}(S)$ .
  - (ii)  $\emptyset$  is a unique maximal element and min S is a unique minimal element of  $\mathcal{A}(S)$ .
  - (iii) For  $s, t \in S$  we have  $\{s\} \leq \{t\}$  in  $\mathcal{A}(S)$  if and only if  $s \leq t$  in S.
  - (iv) For m > 0,  $\{a_1, \ldots, a_m\}$  is the meet of  $\{a_1\}, \ldots, \{a_m\}$ .

**Notation 2.1.** We denote by  $\hat{\mathcal{A}}(S)$  the meet-semilattice of part (i) of Proposition 2.2(c); denote by  $\hat{a}(S)$  the subposet of nonempty antichains in  $\hat{\mathcal{A}}(S)$ ; write *s* instead of  $\{s\}$  for  $s \in S$  so that *S* becomes a subposet of  $\hat{a}(S)$  as justified by part (iii) of Proposition 2.2(c); and write  $a_1 \wedge \cdots \wedge a_m$  instead of  $\{a_1, \ldots, a_m\}$  as justified by parts (iii) and (iv) of Proposition 2.2(c).

# Proposition 2.3. Let S be a poset.

- (a) The functions  $\mathcal{I}(S) \to \mathcal{A}(S)$  given by  $I \mapsto \max I$  for all  $I \in \mathcal{I}(S)$ , and  $\mathcal{A}(S) \to \mathcal{I}(S)$  given by  $A \mapsto (A)$  for all  $A \in \mathcal{A}(S)$  are mutually inverse bijections.
- (b) Let  $I, J \in \mathcal{I}(S)$  and let  $\max I = \{a_1, \ldots, a_m\}$ ,  $\max J = \{b_1, \ldots, b_n\}$ ,  $m, n \ge 0$ . Then  $I \subseteq J$  if and only if for all *i*, there exists a *j* satisfying  $a_i \le b_j$ .
- (c) For all  $\{a_1, \ldots, a_m\}, \{b_1, \ldots, b_n\}$  in  $\mathcal{A}(S)$ , set  $\{a_1, \ldots, a_m\} \leq \{b_1, \ldots, b_n\}$  if and only if for all *i*, there exists a *j* satisfying  $a_i \leq b_j$ . Then:
  - (i)  $(\mathcal{A}(S), \leq)$  is a join-semilattice where  $A \lor B = \max\{A \cup B\}$  for all  $A, B \in \mathcal{A}(S)$ .
  - (ii)  $\emptyset$  is a unique minimal element and max S is a unique maximal element of  $\mathcal{A}(S)$ .
  - (iii) For  $s, t \in S$  we have  $\{s\} \leq \{t\}$  in  $\mathcal{A}(S)$  if and only if  $s \leq t$  in S.
  - (iv) For m > 0,  $\{a_1, \ldots, a_m\}$  is the join of  $\{a_1\}, \ldots, \{a_m\}$ .

Notation 2.2. We denote by  $\tilde{\mathcal{A}}(S)$  the join-semilattice of part (i) of Proposition 2.3(c); denote by  $\check{a}(S)$  the subposet of nonempty antichains in  $\check{\mathcal{A}}(S)$ ; write *s* instead of  $\{s\}$  for  $s \in S$  so that *S* becomes a subposet of  $\check{a}(S)$  as justified by part (iii) of Proposition 2.3(c); and write  $a_1 \vee \cdots \vee a_m$ instead of  $\{a_1, \ldots, a_m\}$  as justified by parts (iii) and (iv) of Proposition 2.3(c). We note that  $\check{\mathcal{A}}(S) = \hat{\mathcal{A}}(S^{\text{op}}).$ 

The following two statements are important for our treatment of the differentiation algorithms.

# **Proposition 2.4.** Let $p \in S$ .

- (a)  $\hat{a}((p))$  is the principal ideal of  $\hat{a}(S)$  generated by p.
- (b)  $\check{a}(\langle p \rangle)$  is the principal filter of  $\check{a}(S)$  generated by p.

*Proof.* (a) Let I be the principal ideal of  $\hat{a}(S)$  generated by p and let  $A = a_1 \wedge \cdots \wedge a_m$  (m > 0). Then  $A \in \hat{a}(p)$  if and only if  $a_i \in p$  for all i; if and only if  $a_i \leq p$  for all i; if and only if  $A \leq p$ ; if and only if  $A \in I$ .

(b) The proof is dual to that of (a).

For a subset R of a poset S, consider the sets  $S_R = R \cup \hat{a}(S \setminus R)$  and  $S^R = R \cup \check{a}(S \setminus R)$  that are subposets of  $\hat{a}(S)$  and  $\check{a}(S)$ , respectively.

**Proposition 2.5.** Let S be a poset with a subset R.

- (a) If R is a filter of S, then R is a filter of  $S_R$ .
- (b) If R is an ideal of S, then R is an ideal of  $S^R$ .

*Proof.* (a) Let  $x \in R$  and  $y \in S_R$  satisfy  $x \leq y$ . We have to show that  $y \in R$ . If  $y \in S$ , this holds by assumption. If  $y \notin S$ , then  $y = x_1 \wedge \cdots \wedge x_n$ , n > 1, where  $x_j \in S \setminus R$  for all j. By Proposition 2.2(b),  $x \leq y$  implies  $x \leq x_j$  for all j. Since R is a filter of S, then  $x_j \in R$ , a contradiction.

(b) The proof is similar to that of (a).

2.2. The category S-sp and socle-projective modules. We recall several well-known facts. For unexplained definitions and omitted proofs, see [G1, GR, Mac, R, Re, S].

Recall that a morphism  $f : \mathbf{U} \to \mathbf{V}$  of S-spaces  $\mathbf{U} = (U, U(s))_{s \in S}$  and  $\mathbf{V} = (V, V(s))_{s \in S}$  is a k-linear map  $f : U \to V$  satisfying  $f(U(s)) \subseteq V(s)$ ,  $s \in S$ . The direct sum  $\mathbf{U} \oplus \mathbf{V}$  is the family  $(X, X(s))_{s \in S}$  where  $X = U \oplus V$  and  $X(s) = U(s) \oplus V(s)$ ,  $s \in S$ .

**Proposition 2.6.** Let  $f : \mathbf{U} \to \mathbf{V}$  be a morphism of S-spaces given by a k-linear map  $f : U \to V$ .

- (a)  $f: \mathbf{U} \to \mathbf{V}$  is a monomorphism if and only if the linear map  $f: U \to V$  is injective.
- (b)  $f: \mathbf{U} \to \mathbf{V}$  is an epimorphism if and only if the linear map  $f: U \to V$  is surjective.
- (c) The family  $\mathbf{X} = (X, X(s))_{s \in S}$  where  $X = \operatorname{Ker} f$  and  $X(s) = U(s) \cap \operatorname{Ker} f, s \in S$ , is an S-space. The inclusion  $\varkappa : X \to U$  gives a kernel  $\varkappa : \mathbf{X} \to \mathbf{U}$  of  $f : \mathbf{U} \to \mathbf{V}$ .
- (d) The family  $\mathbf{Y} = (Y, Y(s))_{s \in S}$  where Y = V/f(U) and Y(s) = (V(s) + f(U))/f(U),  $s \in S$ , is an S-space. The projection  $\sigma : V \to Y$  gives a cohernel  $\sigma : \mathbf{V} \to \mathbf{Y}$  of  $f : \mathbf{U} \to \mathbf{V}$ .

The preceding proposition implies that S-sp is a Krull-Schmidt category, that is, an additive k-category in which idempotents split and the isomorphism ring of each indecomposable object is local. Hence each S-space decomposes uniquely up to isomorphism as a direct sum of indecomposable S-spaces.

**Definition 2.3.** A morphism  $f : \mathbf{U} \to \mathbf{V}$  of S-spaces is said to be *proper* if, for all  $s \in S$ , we have  $f(U(s)) = V(s) \cap f(U)$ .

The following statement is straightforward.

**Proposition 2.7.** Let  $\mathbf{V} = (V, V(s))_{s \in S}$  be an S-space, and let U be a subspace of the ambient space V.

(a) The family  $\mathbf{U} = (U, U(s))_{s \in S}$ , where  $U(s) = V(s) \cap U$ , is the unique S-space with the ambient space U for which the inclusion  $U \hookrightarrow V$  gives a proper monomorphism  $\mathbf{U} \to \mathbf{V}$ .

#### MARK KLEINER AND MARKUS REITENBACH

- (b) The family  $\mathbf{W} = (W, W(s))_{s \in S}$ , where W = V/U and W(s) = (V(s) + U)/U, is the unique S-space with the ambient space V/U for which the projection  $V \to V/U$  gives a proper epimorphism  $\mathbf{V} \to \mathbf{W}$ .
- (c) A kernel of a morphism of S-spaces is a proper monomorphism, and a cokernel is a proper epimorphism.
- (d) A proper monomorphism is a kernel of its cokernel. A proper epimorphism is a cokernel of its kernel.

For any associative ring  $\Lambda$  with unity, we denote by  $\Lambda$ -Mod (respectively,  $\Lambda$ -mod) the category of left (respectively, finitely generated left)  $\Lambda$ -modules, and  $\Lambda$ -proj stands for the full subcategory of  $\Lambda$ -mod determined by the projective modules. In the sequel we will need an interpretation of the category S-sp as a full subcategory of the category  $\Lambda$ -mod, for some finite dimensional associative k-algebra  $\Lambda$  with unity.

Given a finite poset P, denote by  $M_P(k)$  the full matrix algebra over k whose rows and columns are indexed by the elements of P. We write  $e_{xy}$ ,  $x, y \in P$ , for the matrix unit with 1 in row x and column y. The k-subspace of  $M_P(k)$  with basis  $\{e_{ba} \mid a \leq b, a, b \in P\}$  is a k-subalgebra called the *incidence algebra* kP of the poset P over k. The subset  $\{e_{aa} \mid a \in P\}$  of the basis is a complete set of primitive orthogonal idempotents of kP.

**Remark 2.1.** The set  $\{e_{ab} | a \leq b, a, b \in P\}$  is a basis for the incidence algebra  $kP^{\text{op}}$  of the opposite poset  $P^{\text{op}}$ , and the map  $M_P(k) \to M_P(k)$  sending each matrix A to its transpose  $A^t$  induces an antiisomorphism of k-algebras  $kP \to kP^{\text{op}}$ .

**Definition 2.4.** Denote by  $S^{\omega} = S \cup \{\omega\}$  the poset whose structure is defined by letting the elements of S retain their original partial order and setting  $s < \omega, s \in S$ . The indecomposable module  $kS^{\omega}e_{\omega\omega} \in kS^{\omega}$ -proj is one-dimensional, hence, simple. It is a unique up to isomorphism simple projective  $kS^{\omega}$ -module, and we denote by  $kS^{\omega}$ -sp the full subcategory of  $kS^{\omega}$ -mod determined by the *socle-projective* modules:  $M \in kS^{\omega}$ -sp if and only if the socle of M, soc M, is in  $kS^{\omega}$ -proj. Since soc  $kS^{\omega}e_{ss} \cong kS^{\omega}e_{\omega\omega}$ ,  $s \in S$ , it follows that  $kS^{\omega}$ -sp contains  $kS^{\omega}$ -proj.

Consider the following map  $\Phi = \Phi_S : kS^{\omega} \operatorname{-mod} \to S\operatorname{-sp}$ . For all  $M \in kS^{\omega} \operatorname{-mod}$ , set  $\Phi M = (V, V(s))_{s \in S}$  where  $V = e_{\omega\omega}M$  and  $V(s) = e_{\omega s}M$ . For all morphisms  $f : M \to N$  in  $kS^{\omega} \operatorname{-mod}$ , set  $\Phi f$  to be the restriction of f to  $e_{\omega\omega}M$ , i.e.,  $\Phi f = f|e_{\omega\omega}M$ .

Consider also the following map  $\Psi = \Psi_S : S \cdot \text{sp} \to kS^{\omega} \cdot \text{mod}$ . For all S-spaces  $\mathbf{V} = (V, V(s))_{s \in S}$ , set  $\Psi \mathbf{V} = \bigoplus_{t \in S^{\omega}} V(t)$  where  $V(\omega) = V$  and the multiplication by the basis element  $e_{ba}$  of  $kS^{\omega}$  on  $\Psi \mathbf{V}$  is the k-linear operator that induces the embedding  $V(a) \to V(b)$  on V(a) and sends the other direct summands to 0. For all morphisms  $f : \mathbf{V} \to \mathbf{W}$  in S-sp, set  $\Psi f = \bigoplus_{t \in S^{\omega}} f|V(t)$ .

**Proposition 2.8.** (a)  $\Phi: kS^{\omega}$ -mod  $\to S$ -sp and  $\Psi: S$ -sp  $\to kS^{\omega}$ -mod are k-linear functors.

- (b) The image of  $\Psi$  is contained in  $kS^{\omega}$ -sp, and  $\Psi: S$ -sp  $\to kS^{\omega}$ -sp is a dense functor.
- (c) We have  $\Phi \Psi = 1_{S-sp}$ . In particular,  $\Psi : S-sp \to kS^{\omega}-sp$  is an equivalence of categories.

The functor  $\Phi$  is called an adjustment functor in [S, p. 190].

**Definition 2.5.** An S-space **P** is called *(relatively) projective* if for every proper epimorphism  $f : \mathbf{U} \to \mathbf{V}$  and every morphism  $h : \mathbf{P} \to \mathbf{V}$  of S-spaces there exists a morphism  $g : \mathbf{P} \to \mathbf{U}$  satisfying h = fg. We denote by S-proj the full subcategory of S-sp determined by the projective S-spaces. A morphism  $f : \mathbf{U} \to \mathbf{V}$  is called *right minimal* if every morphism  $g : \mathbf{U} \to \mathbf{U}$  satisfying f = fg is an automorphism. An epimorphism  $f : \mathbf{U} \to \mathbf{V}$  is called an *essential epimorphism* if for every morphism  $g : \mathbf{X} \to \mathbf{U}$ , g is a proper epimorphism if and only if fg is a proper epimorphism. A projective cover of an S-space  $\mathbf{V}$  is an essential epimorphism  $f : \mathbf{P} \to \mathbf{V}$  with  $\mathbf{P}$  projective. Injectives, left minimal morphisms, essential monomorphisms, and injective envelopes are defined

 $\mathbf{6}$ 

in a similar way, and we denote by S-inj the full subcategory of S-sp determined by the injective S-spaces.

Since  $\Psi$  is not dense, it is a right inverse but not an inverse of  $\Phi$ . The next statement says in particular that the restrictions of  $\Psi$  and  $\Phi$  to the full subcategories of projective objects *are* inverses of each other.

# **Theorem 2.9.** (a) An S-space **P** is projective if and only if $\Psi \mathbf{P}$ is a projective $kS^{\omega}$ -module. (b) Every indecomposable projective S-space is isomorphic to one, and only one, of the spaces

- $\mathbf{P}_t, t \in S^{\omega}, \text{ where } \mathbf{P}_t = (P_t, P_t(s))_{s \in S} \text{ with } P_t = k \text{ and } P_t(s) = \begin{cases} k & \text{if } s \ge t, \\ 0 & \text{otherwise.} \end{cases}$
- (c) Every projective S-space is isomorphic to  $\bigoplus_{t \in S^{\omega}} \mathbf{P}_t^{n_t}$ , for unique integers  $n_t \geq 0$ .
- (d) The functors  $\Psi$  and  $\Phi$  induce mutually inverse equivalences of categories

$$S$$
-proj  $\xrightarrow{\Psi} kS^{\omega}$ -proj

- (e) Every S-space has a projective cover.
- (f) A proper epimorphism  $f : \mathbf{P} \to \mathbf{V}$  with  $\mathbf{P} \in S$ -proj is a projective cover if and only if the morphism f is right minimal.

**Definition 2.6.** The vector space duality  $D = \text{Hom}_k(-, k)$  extends to a *duality*  $D: S\text{-sp} \to S^{\text{op}}\text{-sp}$  as follows. For each S-space  $\mathbf{V} = (V, V(s))_{s \in S}$ , set  $D\mathbf{V} = (X, X(s))_{s \in S}$  where X = DV and  $X(s) = V(s)^{\perp} = \{g \in DV \mid g(V(s)) = 0\}, s \in S$ . For each morphism  $f: \mathbf{U} \to \mathbf{V}$ , where  $\mathbf{U} = (U, U(s))_{s \in S}$ , the morphism  $Df: D\mathbf{V} \to D\mathbf{U}$  is given by the k-linear map  $Df = DV \to DU$ . By restriction one obtains dualities  $D: S\text{-proj} \to S^{\text{op}}\text{-inj}$  and  $D: S\text{-inj} \to S^{\text{op}}\text{-proj}$ .

**Proposition 2.10.** If  $f : \mathbf{U} \to \mathbf{V}$  is a proper morphism of S-spaces, then  $D f : D \mathbf{V} \to D \mathbf{U}$  is a proper morphism of  $S^{\text{op}}$ -spaces.

Applying the duality D of Definition 2.6 to Theorem 2.9 and using Proposition 2.10, one gets the following description of the category S-inj.

**Definition 2.7.** Denote by  $S_0 = S \cup \{0\}$  the poset whose structure is defined by letting the elements of S retain their original partial order and setting  $0 < s, s \in S$ .

**Theorem 2.11.** (a) Every indecomposable injective S-space is isomorphic to one, and only

one, of the spaces  $\mathbf{I}_t$ ,  $t \in S_0$ , where  $\mathbf{I}_t = (I_t, I_t(s))_{s \in S}$  with  $I_t = k$  and  $I_t(s) = \begin{cases} 0 & \text{if } s \leq t, \\ k & \text{otherwise.} \end{cases}$ 

- (b) Every injective S-space is isomorphic to  $\bigoplus_{t \in S_0} \mathbf{I}_t^{n_t}$ , for unique integers  $n_t \geq 0$ .
- (c) Every S-space has an injective envelope.
- (d) A proper monomorphism  $g: \mathbf{V} \to \mathbf{I}$  with  $\mathbf{I} \in S$ -inj is an injective envelope if and only if the morphism g is left minimal.

2.3. Subposets and adjoint functors. We recall known results and prove facts needed in the sequel. For unexplained terminology, see [M].

If R is a subset of a poset S, the restriction (forgetful) functor  $\operatorname{res}_R^S : S\operatorname{-sp} \to R\operatorname{-sp}$  sends an S-space  $\mathbf{V} = (V, V(s))_{s \in S}$  to the R-space  $\operatorname{res}_R^S \mathbf{V} = (V, V(r))_{r \in R}$ , and it sends a morphism  $f : \mathbf{V} \to \mathbf{W}$  in S-sp given by a k-linear map  $f : V \to W$ , where  $\mathbf{W} = (W, W(s))_{s \in S}$ , to the morphism  $\operatorname{res}_R^S f : \operatorname{res}_R^S \mathbf{V} \to \operatorname{res}_R^S \mathbf{W}$  in R-sp given by the same linear map  $f : V \to W$ .

**Remark 2.2.** The restriction functor  $\operatorname{res}_R^S : S\operatorname{-sp} \to R\operatorname{-sp}$  preserves proper morphisms.

The functor  $\operatorname{res}_R^S$  has a left adjoint and a right adjoint. Denote by  $\operatorname{ind}_R^S : R\operatorname{-sp} \to S\operatorname{-sp}$  the following functor. For an  $R\operatorname{-space} \mathbf{V} = (V, V(r))_{r \in R}$ , the  $S\operatorname{-space} \operatorname{ind}_R^S \mathbf{V} = (X, X(s))_{s \in S}$  is given by X = V and  $X(s) = \sum_{r \in R, r \leq s} V(r)$  (if no  $r \in R$  satisfies  $r \leq s$  then X(s) = 0). For a morphism

 $f: \mathbf{V} \to \mathbf{W}$  in *R*-sp given by a *k*-linear map  $f: V \to W$ ,  $\operatorname{ind}_R^S f: \operatorname{ind}_R^S \mathbf{V} \to \operatorname{ind}_R^S \mathbf{W}$  is the morphism in *S*-sp given by the same linear map  $f: V \to W$ . We call  $\operatorname{ind}_R^S$  the *induction* functor.

Denote by  $\operatorname{coind}_R^S : R\operatorname{-sp} \to S\operatorname{-sp}$  the following functor. For an  $R\operatorname{-space} \mathbf{V} = (V, V(r))_{r \in R}$ , the  $S\operatorname{-space} \operatorname{coind}_R^S \mathbf{V} = (X, X(s))_{s \in S}$  is given by X = V and  $X(s) = \bigcap_{r \in R, s \leq r} V(r)$  (if no  $r \in R$ satisfies  $s \leq r$  then X(s) = V). For a morphism  $f : \mathbf{V} \to \mathbf{W}$  in  $R\operatorname{-sp}$  given by a k-linear map  $f : V \to W$ ,  $\operatorname{coind}_R^S f : \operatorname{coind}_R^S \mathbf{V} \to \operatorname{coind}_R^S \mathbf{W}$  is the morphism in  $S\operatorname{-sp}$  given by the same linear map  $f : V \to W$ . We call  $\operatorname{coind}_R^S$  the *coinduction* functor.

**Definition 2.8.** An S-space  $\mathbf{V} = (V, V(s))_{s \in S}$  is trivial at  $t \in S$  if V(t) = 0, and it is full at t if V(t) = V. If R is a subset of S, then **V** is trivial (full) at R if, for all  $r \in R$ , **V** is trivial (full) at r.

**Remark 2.3.** For any S-space V, the set of elements of S at which V is trivial is an ideal of S, and the set of elements of S at which V is full is a filter of S.

For future reference, the following two propositions record several easily verifiable facts (see [S, Propositions 5.14 and 5.16, Exercise 5.24]).

**Proposition 2.12.** Let R be a subset of a poset S and let  $\mathbf{V} \in R$ -sp,  $\mathbf{W} \in S$ -sp.

(a) There exist isomorphisms of k-spaces

$$\operatorname{Hom}_{S}(\operatorname{ind}_{R}^{S}\mathbf{V},\mathbf{W})\cong\operatorname{Hom}_{R}(\mathbf{V},\operatorname{res}_{R}^{S}\mathbf{W})$$

and

$$\operatorname{Hom}_R(\operatorname{res}_R^S \mathbf{W}, \mathbf{V}) \cong \operatorname{Hom}_S(\mathbf{W}, \operatorname{coind}_R^S \mathbf{V})$$

functorial in **V** and **W**. In other words,  $\operatorname{ind}_R^S$  is a left adjoint of  $\operatorname{res}_R^S$ , and  $\operatorname{coind}_R^S$  is a right adjoint of  $\operatorname{res}_R^S$ .

(b)  $\operatorname{res}_R^S$  is a faithful additive functor, and  $\operatorname{ind}_R^S$  and  $\operatorname{coind}_R^S$  are fully faithful additive functors satisfying

$$\operatorname{res}_{R}^{S}\operatorname{ind}_{R}^{S} = \operatorname{res}_{R}^{S}\operatorname{coind}_{R}^{S} = 1_{R-\operatorname{sp}}.$$

In particular,  $\operatorname{res}_R^S$  is a dense functor, and both  $\operatorname{ind}_R^S$  and  $\operatorname{coind}_R^S$  reflect isomorphisms. (c) If  $R \subseteq T \subseteq S$  then

 $\operatorname{res}_{R}^{T}\operatorname{res}_{T}^{S} = \operatorname{res}_{R}^{S}$  and  $\operatorname{res}_{R}^{S}\operatorname{ind}_{T}^{S} = \operatorname{res}_{R}^{S}\operatorname{coind}_{T}^{S} = \operatorname{res}_{R}^{T}$ .

- (d) Let  $U \subseteq S$  and  $S = R \cup U$ . If  $\mathbf{X}, \mathbf{Y} \in S$ -sp then  $\mathbf{X} = \mathbf{Y}$  if and only if  $\operatorname{res}_R^S \mathbf{X} = \operatorname{res}_R^S \mathbf{Y}$ and  $\operatorname{res}_U^S \mathbf{X} = \operatorname{res}_U^S \mathbf{Y}$ .
- (e) Let R be a filter of S and let  $\mathfrak{C}$  be the full subcategory of S-sp determined by the S-spaces trivial at  $S \setminus R$ . The restriction of  $\operatorname{res}_R^S$  to  $\mathfrak{C}$ ,  $\operatorname{res}_R^S | \mathfrak{C} : \mathfrak{C} \to R$ -sp, is an equivalence of categories.
- (f) Let R be an ideal of S and let  $\mathfrak{D}$  be the full subcategory of S-sp determined by the S-spaces full at  $S \setminus R$ . The restriction of  $\operatorname{res}_R^S$  to  $\mathfrak{D}$ ,  $\operatorname{res}_R^S | \mathfrak{D} : \mathfrak{D} \to R$ -sp, is an equivalence of categories.

We will use the following statement in the section on projectivization.

# **Proposition 2.13.** Let T be a subset of a poset S.

(a) For the poset  $S_{S\setminus T} = (S \setminus T) \cup \hat{a}(T)$  we have  $\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}} \operatorname{coind}_{S}^{S_{S\setminus T}} = \operatorname{coind}_{T}^{\hat{a}(T)} \operatorname{res}_{T}^{S}$ .

(b) For the poset  $S^{S\setminus T} = (S \setminus T) \cup \check{a}(T)$  we have  $\operatorname{res}_{\check{a}(T)}^{S^{S\setminus T}} \operatorname{ind}_{S}^{S^{S\setminus T}} = \operatorname{ind}_{T}^{\check{a}(T)} \operatorname{res}_{T}^{S}$ .

*Proof.* (a) For an S-space  $\mathbf{V} = (V, V(s))_{s \in S}$ , set  $\operatorname{res}_{\hat{a}(T)}^{S_{S \setminus T}} \operatorname{coind}_{S}^{S_{S \setminus T}} \mathbf{V} = \mathbf{X} = (X, X(A))_{A \in \hat{a}(T)}$  and  $\operatorname{coind}_{T}^{\hat{a}(T)} \operatorname{res}_{T}^{S} \mathbf{V} = \mathbf{Y} = (Y, Y(A))_{A \in \hat{a}(T)}$ . To show that the two functors in question coincide on objects, we check that  $\mathbf{X} = \mathbf{Y}$ .

Clearly, X = Y = V. For each  $A = a_1 \wedge \dots \wedge a_m$ , m > 0, we have  $X(A) = \bigcap_{s \in S, A \leq s} V(s)$ . By Proposition 2.2(c),  $A \leq s$  if and only if  $a_i \leq s$ , for some *i*. It follows that  $A \leq s$  implies  $V(a_i) \subseteq V(s)$ , for some *i*, whence  $X(A) = \bigcap_{s \in S, A \leq s} V(s) = \bigcap_{i=1}^m V(a_i)$ . A similar argument shows

that  $Y(A) = \bigcap_{i=1}^{m} V(a_i).$ 

It follows immediately from the definitions of restriction and coinduction that the two functors in question coincide on morphisms.

(b) The proof is dual to that of (a).

**Proposition 2.14.** Let R be a subset of a poset S and denote by the same symbol D the duality on S-sp and on R-sp. Then the following diagrams commute.

According to Proposition 2.12(b),  $\operatorname{res}_R^S$  is a faithful and dense functor but, generally speaking, not a full functor. However, if R is either a filter or an ideal of S, the functor  $\operatorname{res}_R^S$  has properties that can be viewed as a weak version of being full.

**Definition 2.9.** A functor  $F : \mathfrak{A} \to \mathfrak{B}$  is said to be *right quasi full* if for every commutative diagram

$$\begin{array}{ccc} Fu & \stackrel{f}{\longrightarrow} & Fv \\ \alpha & & & \downarrow F\beta \\ Fy & \stackrel{g}{\longrightarrow} & Fz \end{array}$$

in  $\mathfrak{B}$  there exist morphisms  $f': u' \to v, g': y' \to z, \alpha': u' \to y'$  satisfying the following two conditions.

(a) 
$$f = Ff', g = Fg', \alpha = F\alpha'.$$

(b) The diagram

$$\begin{array}{cccc} u' & \xrightarrow{f'} & v \\ \alpha' \downarrow & & \downarrow \beta \\ y' & \xrightarrow{g'} & z \end{array}$$

commutes in  $\mathfrak{A}$ .

We leave it to the reader to give the dual definition of a *left quasi full* functor.

**Remarks 2.15.** (a) If  $F : \mathfrak{A} \to \mathfrak{B}$  is a right quasi full functor, then for every morphism  $f : Fu \to Fv$  in  $\mathfrak{B}$  there exists a morphism  $f' : u' \to v$  satisfying f = Ff'. Indeed, we can use the first commutative diagram of Definition 2.9 by putting g = f,  $\alpha = 1_{Fu}$ , and  $\beta = 1_v$ . Then (a) applies.

- (b) A faithful functor is right quasi full if and only if it satisfies condition (a) of Definition 2.9.
- (c) A fully faithful functor is right quasi full.

**Proposition 2.16.** Let R be an ideal of a poset S. For an R-space  $\mathbf{U} = (U, U(r))_{r \in R}$  and an S-space  $\mathbf{V} = (V, V(s))_{s \in S}$ , let  $f : \mathbf{U} \to \operatorname{res}_R^S \mathbf{V}$  be a morphism in R-sp that is given by a k-linear map  $f : U \to V$ . Set  $\mathbf{U}_f = (X, X(s))_{s \in S}$  where X = U, X(r) = U(r) for all  $r \in R$ , and  $X(t) = f^{-1}(V(t))$  for all  $t \in S \setminus R$ .

- (a)  $\mathbf{U}_f$  is an S-space, and the linear map  $f: U \to V$  gives a morphism  $\hat{f}: \mathbf{U}_f \to \mathbf{V}$  in S-sp satisfying  $f = \operatorname{res}_R^S \hat{f}$ . Moreover, f is a proper morphism (respectively, an isomorphism) if and only if so is  $\hat{f}$ .
- (b) Consider a commutative diagram of R-spaces of the form

$$\begin{array}{ccc} \mathbf{U} & \stackrel{f}{\longrightarrow} \operatorname{res}_{R}^{S} \mathbf{V} \\ \alpha & & & & & \\ \alpha & & & & \\ \mathbf{Y} & \stackrel{g}{\longrightarrow} \operatorname{res}_{R}^{S} \mathbf{Z} \end{array}$$

where  $\mathbf{Y} = (Y, Y(r))_{r \in R} \in R$ -sp,  $\mathbf{Z} = (Z, Z(s))_{s \in S} \in S$ -sp, and the morphisms  $g : \mathbf{Y} \to \operatorname{res}_R^S \mathbf{Z}$ ,  $\alpha : \mathbf{U} \to \mathbf{Y}$ , and  $\beta : \mathbf{V} \to \mathbf{Z}$  are given by k-linear maps  $g : Y \to Z$ ,  $\alpha : U \to Y$ , and  $\beta : V \to Z$ , respectively. The linear map  $\alpha : U \to Y$  gives a morphism  $\alpha' : \mathbf{U}_f \to \mathbf{Y}_g$  in S-sp satisfying  $\alpha = \operatorname{res}_R^S \alpha'$  and  $\beta \hat{f} = \hat{g} \alpha'$ . In particular, the functor  $\operatorname{res}_R^S$  is right quasi full.

- (c) In the setting of (b), suppose  $\beta$  is an isomorphism. Then  $\mathbf{U}_f = \mathbf{U}_{\alpha}$  and  $\alpha' = \hat{\alpha} : \mathbf{U}_{\alpha} \to \mathbf{Y}_g$ .
- (d) In the setting of (a), f is right minimal if and only if so is  $\hat{f}$ .
- (e) If F is a filter of S and  $\mathbf{V} \cong \operatorname{ind}_F^S \mathbf{W}$ , for some  $\mathbf{W} \in F$ -sp, then the S-space  $\mathbf{U}_f = (X, X(s))$  of (a) satisfies  $X(s) \subset \operatorname{Ker} f$ , for all  $s \in R \setminus F$ , and  $X(s) = \operatorname{Ker} f$ , for all  $s \in S \setminus [R \cup F]$ .

*Proof.* (a) We only have to check that  $\mathbf{U}_f$  is an S-space. Let  $t_1 \leq t_2$  where  $t_1, t_2 \in S$ . If  $t_1, t_2 \in R$  or  $t_1, t_2 \in S \setminus R$ , the inclusion  $X(t_1) \subseteq X(t_2)$  is obvious. Since R is an ideal of S, the case  $t_1 \in S \setminus R$ ,  $t_2 \in R$  is impossible. If  $t_1 \in R$ ,  $t_2 \in S \setminus R$ , then

$$X(t_1) = U(t_1) \subseteq f^{-1}[f(U(t_1)] \subseteq f^{-1}(V(t_1)) \subseteq f^{-1}(V(t_2)) = X(t_2).$$

If  $\hat{f}$  is proper, then f is proper by Remark 2.2. If f is proper, the linear map  $f: X \to V$  satisfies  $f(X(s)) = V(s) \cap f(X)$  for all  $s \in S$  by construction, whence  $\hat{f}$  is proper.

We leave it to the reader to consider the case when either f or  $\hat{f}$  is an isomorphism.

(b) Since restriction is a faithful functor, Remark 2.15(b) says that we only have to check that  $\alpha(f^{-1}(V(t))) \subseteq g^{-1}(Z(t))$  for all  $t \in S \setminus R$ . Suppose  $u \in U$  satisfies  $f(u) \in V(t)$ . Since the diagram in the statement of the lemma commutes, we get  $g(\alpha(u)) = \beta(f(u)) \in Z(t)$  because  $\beta$  is a morphism in S-sp. Hence  $\alpha(u) \in g^{-1}(Z(t))$ .

(c) For the S-space  $\mathbf{Y}_g$ , the subspace of the ambient space Y associated with an element  $t \in S \setminus R$  is  $g^{-1}(Z(t))$ . Since  $\beta$  is an isomorphism by assumption,  $\beta^{-1}(Z(t)) = V(t)$  and we have

$$\alpha^{-1}[g^{-1}(Z(t))] = f^{-1}[\beta^{-1}(Z(t))] = f^{-1}(V(t)) = X(t),$$

whence  $\mathbf{U}_f = \mathbf{U}_{\alpha}$ .

(d) Suppose f is right minimal and  $\hat{f} = \hat{f}\alpha'$ , for some morphism  $\alpha' : \mathbf{U}_f \to \mathbf{U}_f$  in S-sp. After applying res<sup>S</sup><sub>R</sub> we are in the setting of (b) where g = f,  $\beta = 1_{\mathbf{V}}$ , and  $\alpha = \operatorname{res}^{S}_{R}\alpha' : \mathbf{U} \to \mathbf{U}$ . Since fis right minimal,  $f = f\alpha$  implies  $\alpha$  is an isomorphism. By (c),  $\alpha' = \hat{\alpha}$  whence  $\alpha'$  is an isomorphism according to (a). Thus  $\hat{f}$  is right minimal. Suppose  $\hat{f}$  is right minimal and  $f = f\alpha$ , for some morphism  $\alpha : \mathbf{U} \to \mathbf{U}$  in *R*-sp. Since  $f = \operatorname{res}_R^S \mathbf{1}_{\mathbf{V}} \circ f$ , (c) says that  $\hat{f} = \hat{f}\hat{\alpha}$  whence  $\hat{\alpha}$  is an isomorphism. Then  $\alpha$  is an isomorphism by (a). Therefore f is right minimal.

(e) By the definition of the induction functor, V(s) = 0 for all  $s \in S \setminus F$ .

For the sake of completeness we present the dual statement.

**Proposition 2.17.** Let R be a filter of a poset S. For an S-space  $\mathbf{U} = (U, U(s))_{s \in S}$  and an R-space  $\mathbf{V} = (V, V(r))_{r \in R}$ , let  $g : \operatorname{res}_R^S \mathbf{U} \to \mathbf{V}$  be a morphism in R-sp that is given by a k-linear map  $g : U \to V$ . Set  $\mathbf{V}^g = (Y, Y(s))_{s \in S}$  where Y = V, Y(r) = V(r) for all  $r \in R$ , and Y(t) = g(U(t)) for all  $t \in S \setminus R$ .

- (a)  $\mathbf{V}^g$  is an S-space, and the linear map  $g: U \to V$  gives a morphism  $\check{g}: \mathbf{U} \to \mathbf{V}^g$  in S-sp satisfying  $g = \operatorname{res}_R^S \check{g}$ . Moreover, g is a proper morphism (respectively, an isomorphism) if and only if so is  $\check{g}$ .
- (b) Consider a commutative diagram of R-spaces of the form



where  $\mathbf{X} = (X, X(s)) \in S$ -sp,  $\mathbf{Z} = (Z, Z(r)) \in R$ -sp, and the morphisms  $h : \operatorname{res}_R^S \mathbf{X} \to \mathbf{Z}$ ,  $\alpha : \mathbf{V} \to \mathbf{Z}$ , and  $\beta : \mathbf{U} \to \mathbf{X}$  are given by k-linear maps  $h : X \to Z$ ,  $\alpha : V \to Z$ , and  $\beta : U \to X$ , respectively. The linear map  $\alpha : V \to Z$  gives a morphism  $\alpha' : \mathbf{V}^g \to \mathbf{Z}^h$  in S-sp satisfying  $\alpha = \operatorname{res}_R^S \alpha'$  and  $\check{h}\beta = \alpha \check{g}$ . In particular, the functor  $\operatorname{res}_R^S$  is left quasi full.

- (c) In the setting of (b), suppose  $\beta$  is an isomorphism. Then  $\mathbf{Z}^h = \mathbf{Z}^\alpha$  and  $\alpha' = \check{\alpha} : \mathbf{V}^g \to \mathbf{Z}^\alpha$ .
- (d) In the setting of (a), g is left minimal if and only if so is  $\check{g}$ .
- (e) If J is an ideal of S and  $\mathbf{V} \cong \operatorname{coind}_J^S \mathbf{W}$ , for some  $\mathbf{W} \in J$ -sp, then the S-space  $\mathbf{V}^g = (Y, Y(s))$  of (a) satisfies  $Y(s) \supset \operatorname{Im} g$ , for all  $s \in R \setminus J$ , and  $Y(s) = \operatorname{Im} g$ , for all  $s \in S \setminus [R \cup J]$ .

*Proof.* Dual to the proof of Proposition 2.16.

#### 3. Semisimple S-spaces

**Definition 3.1.** A nonzero S-space V is (relatively) simple if every nonzero proper monomorphism  $\mathbf{U} \to \mathbf{V}$  in S-sp is an isomorphism. An S-space is (relatively) semisimple if it is isomorphic to a direct sum of simple S-spaces, and we denote by S-ss the full subcategory of S-sp determined by the semisimple S-spaces.

**Remark 3.1.** By Proposition 2.10, V is simple if and only if every nonzero proper epimorphism  $V \rightarrow W$  in S-sp is an isomorphism. By Proposition 2.7, an S-space is simple if and only if its ambient space is one-dimensional. By Theorems 2.9(b) and 2.11(a), every projective and every injective S-space is semisimple.

It is very easy to classify the simple S-spaces up to isomorphism. Let U be a finite dimensional k-vector space. For each  $F \in \mathcal{F}(S)$ , denote by  $U_F = U_{\min F}$  the S-space  $\mathbf{X} = (X, X(s))_{s \in S}$  where

$$X(s) = \begin{cases} U & \text{if } s \in F, \\ 0 & \text{if } s \notin F, \end{cases}$$

and, for each  $I \in \mathcal{I}(S)$ , denote by  $U^{I} = U^{\max I}$  the S-space  $\mathbf{Y} = (Y, Y(s))_{s \in S}$  where

$$Y(s) = \begin{cases} 0 & \text{if } s \in I, \\ U & \text{if } s \notin I; \end{cases}$$

the above notation makes sense because, in light of Propositions 2.2(a) and 2.3(a), each filter (respectively, ideal) of S is uniquely determined by the antichain of its minimal (respectively, maximal) elements.

Note that for each  $F \in \mathcal{F}(S)$  we have  $U_F = U^{S \setminus F}$ , and for each  $I \in \mathcal{I}(S)$  we have  $U^I = U_{S \setminus I}$ .

**Proposition 3.1.** The set  $\{k_A \mid A \in \mathcal{A}(S)\} = \{k^A \mid A \in \mathcal{A}(S)\}$  is a complete set of representatives of the isomorphism classes of simple S-spaces.

*Proof.* Let **V** be a simple S-space. In view of Remark 2.3, the set F of elements of S at which **V** is full is a filter, and the set I of elements of S at which **V** is trivial is an ideal, of S. By Remark 3.1, the ambient space of **V** is one-dimensional, whence  $F \cup I = S$  and  $F \cap I = \emptyset$ . Therefore,  $\mathbf{V} \cong k_{\min F} = k^{\max I}$ . It is clear that if  $A, B \in \mathcal{A}(S)$  and  $A \neq B$ , then  $k_A \ncong k_B$  and  $k^A \ncong k^B$ .  $\Box$ 

The following statement was proved in [NR].

**Proposition 3.2.** Every S-space is semisimple if and only if  $w(S) \leq 2$ .

We now study morphisms of semisimple S-spaces into arbitrary S-spaces. Set

$$\mathcal{U} = \bigoplus_{A \in \hat{\mathcal{A}}(S)} k_A = \bigoplus_{A \in \check{\mathcal{A}}(S)} k^A.$$

In the following two propositions we identify an element  $\lambda \in k$  with the multiplication-by- $\lambda$  map  $\lambda 1_k : k \to k$ .

**Proposition 3.3.** Let  $\mathbf{V} = (V, V(s))_{s \in S}$  be an S-space.

(a) Let  $A = a_1 \wedge \cdots \wedge a_m$  be in  $\hat{\mathcal{A}}(S)$  and set  $V(A) = V(a_1) \cap \cdots \cap V(a_m)$ . A k-linear map  $f : k \to V$  gives a morphism  $k_A \to \mathbf{V}$  of S-spaces if and only if  $f(1) \in V(A)$ . The map  $f \mapsto f(1)$  is an isomorphism  $\operatorname{Hom}_{S\operatorname{-sp}}(k_A, \mathbf{V}) \cong V(A)$  of k-spaces functorial in  $\mathbf{V}$ . We identify  $\operatorname{Hom}_{S\operatorname{-sp}}(\mathcal{U}, \mathbf{V})$  with  $\bigoplus_{C \in \hat{\mathcal{A}}(S)} V(C)$  and write the elements of the latter as row vectors  $(v_C)_{C \in \hat{\mathcal{A}}(S)}$  where  $v_C \in V(C)$ .

(b) If 
$$A, B \in \hat{\mathcal{A}}(S)$$
 then  $\operatorname{Hom}_{S-\operatorname{sp}}(k_A, k_B) = \begin{cases} k & \text{if } B \leq A, \\ 0 & \text{otherwise.} \end{cases}$ 

- (c) We identify  $\operatorname{End}_{S-\operatorname{sp}} \mathcal{U}$  with  $k\hat{\mathcal{A}}(S)^{\operatorname{op}}$  by identifying  $\operatorname{Hom}_{S-\operatorname{sp}}(k_A, k_B)$  with the subspace  $ke_{BA}$  of the matrix algebra  $M_{\hat{\mathcal{A}}(S)}(k)$ , for all  $B \leq A$  in  $\hat{\mathcal{A}}(S)$ . Then  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, \mathbf{V})$  is a left  $k\hat{\mathcal{A}}(S)$ -module by means of  $e_{AB} \circ [(v_C)_{C \in \hat{\mathcal{A}}(S)}] = (\delta_{CA}v_B)_{C \in \hat{\mathcal{A}}(S)}$ , where  $B \leq A$  and  $\delta_{CA}$  is the Kronecker symbol.
- (d)  $\Phi_{\hat{a}(S)} \operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, -) \cong \operatorname{coind}_{S}^{\hat{a}(S)} : S-\operatorname{sp} \to \hat{a}(S)-\operatorname{sp}.$

*Proof.* (a) Let  $k_A = (X, X(s))_{s \in S}$ . Since a k-linear map  $f : k \to V$  is uniquely determined by an arbitrary vector  $f(1) \in V$ , then  $f : k_A \to \mathbf{V}$  is a morphism if and only if  $f(1) \in V(s)$  whenever X(s) = k,  $s \in S$ . By the definition of  $k_A$ , we have X(s) = k if and only if  $a_i \leq s$ , for some *i*. Therefore *f* gives a morphism in *S*-sp if and only if  $f(1) \in V(a_i)$ ,  $i = 1, \ldots, m$ ; if and only if  $f(1) \in V(a_1) \cap \cdots \cap V(a_m)$ .

(b) Let  $B = b_1 \wedge \cdots \wedge b_n$ . Putting  $k_B = (V, V(s))_{s \in S}$  and using (a), we see that  $\operatorname{Hom}_{S\operatorname{-sp}}(k_A, k_B) \neq 0$  if and only if  $\operatorname{Hom}_{S\operatorname{-sp}}(k_A, k_B) = k$ ; if and only if  $V(a_1) \cap \cdots \cap V(a_m) = k$ ; if and only if  $V(a_1) = \cdots = V(a_m) = k$ ; if and only if  $\forall i \exists j : b_j \leq a_i$ ; if and only if  $B \leq A$  in  $\hat{\mathcal{A}}(S)$ . (c) Using (b) and Remark 2.1, we have

$$\operatorname{End}_{S-\operatorname{sp}} \mathcal{U} = \operatorname{Hom}_{S-\operatorname{sp}} \left( \bigoplus_{A \in \hat{\mathcal{A}}(S)} k_A, \bigoplus_{B \in \hat{\mathcal{A}}(S)} k_B \right) \cong \left( \operatorname{Hom}_{S-\operatorname{sp}}(k_A, k_B) \right)_{B, A \in \hat{\mathcal{A}}(S)} = k \hat{\mathcal{A}}(S)^{\operatorname{op}}.$$

Then  $e_{AB} \circ (v_C) = (v_C)e_{BA} = (\delta_{CA}v_B)$ , where juxtaposition indicates matrix multiplication.

(d) In view of Proposition 2.2(c), Notation 2.1, and Definition 2.4,  $\hat{\mathcal{A}}(S) = \hat{a}(S)^{\omega}$  where  $\omega = \emptyset$ . By the definition of  $\Phi_{\hat{a}(S)}$ , we have  $\Phi_{\hat{a}(S)}(\operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, \mathbf{V})) = (X, X(B))_{B \in \hat{a}(S)}$  where

$$X = e_{\emptyset\emptyset} \circ \left( \bigoplus_{C \in \hat{\mathcal{A}}(S)} V(C) \right) \cong V(\emptyset) = V,$$

$$X(B) = e_{\emptyset B} \circ \left(\bigoplus_{C \in \hat{\mathcal{A}}(S)} V(C)\right) \cong V(B) = V(b_1) \cap \dots \cap V(b_n), \text{ for all } B = b_1 \wedge \dots \wedge b_n \text{ in } \hat{a}(S).$$

Comparing these formulas with the definition of coinduction in Subsection 2.3, we obtain an isomorphism  $\Phi_{\hat{a}(S)} \operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, \mathbf{V}) \cong \operatorname{coind}_{S}^{\hat{a}(S)} \mathbf{V}$  functorial in  $\mathbf{V}$ .

The following is a contravariant analog of the preceding statement.

**Proposition 3.4.** Let  $\mathbf{V} = (V, V(s))_{s \in S}$  be an S-space.

(a) Let  $B = b_1 \vee \cdots \vee b_n$  be in  $\check{\mathcal{A}}(S)$  and set  $V(B) = \sum_{j=1}^n V(b_j)$ . A k-linear map  $g \in DV$  gives a morphism  $g: \mathbf{V} \to k^B$  of S-spaces if and only if  $g \in V(B)^{\perp}$ , so there is an isomorphism  $\operatorname{Hom}_{S\operatorname{-sp}}(\mathbf{V}, k^B) \cong V(B)^{\perp}$  of k-spaces functorial in  $\mathbf{V}$ . We identify  $\operatorname{Hom}_{S\operatorname{-sp}}(\mathbf{V}, \mathcal{U})$  with  $\bigoplus_{C \in \check{\mathcal{A}}(S)} V(C)^{\perp}$  and write the elements of the latter as column vectors  $(g_C)_{C \in \check{\mathcal{A}}(S)}$  where  $g_C \in V(C)^{\perp}$ .

(b) If  $A, B \in \check{\mathcal{A}}(S)$  then  $\operatorname{Hom}_{S\operatorname{-sp}}(k^A, k^B) = \begin{cases} k & \text{if } B \leq A, \\ 0 & \text{otherwise.} \end{cases}$ 

- (c) We identify  $\operatorname{End}_{S-\operatorname{sp}} \mathcal{U}$  with  $k\check{\mathcal{A}}(S)^{\operatorname{op}}$  by identifying  $\operatorname{Hom}_{S-\operatorname{sp}}(k^A, k^B)$  with the subspace  $ke_{BA}$  of the matrix algebra  $M_{\check{\mathcal{A}}(S)}(k)$ , for all  $B \leq A$  in  $\check{\mathcal{A}}(S)$ . Then  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{V},\mathcal{U})$  is a left  $k\check{\mathcal{A}}(S)^{\operatorname{op}}$ -module by means of  $e_{BA}[(g_C)_{C\in\check{\mathcal{A}}(S)}] = (\delta_{CB}g_A)_{C\in\check{\mathcal{A}}(S)}$ , where  $B \leq A$  and  $\delta_{CB}$  is the Kronecker symbol.
- (d)  $\Phi_{\check{a}(S)^{\mathrm{op}}} \operatorname{Hom}_{S-\mathrm{sp}}(-,\mathcal{U}) \cong \operatorname{Dind}_{S}^{\check{a}(S)} : S-\mathrm{sp} \to \check{a}(S)^{\mathrm{op}}-\mathrm{sp}.$

Proof. (a) The ambient space of  $k^B$  is k, and the subspace of k associated to each  $s \in S \setminus (B)$  is k, where (B) is the ideal of S generated by the antichain B. Therefore, a map  $g \in DV$  is a morphism  $\mathbf{V} \to k^B$  if and only if g(V(s)) = 0 for all  $s \in (B)$ ; if and only if  $g(V(b_j)) = 0$  for  $j = 1, \ldots, n$ ; if and only if  $g \in \bigcap_{j=1}^n (V(b_j)^{\perp}) = (\sum_{j=1}^n V(b_j))^{\perp}$ . (b) Let  $A = a_1 \lor \cdots \lor a_m$ . Since any morphism  $k^A \to k^B$  is of the form  $\lambda \in k$ , applying (a)

(b) Let  $A = a_1 \vee \cdots \vee a_m$ . Since any morphism  $k^A \to k^B$  is of the form  $\lambda \in k$ , applying (a) to  $\mathbf{V} = k^A$  yields that  $\operatorname{Hom}_{S-\operatorname{sp}}(k^A, k^B) \neq 0$  if and only if  $\lambda \in (V(B))^{\perp}$  for some, hence for all,  $\lambda \neq 0$ ; if and only if  $V(b_j) = 0$  for  $j = 1, \ldots, n$ ; if and only if  $\forall j \exists i : b_j \leq a_i$ ; if and only if  $B \leq A$ .

(c) and (d) The argument is dual to the proof of parts (c) and (d) of Proposition 3.3.

We use projectivization (see [ARS, Section I.2]) to obtain equivalences of categories needed for the construction of differentiation algorithms of Section 5. Recall that if U is an object of an additive category  $\mathfrak{A}$ , then add U is the full subcategory of  $\mathfrak{A}$  determined by the direct summands of finite direct sums of copies of U. For  $X, Y \in \mathfrak{A}$  we denote by  $\mathfrak{A}(X,Y)$  the set of morphisms from X to Y in  $\mathfrak{A}$ .

The following proposition is an analog of [ARS, Prop. II.2.1], and the same proof works.

**Proposition 4.1.** Let  $\mathfrak{A}$  be an additive category, let  $U \in \mathfrak{A}$ , and set  $\Gamma = \mathfrak{A}(U, U)$ .

- (a) The representable functor  $e_U = \mathfrak{A}(U, -) : \mathfrak{A} \to \Gamma^{\mathrm{op}}$ -Mod has the following properties.
  - (i)  $e_U : \mathfrak{A}(Z, X) \to \operatorname{Hom}_{\Gamma^{\operatorname{op}}}(e_U(Z), e_U(X))$  is an isomorphism for  $Z \in \operatorname{add} U$  and  $X \in \mathfrak{A}$ . (ii) If  $X \in \text{add } U$  then  $e_U(X) \in \Gamma^{\text{op}}\text{-proj}$ .
  - (iii)  $e_U | \text{add } U : \text{add } U \to \Gamma^{\text{op}}\text{-proj } is an equivalence of categories.$
- (b) The contravariant representable functor  $e^U = \mathfrak{A}(-, U) : \mathfrak{A} \to \Gamma$ -Mod has the following properties.
  - (i)  $e^U : \mathfrak{A}(X, Z) \to \operatorname{Hom}_{\Gamma}(e^U(Z), e^U(X))$  is an isomorphism for  $Z \in \operatorname{add} U$  and  $X \in \mathfrak{A}$ .
  - (ii) If  $X \in \text{add } U$  then  $e^U(X) \in \Gamma$ -proj.
  - (iii)  $e^U | \text{add } U : \text{add } U \to \Gamma \text{-proj } is a duality.$

We apply Proposition 4.1 when  $\mathfrak{A} = S$ -sp and  $U = \mathcal{U} = \bigoplus_{A \in \hat{\mathcal{A}}(S)} k_A = \bigoplus_{A \in \hat{\mathcal{A}}(S)} k^A$ .

# **Proposition 4.2.** Let S be a poset.

- (a) The functor  $\operatorname{coind}_{S}^{\hat{a}(S)}|S\text{-ss}:S\text{-ss}\to \hat{a}(S)\text{-proj is an equivalences of categories.}$
- (b) An  $\hat{a}(S)$ -space **W** is projective if and only if  $\operatorname{res}_{S}^{\hat{a}(S)}$  **W**  $\in$  S-ss and **W** =  $\operatorname{coind}_{S}^{\hat{a}(S)}$  res<sub>S</sub><sup> $\hat{a}(S)$ </sup> **W**.
- (c) The functor  $\operatorname{ind}_{S}^{\check{a}(S)}|S$ -ss : S-ss  $\to \check{a}(S)$ -inj is an equivalence of categories.
- (d) An  $\check{a}(S)$ -space  $\mathbf{W}$  is injective if and only if  $\operatorname{res}_{S}^{\check{a}(S)} \mathbf{W} \in S$ -ss and  $\mathbf{W} = \operatorname{ind}_{S}^{\check{a}(S)} \mathbf{W}$ . (e) If  $w(S) \leq 2$ , then  $\operatorname{coind}_{S}^{\hat{a}(S)} : S$ -sp  $\rightarrow \hat{a}(S)$ -proj and  $\operatorname{ind}_{S}^{\check{a}(S)} : S$ -sp  $\rightarrow \check{a}(S)$ -inj are equivalences of categories.

*Proof.* (a) Since S-ss = add $\mathcal{U}$ , Proposition 3.3(c) and part (iii) of Proposition 4.1(a) say that  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U},-)|S-\operatorname{ss}:S-\operatorname{ss}\to k\hat{\mathcal{A}}(S)$ -proj is an equivalence of categories. In view of part (ii) of Proposition 2.2(c), Notation 2.1, and Definition 2.4,  $\hat{\mathcal{A}}(S) = \hat{a}(S)^{\omega}$  where  $\omega = \emptyset$ . Therefore, Theorem 2.9(d) says that  $\Phi_{\hat{a}(S)}|k\hat{\mathcal{A}}(S)$ -proj :  $k\hat{\mathcal{A}}(S)$ -proj  $\rightarrow \hat{a}(S)$ -proj is an equivalence of categories. Since  $\operatorname{coind}_{S}^{\hat{a}(S)} \cong \Phi_{\hat{a}(S)} \operatorname{Hom}_{S-\operatorname{sp}}(\mathcal{U}, -)$  by Proposition 3.3(d), the statement follows.

(b) The sufficiency follows directly from (a). For the necessity, suppose  $\mathbf{W} = (W, W(A))_{A \in \hat{a}(S)}$ is in  $\hat{a}(S)$ -proj. By (a), there is an isomorphism  $f : \operatorname{coind}_{S}^{\hat{a}(S)} \mathbf{U} \to \mathbf{W}$  for some  $\mathbf{U} \in S$ -ss. Applying  $\operatorname{res}_{S}^{\hat{a}(S)}$  and using Proposition 2.12(b), we obtain an isomorphism  $\operatorname{res}_{S}^{\hat{a}(S)} f: \mathbf{U} \to \operatorname{res}_{S}^{\hat{a}(S)} \mathbf{W}$  whence res<sup> $\hat{a}(S)$ </sup>  $\mathbf{W} \in S$ -ss. By construction, coind<sup> $\hat{a}(S)$ </sup>  $\mathbf{U} = (X, X(A))_{A \in \hat{a}(S)}$  where X = U and  $X(A) = U(a_1) \cap \cdots \cap U(a_m)$  for all  $A = a_1 \wedge \cdots \wedge a_m$ , m > 0. Since f is an isomorphism in  $\hat{a}(S)$ -sp, the isomorphism  $f: U \to W$  of k-spaces satisfies  $f(X(A)) = f(U(a_1) \cap \cdots \cap U(a_m)) = W(a_1) \cap \cdots \cap U(a_m)$  $W(a_m) = W(A). \text{ Hence } \mathbf{W} = \text{coind}_S^{\hat{a}(S)} \text{ res}_S^{\hat{a}(S)} \mathbf{W}.$ (c) Proposition 3.4(c) and part (iii) of Proposition 4.1(b) say that the contravariant functor

 $\operatorname{Hom}_{S-\operatorname{sp}}(-,\mathcal{U})|S-\operatorname{ss}:S-\operatorname{ss}\to k\check{\mathcal{A}}(S)^{\operatorname{op}}$ -proj is a duality. In view of part (ii) of Proposition 2.3(c), Notation 2.2, and Definition 2.7,  $\check{\mathcal{A}}(S) = \check{a}(S)_0$  where  $0 = \emptyset$ . Therefore,  $\check{\mathcal{A}}(S)^{\mathrm{op}} = (\check{a}(S)^{\mathrm{op}})^{\omega}$  where  $\omega = \emptyset$ , so that Theorem 2.9(d) says that  $\Phi_{\check{a}(S)^{\mathrm{op}}} | k\check{\mathcal{A}}(S)^{\mathrm{op}} - \mathrm{proj} : k\check{\mathcal{A}}(S)^{\mathrm{op}} - \mathrm{proj} \to \check{a}(S)^{\mathrm{op}} - \mathrm{proj}$  is an equivalence of categories. Using the duality D:  $\check{a}(S)^{\text{op}}$ -proj  $\rightarrow \check{a}(S)$ -inj, we obtain that

$$D \circ \Phi_{\check{a}(S)^{\mathrm{op}}} \circ \mathrm{Hom}_{S-\mathrm{sp}}(-,\mathcal{U})|S-\mathrm{ss}:S-\mathrm{ss} \to \check{a}(S)-\mathrm{inj}$$

is an equivalence of categories. By Proposition 3.4(d),

$$\operatorname{ind}_{S}^{\check{a}(S)} \cong \mathrm{D} \circ \mathrm{D} \circ \operatorname{ind}_{S}^{\check{a}(S)} \cong \mathrm{D} \circ \Phi_{\check{a}(S)^{\mathrm{op}}} \circ \operatorname{Hom}_{S\operatorname{-sp}}(-,\mathcal{U}).$$

Hence  $\operatorname{ind}_{S}^{\check{a}(S)}|S\text{-ss}: S\text{-ss} \to \check{a}(S)\text{-inj}$  is an equivalence of categories.

- (d) The argument is dual to the proof of (b).
- (e) This is an immediate consequence of (a), (c), and Proposition 3.2.  $\Box$

For a subset R of a poset S, we denote by (S-sp, R-proj), (S-sp, R-inj), or (S-sp, R-ss) the full subcategory of S-sp determined by the S-spaces **X** for which  $\operatorname{res}_R^S \mathbf{X}$  is projective, injective, or semisimple, respectively, in R-sp.

**Proposition 4.3.** Let T be a subset of a poset S. For  $S_{S\setminus T} = (S \setminus T) \cup \hat{a}(T)$  and  $S^{S\setminus T} = (S \setminus T) \cup \check{a}(T)$ , we have:

- (a) The functor  $\operatorname{coind}_{S}^{S_{S\setminus T}}|(S\operatorname{-sp}, T\operatorname{-ss}) : (S\operatorname{-sp}, T\operatorname{-ss}) \to (S_{S\setminus T}\operatorname{-sp}, \hat{a}(T)\operatorname{-proj})$  is an equivalence of categories.
- (b) The functor  $\operatorname{ind}_{S}^{S^{\setminus T}} | (S-\operatorname{sp}, T-\operatorname{ss}) : (S-\operatorname{sp}, T-\operatorname{ss}) \to (S^{S \setminus T}-\operatorname{sp}, \check{a}(T)-\operatorname{inj})$  is an equivalence of categories.
- (c) If  $w(T) \leq 2$ , then the functors  $\operatorname{coind}_{S}^{S_{S\setminus T}} : S\operatorname{-sp} \to (S_{S\setminus T}\operatorname{-sp}, \hat{a}(T)\operatorname{-proj})$  and  $\operatorname{ind}_{S}^{S^{S\setminus T}} : S\operatorname{-sp} \to (S^{S\setminus T}\operatorname{-sp}, \check{a}(T)\operatorname{-inj})$  are equivalences of categories.

*Proof.* (a) Put  $\mathfrak{G} = (S-\operatorname{sp}, T-\operatorname{ss})$  and  $\mathfrak{H} = (S_{S\setminus T}-\operatorname{sp}, \hat{a}(T)-\operatorname{proj})$ . To check that the image of  $\operatorname{coind}_{S}^{S_{S\setminus T}} | \mathfrak{G}$  is contained in  $\mathfrak{H}$ , suppose that  $\mathbf{V} \in S$ -sp satisfies  $\operatorname{res}_{T}^{S} \mathbf{V} \in T$ -ss and set  $\mathbf{W} = \operatorname{coind}_{S}^{S_{S\setminus T}} \mathbf{V}$ . We have to prove that  $\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}} \mathbf{W} \in \hat{a}(T)$ -proj.

Using parts (b) and (c) of Proposition 2.12, we have

$$\operatorname{res}_{T}^{\hat{a}(T)}\left(\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{res}_{T}^{S_{S\setminus T}}\operatorname{coind}_{S}^{S_{S\setminus T}}\mathbf{V} = \operatorname{res}_{T}^{S}\mathbf{V} \in T\text{-ss}$$

and, in view of Proposition 2.13(a),

$$\operatorname{coind}_{T}^{\hat{a}(T)}\operatorname{res}_{T}^{\hat{a}(T)}\left(\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{coind}_{T}^{\hat{a}(T)}\operatorname{res}_{T}^{S}\mathbf{V} = \operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\operatorname{coind}_{S}^{S_{S\setminus T}}\mathbf{V} = \operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}.$$

By Proposition 4.2(b),  $\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}} \mathbf{W}$  is projective.

We also note that the image of the functor  $\operatorname{res}_{S}^{S_{S\setminus T}} | \mathfrak{H}$  is contained in  $\mathfrak{G}$ . Indeed, if  $\mathbf{W} \in S_{S\setminus T}$ -sp has the property that  $\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}} \mathbf{W}$  is projective, then Propositions 4.2(b) and 2.12(c) say that

$$\operatorname{res}_{T}^{\hat{a}(T)}\left(\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{res}_{T}^{S}\left(\operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W}\right) \in T\text{-ss}$$

By Proposition 2.12(b),  $\left(\operatorname{res}_{S}^{S_{S\setminus T}} | \mathfrak{H}\right) \circ \left(\operatorname{coind}_{S}^{S_{S\setminus T}} | \mathfrak{G}\right) = 1_{\mathfrak{G}}$ , and we claim that

$$\left(\operatorname{coind}_{S}^{S_{S\setminus T}} | \mathfrak{G}\right) \circ \left(\operatorname{res}_{S}^{S_{S\setminus T}} | \mathfrak{H}\right) = 1_{\mathfrak{H}}.$$
(1)

To show that equality (1) holds on objects, we have to check that

$$\operatorname{coind}_{S}^{S_{S\setminus T}}\operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W} = \mathbf{W},$$
(2)

provided  $\mathbf{W} \in S_{S \setminus T}$ -sp satisfies res $_{\hat{a}(T)}^{S_{S \setminus T}} \mathbf{W} \in \hat{a}(T)$ -proj. Proposition 2.12(b) says that

$$\operatorname{res}_{S}^{S_{S\setminus T}}\left(\operatorname{coind}_{S}^{S_{S\setminus T}}\operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W}$$

Using Propositions 2.13(a), 2.12(c), and 4.2(b), we also have

$$\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\left(\operatorname{coind}_{S}^{S_{S\setminus T}}\operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{coind}_{T}^{\hat{a}(T)}\operatorname{res}_{T}^{S}\operatorname{res}_{S}^{S_{S\setminus T}}\mathbf{W} =$$

$$\operatorname{coind}_{T}^{\hat{a}(T)}\operatorname{res}_{T}^{\hat{a}(T)}\left(\operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}\right) = \operatorname{res}_{\hat{a}(T)}^{S_{S\setminus T}}\mathbf{W}$$

because res $_{\hat{a}(T)}^{S_{S\setminus T}}$  W is projective. Since  $S_{S\setminus T} = S \cup \hat{a}(T)$ , Proposition 2.12(d) says that equality (2) holds.

It is an immediate consequence of the definitions of restriction and coinduction that equality (1) also holds on morphisms.

- (b) The argument is dual to the proof of (a).
- (c) In view of Proposition 3.2, this is an immediate consequence of (a) and (b).

### 5. DIFFERENTIATION ALGORITHMS

**Definition 5.1.** Let S be a poset, let  $p \in S$ , and let  $\mathbf{V} = (V, V(s))_{s \in S}$  be an S-space. We set  $E^p \mathbf{V} = (X, X(s))_{s \in S}$  where X = V(p) and  $X(s) = V(s) \cap V(p)$ ,  $s \in S$ . Clearly,  $E^p \mathbf{V}$  is an S-space full at p, and the inclusion  $\kappa_p(\mathbf{V}): V(p) \to V$  gives a proper monomorphism  $\kappa_p(\mathbf{V}): E^p\mathbf{V} \to \mathbf{V}$ . By Proposition 2.7(a),  $E^p \mathbf{V}$  is the only S-space with the ambient space V(p) for which the linear map  $\kappa_p(\mathbf{V})$  is a proper morphism. For each morphism  $\alpha: \mathbf{V} \to \mathbf{W}$  in S-sp given by a k-linear map  $\alpha: V \to W$ , where  $\mathbf{W} = (W, W(s))_{s \in S}$ , it is straightforward that the linear map  $\alpha | V(p): V(p) \to W$ W(p) gives a morphism  $E^p \alpha : E^p \mathbf{V} \to E^p \mathbf{W}$  in S-sp.

We also set  $E_p \mathbf{V} = (X, X(s))_{s \in S}$  where X = V/V(p) and X(s) = (V(s) + V(p))/V(p),  $s \in S$ . Clearly,  $E_p \mathbf{V}$  is an S-space trivial at p, and the projection  $\pi_p(\mathbf{V}): V \to V/V(p)$  gives a proper epimorphism  $\pi_p(\mathbf{V}): \mathbf{V} \to E_p \mathbf{V}$ . By Proposition 2.7(b),  $E_p \mathbf{V}$  is the only S-space with the ambient space V/V(p) for which the linear map  $\pi_p(\mathbf{V})$  is a proper morphism. For each morphism  $\alpha : \mathbf{V} \to \mathbf{W}$  in S-sp given by a k-linear map  $\alpha : V \to W$ , the linear map  $\bar{\alpha} : V/V(p) \to W/W(p)$  where  $\bar{\alpha}(v+V(p)) = \alpha(v) + W(p), v \in V$ , gives a morphism  $E_p \alpha : E_p \mathbf{V} \to E_p \mathbf{W}$  in S-sp.

Recall that a morphism  $\alpha : \mathbf{V} \to \mathbf{W}$  in S-sp factors through an S-space  $\mathbf{X}$  if  $\alpha = \beta \gamma$ , for some morphisms  $\beta : \mathbf{X} \to \mathbf{W}, \ \gamma : \mathbf{V} \to \mathbf{X}.$ 

Proposition 5.1. (a) The maps  $E^p, E_p : S$ -sp  $\rightarrow S$ -sp are additive endofunctors.

- (b)  $\kappa_p: E^p \to 1_{S-sp}$  is a monomorphism, and  $\pi_p: 1_{S-sp} \to E_p$  is an epimorphism, of functors. (c)  $E^p = \operatorname{Ker} \pi_p \text{ and } E_p = \operatorname{Coker} \kappa_p.$
- (d) Let  $\mathbf{V} \in S$ -sp. The morphism  $\kappa_p(\mathbf{V}) : E^p \mathbf{V} \to \mathbf{V}$  is left minimal if and only if no nonzero direct summand of V is trivial at p. The morphism  $\pi_p(\mathbf{V}): \mathbf{V} \to E_p \mathbf{V}$  is right minimal if and only if no nonzero direct summand of  $\mathbf{V}$  is full at p.
- (e) Let  $\alpha : \mathbf{V} \to \mathbf{W}$  be a morphism in S-sp.
  - (i) If  $\phi: E^p \mathbf{V} \to E^p \mathbf{W}$  is a morphism in S-sp for which the diagram

commutes, then  $\phi = E^p \alpha$ .

(ii) If  $\psi: E_p \mathbf{V} \to E_p \mathbf{W}$  is a morphism in S-sp for which the diagram

$$\begin{array}{ccc} \mathbf{V} & \xrightarrow{\pi_p(\mathbf{V})} & E_p \mathbf{V} \\ \alpha & & & \downarrow \psi \\ \mathbf{W} & \xrightarrow{\pi_p(\mathbf{W})} & E_p \mathbf{W} \end{array}$$

commutes, then  $\psi = E_p \alpha$ .

(iii)  $E^p \alpha = 0$  if and only if  $\alpha$  factors through an S-space trivial at p, and  $E_p \alpha = 0$  if and only if  $\alpha$  factors through an S-space full at p.

*Proof.* The proof is routine, and we leave it to the reader.

5.1. Filters, ideals, and a dense functor. If a poset S satisfies certain conditions, we construct a dense additive functor S-sp  $\rightarrow U$ -sp, for some poset U, and determine which morphisms of S-spaces the functor sends to zero.

**Proposition 5.2.** Let R be a filter of a poset S satisfying  $w(S \setminus R) \leq 2$ . Let F be a filter of  $S_R = R \cup \hat{a}(S \setminus R)$  that does not contain R and let  $p \in R \setminus F$ . For any F-space  $\mathbf{W} = (W, W(t))_{t \in F}$ , let

$$f: \mathbf{P} \to \operatorname{res}_{\hat{a}(S \setminus R)}^{S_R} \operatorname{ind}_F^{S_R} \mathbf{W}$$
(3)

be a proper epimorphism given by a k-linear map  $f: P \to W$ , where  $\mathbf{P} = (P, P(t))_{t \in \hat{a}(S \setminus R)}$  is a projective  $\hat{a}(S \setminus R)$ -space.

- (a) There exists an  $S_R$ -space  $\mathbf{P}_f = (X, X(t))_{t \in S_R}$  with X = P for which the map  $f : P \to W$ gives a proper epimorphism  $\hat{f} : \mathbf{P}_f \to \operatorname{ind}_F^{S_R} \mathbf{W}$  satisfying  $\operatorname{res}_{\hat{a}(S \setminus R)}^{S_R} \hat{f} = f$ . Moreover,  $\operatorname{Ker} \hat{f} = E^p \mathbf{P}_f$  and  $\hat{f}$  is a cohernel of  $\kappa_p(\mathbf{P}_f)$ .
- (b) If  $\mathbf{V} = (V, V(s))_{s \in S}$  is a unique up to isomorphism S-space satisfying  $\mathbf{P}_f \cong \operatorname{coind}_S^{S_R} \mathbf{V}$ (see Proposition 4.3(c)), then

$$\mathbf{W} \cong \operatorname{res}_F^{S_R} E_p \operatorname{coind}_S^{S_R} \mathbf{V}.$$

- (c) If  $\alpha : \mathbf{U} \to \mathbf{V}$  is a morphism in S-sp, then  $\operatorname{res}_F^{S_R} E_p \operatorname{coind}_S^{S_R} \alpha = 0$  if and only if  $\alpha$  factors through an S-space full at p.
- (d) The morphism (3) is a projective cover if and only if the S-space V in (b) has no nonzero direct summand full at p. Hence if A is the full subcategory of S-sp determined by the S-spaces with no nonzero direct summand full at p, the restriction of the additive functor res<sup>S<sub>R</sub></sup><sub>F</sub> E<sub>p</sub> coind<sup>S<sub>R</sub></sup><sub>S</sub> : S-sp → F-sp to A is dense.

Proof. (a) By Proposition 2.5(a),  $\hat{a}(S \setminus R) = S_R \setminus R$  is an ideal of  $S_R$ , so the existence of  $\hat{f}$  follows from Proposition 2.16(a). Since F is a filter of  $S_R$  and  $p \in R \setminus F = S_R \setminus [\hat{a}(S \setminus R) \cup F]$ , Proposition 2.16(e) says that X(p) = Ker f. By Proposition 2.6(c), X(p) is the ambient space of  $\text{Ker } \hat{f}$ , and the inclusion  $\kappa_p(\mathbf{P}_f) : X(p) \to X$  gives a kernel of  $\hat{f}$ ,  $\text{Ker } \hat{f} \to \mathbf{P}_f$ , which is a proper monomorphism by Proposition 2.7(c). By the remark about the uniqueness of the subspace structure on X(p) made in Definition 5.1,  $\text{Ker } \hat{f} = E^p \mathbf{P}_f$ . Since  $\hat{f}$  is a proper epimorphism, it is a cokernel of its kernel by Proposition 2.7(d), which finishes the proof of (a).

(b) By (a) and Proposition 5.1(c),  $\operatorname{ind}_{F}^{S_{R}} \mathbf{W} \cong E_{p} \mathbf{P}_{f} \cong E_{p} \operatorname{coind}_{S}^{S_{R}} \mathbf{V}$ . Applying the functor  $\operatorname{res}_{F}^{S_{R}}$  and using Proposition 2.12(b), we get  $\mathbf{W} \cong \operatorname{res}_{F}^{S_{R}} E_{p} \operatorname{coind}_{S}^{S_{R}} \mathbf{V}$ .

(c) Since  $\operatorname{res}_{F}^{S_{R}}$  is a faithful functor by Proposition 2.12(b),  $\operatorname{res}_{F}^{S_{R}} E_{p} \operatorname{coind}_{S}^{S_{R}} \alpha = 0$  if and only if  $E_{p} \operatorname{coind}_{S}^{S_{R}} \alpha = 0$ ; if and only if  $\operatorname{coind}_{S}^{S_{R}} \alpha$  factors through an  $S_{R}$ -space full at p according to part (iii) of Proposition 5.1(e); if and only if  $\alpha$  factors through an S-space full at p in view of Proposition 2.12(b): indeed, if  $\operatorname{coind}_{S}^{S_{R}} \alpha = \beta \gamma$  then  $\alpha = \operatorname{res}_{S}^{S_{R}} \beta \circ \operatorname{res}_{S}^{S_{R}} \gamma$ , and the converse is clear.

(d) By Theorem 2.9(f), the morphism  $f : \mathbf{P} \to \operatorname{res}_{\hat{a}(S \setminus R)}^{S_R} \mathbf{W}$  is a projective cover if and only if it is right minimal; if and only if the morphism  $\hat{f} : \mathbf{P}_f \to \operatorname{ind}_F^{S_R} \mathbf{W}$  is right minimal according to Proposition 2.16(d) (remember,  $\hat{a}(S \setminus R)$  is an ideal of  $S_R$ ); if and only if the morphism  $\pi_p(\mathbf{P}_f) :$  $\mathbf{P}_f \to E_p \mathbf{P}_f$  is right minimal using the fact that  $\hat{f}$  is a proper epimorphism and  $\operatorname{Ker} \hat{f} = E^p \mathbf{P}_f$  by (a); if and only if  $\pi_p(\operatorname{coind}_S^{S_R} \mathbf{V}) : \operatorname{coind}_S^{S_R} \mathbf{V} \to E_p \operatorname{coind}_S^{S_R} \mathbf{V}$  is right minimal using (b); if and

only if no nonzero direct summand of  $\operatorname{coind}_{S}^{S_{R}} \mathbf{V}$  is full at p according to Proposition 5.1(d); if and only if no nonzero direct summand of  $\mathbf{V}$  is full at p using Proposition 2.12(b).

While Proposition 5.2 deals with two filters, the dual statement deals with two ideals.

**Proposition 5.3.** Let R be an ideal of a poset S satisfying  $w(S \setminus R) \leq 2$ . Let J be an ideal of  $S^R = R \cup \check{a}(S \setminus R)$  that does not contain R and let  $p \in R \setminus J$ . For any J-space  $\mathbf{W} = (W, W(t))_{t \in J}$ , let

$$g: \operatorname{res}_{\check{a}(S\backslash R)}^{S^R} \operatorname{coind}_J^{S^R} \mathbf{W} \to \mathbf{I}$$

$$\tag{4}$$

be a proper monomorphism given by a k-linear map  $g: W \to I$ , where  $\mathbf{I} = (I, I(t))_{t \in \check{a}(S \setminus R)}$  is an injective  $\check{a}(S \setminus R)$ -space.

- (a) There exists an  $S^R$ -space  $\mathbf{I}^g = (Y, Y(t))_{t \in S^R}$  with Y = I for which the map  $g : W \to I$ gives a proper monomorphism  $\check{g} : \operatorname{coind}_J^{S^R} \mathbf{W} \to \mathbf{I}^g$  satisfying  $\operatorname{res}_{\check{a}(S \setminus R)}^{S^R} \check{g} = g$ . Moreover,  $\operatorname{Coker} \check{g} = E_p \mathbf{I}^g$  and  $\check{g}$  is a kernel of  $\pi_p(\mathbf{I}^g)$ .
- (b) If  $\mathbf{V} = (V, V(s))_{s \in S}$  is a unique up to isomorphism S-space satisfying  $\mathbf{I}^g \cong \operatorname{ind}_S^{S^R} \mathbf{V}$  (see Proposition 4.3(c)), then

$$\mathbf{W} \cong \operatorname{res}_{J}^{S^{R}} E^{p} \operatorname{ind}_{S}^{S^{R}} \mathbf{V}.$$

- (c) If  $\alpha : \mathbf{U} \to \mathbf{V}$  is a morphism in S-sp, then  $\operatorname{res}_J^{S^R} E^p \operatorname{ind}_S^{S^R} \alpha = 0$  if and only if  $\alpha$  factors through an S-space trivial at p.
- (d) The morphism (4) is an injective envelope if and only if the S-space V in (b) has no nonzero direct summand trivial at p. Hence if 𝔅 is the full subcategory of S-sp determined by the S-spaces with no nonzero direct summand trivial at p, the restriction of the additive functor res<sub>J</sub><sup>SR</sup> E<sup>p</sup> ind<sub>S</sub><sup>SR</sup> : S-sp → J-sp to 𝔅 is dense.

*Proof.* The proof is dual to that of Proposition 5.2.

In the following subsections we will apply Propositions 5.2 and 5.3 by making specific choices for the indicated filters and ideals.

# 5.2. Differentiation with respect to a principal filter.

**Definition 5.2.** For any  $p \in S$ , the poset  $S_{\langle p \rangle} = \langle p \rangle \cup \hat{a}(S \setminus \langle p \rangle)$  is a subposet of  $\hat{a}(S)$ , and Propositions 2.4(a) and 2.1(b) imply that  $\hat{a}(p)$  is the principal ideal of  $S_{\langle p \rangle}$  generated by p. Hence

$$S_p = S_{\langle p \rangle} \setminus \hat{a}((p)) = (\langle p \rangle \setminus \{p\}) \cup \hat{a}(S \setminus [\langle p \rangle \cup (p)])$$

is a filter of  $S_{\langle p \rangle}$  satisfying  $\{p\} = \langle p \rangle \setminus S_p$ .

For the rest of this subsection we assume that  $w(S \setminus \langle p \rangle) \leq 2$ . Then Proposition 5.2 applies to the filters  $R = \langle p \rangle$  of S and  $F = S_p$  of  $S_{\langle p \rangle}$ , and we say that the functor  $\operatorname{res}_{S_p}^{S_{\langle p \rangle}} E_p \operatorname{coind}_{S}^{S_{\langle p \rangle}}$ : S-sp  $\rightarrow S_p$ -sp suggested by Proposition 5.2(b) is the *differentiation functor*, and  $S_p$  is the *derived poset* of S, with respect to the principal filter  $\langle p \rangle$ .

Recall that the category S-sp is a k-category, i.e.,  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{U}, \mathbf{V})$  is a k-vector space, for all  $\mathbf{U}, \mathbf{V}$ , and the composition of morphisms is bilinear (see [ARS, Section II.1]). Denote by  $\mathfrak{F}(\mathbf{U}, \mathbf{V})$  the subset of  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{U}, \mathbf{V})$  consisting of all morphisms that factor through an S-space full at p. Then  $\mathfrak{F}$  is a relation on S-sp, i.e.,  $\mathfrak{F}(\mathbf{U}, \mathbf{V})$  is a k-subspace of  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{U}, \mathbf{V})$ , for all  $\mathbf{U}, \mathbf{V}$ , and whenever  $g \in \mathfrak{F}(\mathbf{U}, \mathbf{V})$ ,  $f \in \operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{X}, \mathbf{U})$ ,  $h \in \operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{V}, \mathbf{W})$ , we have  $hgf \in \mathfrak{F}(\mathbf{X}, \mathbf{W})$ . One defines  $S-\operatorname{sp}/\mathfrak{F}$ , the factor category of S-sp modulo the relation  $\mathfrak{F}$ , as follows (see [ARS, Section II.1]). The objects of  $S-\operatorname{sp}/\mathfrak{F}$  are the same as those of S-sp. The morphisms from  $\mathbf{U}$  to  $\mathbf{V}$  are the elements of the factor space  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{U}, \mathbf{V})/\mathfrak{F}(\mathbf{U}, \mathbf{V})$ , and the composition in S-sp/\mathfrak{F} is defined for

 $\mathbf{U}, \mathbf{V}, \mathbf{W} \text{ in } S - \operatorname{sp} / \mathfrak{F} \text{ by } (h + \mathfrak{F}(\mathbf{V}, \mathbf{W})) (g + \mathfrak{F}(\mathbf{U}, \mathbf{V})) = (hg + \mathfrak{F}(\mathbf{U}, \mathbf{W})), \text{ for all } g \in \operatorname{Hom}_{S - \operatorname{sp}}(\mathbf{U}, \mathbf{V})$ and  $h \in \operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{V}, \mathbf{W})$ .

- (a) Denote by  $\mathfrak{A}$  the full subcategory of S-sp determined by the S-spaces with Theorem 5.4. no nonzero direct summand full at p. The restriction of the functor res<sup>S<sub>(p)</sub></sup><sub>S<sub>p</sub></sub> E<sub>p</sub> coind<sup>S<sub>(p)</sub></sup><sub>S</sub> : S-sp → S<sub>p</sub>-sp to the subcategory A is a representation equivalence of categories A → S<sub>p</sub>-sp.
  (b) The functor res<sup>S<sub>(p)</sub></sup><sub>S<sub>p</sub></sub> E<sub>p</sub> coind<sup>S<sub>(p)</sub></sup><sub>S<sup>(p)</sup></sub> induces an equivalence of categories S-sp/𝔅 ≅ S<sub>p</sub>-sp.

  - (c) For each S-space  $\mathbf{V} = (V, V(s))_{s \in S}$ , we have  $\operatorname{res}_{S_p}^{S_{\langle p \rangle}} E_p \operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V} = (X, X(t))_{t \in S_p}$  where  $X = V/V(p), \ X(t) = (V(t) + V(p))/V(p) \ if \ t \in S \setminus (p), \ and \ X(a \land b) = (V(a) \cap V(b) + V(b))/V(b)$ V(p)/V(p) if  $a, b \in S \setminus [\langle p \rangle \cup (p)]$  and  $\{p, a, b\}$  is an antichain. If  $\mathbf{U} = (U, U(s))_{s \in S}$  is an S-space and  $f: \mathbf{U} \to \mathbf{V}$  is a mophism given by a k-linear map  $f: U \to V$ , then the morphism  $\operatorname{res}_{S_p}^{S_{\langle p \rangle}} E_p \operatorname{coind}_{S}^{S_{\langle p \rangle}} f$  is given by the k-linear map  $\bar{f}: U/U(p) \to V/V(p)$  where  $\bar{f}(u+U(p)) = f(u) + V(p), u \in U$ .

*Proof.* (a) The functor is dense according to Proposition 5.2(d), so it remains to show that the functor is full and reflects isomorphisms.

As noted in Definition 5.2,  $S_p$  is a filter of  $S_{\langle p \rangle}$ , and  $S_{\langle p \rangle} \setminus S_p$  is the principal ideal of  $S_{\langle p \rangle}$ generated by p. By Proposition 2.12(e),  $\operatorname{res}_{S_p}^{S_{\langle p \rangle}} | \mathfrak{C} : \mathfrak{C} \to S_p$ -sp is an equivalence of categories, where  $\mathfrak{C}$  is the full subcategory of  $S_{\langle p \rangle}$ -sp determined by the  $S_{\langle p \rangle}$ -spaces trivial at p. By Definition 5.1, the image of the functor  $E_p : S_{\langle p \rangle}$ -sp  $\to S_{\langle p \rangle}$ -sp is contained in  $\mathfrak{C}$ . Hence it suffices to show that the functor  $E_p \operatorname{coind}_S^{S_{\langle p \rangle}} : \mathfrak{A} \to S_{\langle p \rangle}$ -sp is full and reflects isomorphisms. Multiplying the epimorphism of functors  $\pi_p : 1_{S_{\langle p \rangle} \text{-sp}} \to E_p$  by the functor  $\operatorname{coind}_{S}^{S_{\langle p \rangle}}$  on the right, we obtain an epimorphism of functors  $\pi_p \operatorname{coind}_{S}^{S_{\langle p \rangle}} : \operatorname{coind}_{S}^{S_{\langle p \rangle}} \to E_p \operatorname{coind}_{S}^{S_{\langle p \rangle}}$ . Since  $\langle p \rangle$  is a filter of  $S_{\langle p \rangle}$  by Proposition 2.5(a), then  $\hat{a}(S \setminus \langle p \rangle)$  is an ideal, so Proposition 2.16

applies.

We show that the functor  $E_p \operatorname{coind}_S^{S_{\langle p \rangle}} : S\operatorname{-sp} \to S_{\langle p \rangle}\operatorname{-sp}$  is full. Let  $\mathbf{V} = (V, V(s))_{s \in S}, \mathbf{Z} =$  $(Z, Z(s))_{s \in S}$  be S-spaces and let  $\beta : E_p \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{V} \to E_p \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{Z}$  be a morphism in  $S_{\langle p \rangle}$ -sp. We obtain the diagram

$$\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V} \xrightarrow{\pi_{p} \left( \operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V} \right)} E_{p} \operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V}$$

$$\downarrow^{\beta}$$

$$\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{Z} \xrightarrow{\pi_{p} \left( \operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{Z} \right)} E_{p} \operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{Z}$$

in  $S_{\langle p \rangle}$ -sp. As noted in Definition 5.1, the horizontal arrows are proper epimorphisms.

Applying the functor  $\operatorname{res}_{\hat{a}(S\setminus\langle p\rangle)}^{S_{\langle p\rangle}}$ , we obtain the commutative diagram

in  $\hat{a}(S \setminus \langle p \rangle)$ -sp, where  $f = \operatorname{res}_{\hat{a}(S \setminus \langle p \rangle)}^{S_{\langle p \rangle}} \pi_p(\operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{V}), \ g = \operatorname{res}_{\hat{a}(S \setminus \langle p \rangle)}^{S_{\langle p \rangle}} \pi_p(\operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{Z})$  and we denote by the same letters the k-linear maps that give these morphisms:  $f: V \to V/V(p), q$ :  $Z \to Z/Z(p)$ . The morphism  $\alpha$  making the diagram commute exists because f and g are proper

epimorphisms by Proposition 2.6(b) and Remark 2.2, and Proposition 4.3(c) says that the domains of f and q are projective because  $w(S \setminus \langle p \rangle) < 2$ .

The subspaces  $V(p) \subset V$  and  $Z(p) \subset Z$  are the kernels of the k-linear maps  $f: V \to V$ V/V(p) and  $g: Z \to Z/Z(p)$ , respectively, whence  $V(t) = f^{-1}[(V(t) + V(p))/V(p)]$  and Z(t) = $f^{-1}[(Z(t)+Z(p))/Z(p)]$  for all  $t \ge p, t \in S_{\langle p \rangle}$ . In the notation of Proposition 2.16(a), we have  $\hat{f} = \pi_p(\operatorname{coind}_S^{S_{(p)}} \mathbf{V})$  and  $\hat{g} = \pi_p(\operatorname{coind}_S^{S_{(p)}} \mathbf{Z})$ , and Proposition 2.16(b) gives the commutative diagram

in  $S_{\langle p \rangle}$ -sp, where  $\operatorname{res}_{\hat{a}(S \setminus \langle p \rangle)}^{S_{\langle p \rangle}} \alpha' = \alpha$ . By Proposition 5.1(e) we have  $\beta = E_p \alpha'$ , and Proposition 2.12(b) says that  $\alpha' = \operatorname{coind}_{S}^{S_{\langle p \rangle}} \gamma$ , for some morphism  $\gamma$  in S-sp. Thus  $\beta = E_p \operatorname{coind}_{S}^{S_{\langle p \rangle}} \gamma$ , which proves that the functor  $E_p \operatorname{coind}_S^{S_{\langle p \rangle}}$  is full.

To show the functor  $E_p \operatorname{coind}_S^{S_{\langle p \rangle}} : \mathfrak{A} \to S_{\langle p \rangle}$ -sp reflects isomorphisms, let  $\mathbf{V}, \mathbf{Z} \in \mathfrak{A}$  and let  $\gamma: \mathbf{V} \to \mathbf{Z}$  be a morphism in S-sp for which  $\beta = E_p \operatorname{coind}_S^{S_{\langle p \rangle}} \gamma: E_p \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{V} \to E_p \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{Z}$ is an isomorphism in  $S_{\langle p \rangle}$ -sp. Setting  $\alpha' = \operatorname{coind}_S^{S_{\langle p \rangle}} \gamma: \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{V} \to \operatorname{coind}_S^{S_{\langle p \rangle}} \mathbf{Z}$ , we see that  $\alpha'$ and  $\beta$  just defined make the diagram (6) commute because  $\pi_p \operatorname{coind}_S^{S_{\langle p \rangle}} : \operatorname{coind}_S^{S_{\langle p \rangle}} \to E_p \operatorname{coind}_S^{S_{\langle p \rangle}}$ is a natural transformation. Setting  $\alpha = \operatorname{res}_{\hat{a}(S \setminus \langle p \rangle)}^{S_{\langle p \rangle}} \alpha'$ , we get that the diagram (5) also commutes. Since  $\beta$  is an isomorphism, Proposition 2.16(c) says that  $\alpha' = \hat{\alpha}$ .

Since coinduction is a fully faithful additive functor by Proposition 2.12(b), each direct summand of  $\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V}$  is isomorphic to  $\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{X}$ , where  $\mathbf{X}$  is a direct summand of  $\mathbf{V}$ . Since  $\mathbf{V}, \mathbf{Z} \in \mathfrak{A}$ , no nonzero direct summand of  $\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{V}$  or  $\operatorname{coind}_{S}^{S_{\langle p \rangle}} \mathbf{Z}$  is full at p. By Proposition 5.1(d),  $\hat{f}$  and  $\hat{q}$  are right minimal morphisms. By Proposition 2.16(d), f and g are right minimal morphisms, and we already noted that they are proper epimorphisms. Hence, they are projective covers by Theorem 2.9(f). Since  $\beta$  is an isomorphism, so is  $\alpha$ , and Proposition 2.16(a) says that  $\hat{\alpha} = \alpha'$  is an isomorphism. Since coinduction reflects isomorphisms by Proposition 2.12(b),  $\gamma$  is an isomorphism. We have proved that the functor  $E_p \operatorname{coind}_S^{S_{\langle p \rangle}}$  restricted to  $\mathfrak{A}$  reflects isomorphisms. (b) This is a direct consequence of (a) and Proposition 5.2(c).

(c) In view of the way the posets  $S_{\langle p \rangle}$  and  $S_p$  are constructed, this follows immediately from the definition of coinduction and Definition 5.1. 

Recall that the poset S is of finite representation type if the cardinality  $\nu(S)$  of the set of isomorphism classes of indecomposable S-spaces is finite. We have the following consequence of the preceding theorem.

**Corollary 5.5.** If  $p \in S$  satisfies  $w(S \setminus \langle p \rangle) \leq 2$ , then

$$\nu(S) = \nu(S_p) + |a(S \setminus \langle p \rangle)| + 1.$$

In particular, S is of finite representation type if and only if  $S_p$  is of finite representation type.

*Proof.* Since a representation equivalence of categories establishes a bijection between isomorphism classes of indecomposable objects, Theorem 5.4 implies that  $\nu(S)$  equals  $\nu(S_p)$  plus the cardinality of the set of isomorphism classes of indecomposable S-spaces full at p. Since  $S \setminus \langle p \rangle$  is an ideal of S, Proposition 2.12(f) says that the latter cardinality is  $\nu(S \setminus \langle p \rangle)$ . By Proposition 3.2, every

indecomposable  $(S \setminus \langle p \rangle)$ -space is simple. In view of Notation 2.1 and using the bijection between antichains and isomorphism classes of simple  $(S \setminus \langle p \rangle)$ -spaces established by Proposition 3.1, we get  $\nu(S \setminus \langle p \rangle) = |\mathcal{A}(S \setminus \langle p \rangle)| = |a(S \setminus \langle p \rangle)| + 1.$ 

Recall that the *Hasse diagram* of a poset S is the quiver with the set of vertices S in which there is a single arrow  $a \to b$  if and only if a < b and no element  $c \in S$  satisfies a < c < b; there are no other arrows in the Hasse diagram.

**Example 5.6.** Differentiation with respect to a principal filter can be more efficient than differentiation with respect to a minimal element. For example, let S be given by the following Hasse diagram.



Then the Hasse diagrams of  $S_p$  and  $S_g$ , respectively, are



5.3. Differentiation with respect to a principal ideal. The constructions that follow are dual to the ones of the previous subsection.

**Definition 5.3.** For any  $p \in S$ , the poset  $S^{(p)} = (p) \cup \check{a}(S \setminus (p))$  is a subposet of  $\check{a}(S)$ , and Propositions 2.4(b) and 2.1(a) imply that  $\check{a}(\langle p \rangle)$  is the principal filter of  $S^{(p)}$  generated by p. Hence

$$S^{p} = S^{(p)} \setminus \check{a}(\langle p \rangle) = ((p) \setminus \{p\}) \cup \check{a}(S \setminus [(p) \cup \langle p \rangle])$$

is an ideal of  $S^{(p)}$  satisfying  $\{p\} = (p) \setminus S^p$ . For the rest of this subsection we assume that  $w(S \setminus (p)) \leq 2$ . Then Proposition 5.3 applies to the ideals R = (p) of S and  $J = S^p$  of  $S^{(p)}$ , and we say that the functor  $\operatorname{res}_{S^p}^{S^{(p)}} E^p \operatorname{ind}_S^{S^{(p)}} : S\operatorname{-sp} \to S^p\operatorname{-sp}$  suggested by Proposition 5.3(b) is the *differentiation functor*, and  $S^p$  is the *derived poset* of S, with respect to the principal ideal (p).

For all  $\mathbf{U}, \mathbf{V} \in S$ -sp, denote by  $\mathfrak{T}(\mathbf{U}, \mathbf{V})$  the subset of  $\operatorname{Hom}_{S-\operatorname{sp}}(\mathbf{U}, \mathbf{V})$  consisting of all morphisms that factor through an S-space trivial at p. Then  $\mathfrak{T}$  is a relation on S-sp.

Theorem 5.7. (a) Denote by  $\mathfrak{B}$  the full subcategory of S-sp determined by the S-spaces with no nonzero direct summand trivial at p. The restriction of the functor  $\operatorname{res}_{S^p}^{S^{(p)}} E^p \operatorname{ind}_S^{S^{(p)}}$ : S-sp  $\to S^p$ -sp to the subcategory  $\mathfrak{B}$  is a representation equivalence of categories  $\mathfrak{B} \to$  $S^p$ -sp.

(b) The functor  $\operatorname{res}_{S^p}^{S^{(p)}} E^p \operatorname{ind}_S^{S^{(p)}}$  induces an equivalence of categories S-sp / $\mathfrak{T} \cong S^p$ -sp.

(c) For each S-space  $\mathbf{V} = (V, V(s))_{s \in S}$ , we have  $\operatorname{res}_{S^p}^{S^{(p)}} E^p \operatorname{ind}_S^{S^{(p)}} \mathbf{V} = (X, X(t))_{t \in S^p}$  where  $X = V(p), \ X(t) = V(t) \cap V(p)$  if  $t \in S \setminus \langle p \rangle$ , and  $X(a \lor b) = (V(a) + V(b)) \cap V(p)$  if  $a, b \in S \setminus [(p) \cup \langle p \rangle]$  and  $\{p, a, b\}$  is an antichain. If  $\mathbf{U} = (U, U(s))_{s \in S}$  is an S-space and  $f : \mathbf{U} \to \mathbf{V}$  is a mophism given by a k-linear map  $f : U \to V$ , then the morphism  $\operatorname{res}_{S_p}^{S_{(p)}} E_p \operatorname{coind}_S^{S_{(p)}} f$  is given by the k-linear map  $f | U(p) : U(p) \to V(p)$ .

Proof. The proof is dual to that of Theorem 5.4.

**Corollary 5.8.** If  $p \in S$  satisfies  $w(S \setminus (p)) \leq 2$ , then

$$(S) = \nu(S^p) + \left| a \left( S \setminus (p) \right) \right| + 1.$$

In particular, S is of finite representation type if and only if  $S^p$  is of finite representation type. Proof. Dual to the proof of Corollary 5.5.

5.4. Differentiation and Duality. We show that the duality D commutes with the functors  $E^p$  and  $E_p$ . By Proposition 2.14, the duality commutes with restriction, induction, and coinduction. Hence, it commutes with the differentiation functors with respect to a principal filter and to a principal ideal considered in the subsections 5.2 and 5.3, respectively.

**Lemma 5.9.** Let  $p \in S$ . Then  $D E_p \cong E^p D$ , *i. e.*, the following diagram commutes up to isomorphism.

$$\begin{array}{cccc} S\text{-sp} & \xrightarrow{E_p} & S\text{-sp} \\ D & & & \downarrow D \\ S^{\text{op}}\text{-sp} & \xrightarrow{E^p} & S^{\text{op}}\text{-sp} \end{array}$$

*Proof.* Let **V** be an S-space. Then  $E_p$ **V** is the S-space (X, X(s)) with X = V/V(p) and X(s) = (V(s) + V(p))/V(p), so that  $D E_p$ **V** is the S<sup>op</sup>-space (Y, Y(s)) with Y = D(V/V(p)) and

$$Y(s) = \left[ \left( V(s) + V(p) \right) / V(p) \right]^{\perp} = \{ f \in \mathcal{D} \left( V / V(p) \right) \mid f \left[ \left( V(s) + V(p) \right) / V(p) \right] = 0 \}.$$

On the other hand, D V is the  $S^{\text{op}}$ -space (X', X'(s)) with X' = D V and  $X'(s) = V(s)^{\perp}$ , so that  $E^p D \mathbf{V}$  is the  $S^{\text{op}}$ -space (Y', Y'(s)) with  $Y' = X'(p) = V(p)^{\perp}$  and

$$Y'(s) = X'(s) \cap X'(p) = V(s)^{\perp} \cap V(p)^{\perp} = (V(s) + V(p))^{\perp}.$$

Note that the k-linear map  $\varphi(\mathbf{V}) : \mathcal{D}(V/V(p)) \to V(p)^{\perp}$  given by  $[\varphi(\mathbf{V})](f) = f \circ \pi_p(\mathbf{V})$ , where  $\pi_p(\mathbf{V}) : V \to V/V(p)$  is the natural projection, is an isomorphism of k-spaces. Also observe that  $[\varphi(\mathbf{V})](Y(s)) = Y'(s)$  for  $s \in S$ , whence  $\varphi(\mathbf{V}) : \mathcal{D}E_p\mathbf{V} \to E^p\mathbf{D}\mathbf{V}$  is an isomorphism of  $S^{\text{op}}$ -spaces. It easy to check that the family  $\varphi = (\varphi(\mathbf{V}))_{\mathbf{V}\in S\text{-sp}}$  is a natural transformation  $\varphi: \mathcal{D}E_p \to E^p\mathbf{D}$ .

The following statement imposes no restrictions on the element p and thus extends [S, Corollary 7.10, p. 85].

**Proposition 5.10.** Let  $p \in S$ . Then the following diagram commutes up to isomorphism.



*Proof.* This follows from Proposition 2.14 and Lemma 5.9.

22

#### References

- [ARS] M. Auslander, I. Reiten and S. O. Smalø, Representation theory of Artin algebras, Cambridge studies in advanced mathematics, vol. 36, Cambridge University Press, New York, 1997.
- [E] K. Engel, Sperner theory, Cambridge University Press, New York, 1997.
- [G1] P. Gabriel, Représentations indécomposables des ensembles ordonnés, Séminaire Dubreil (Algèbre), 26e année, 1972/73, no 13, 13/01–13/04.
- [G2] P. Gabriel, Indecomposable representations. II. Symposia Mathematica, Vol. XI (Convegno di Algebra Commutativa, INDAM, Rome, 1971), pp. 81104. Academic Press, London, 1973.
- [GR] P. Gabriel and A.V. Roiter, Representations of finite-dimensional algebras, Springer-Verlag, Berlin and New York, 1997.
- [Mac] S. Mac Lane, *Homology*, Springer-Verlag, Berlin and New York, 1963.
- [M] B. Mitchell, *Theory of categories*, Academic Press, New York and London, 1965.
- [N] L. A. Nazarova, Representations of quivers of infinite type. (Russian) Izv. Akad. Nauk SSSR Ser. Mat. 37 (1973), 752791. English transl.: Math. USSR Izvestija, 7 (1973), 749–792.
- [NR] L. A. Nazarova and A. V. Roiter, Representations of partially ordered sets, Zap. Nauchn. Semin. Leningr. Otd. Mat. Inst. Steklova 28 (1972), 5–31. English transl.: J. Sov. Math. 3 (1975), 585–606.
- [Re] M. Reitenbach, A homological approach to differentiation algorithms and dimensions of finite type for representations of partially ordered sets. Thesis (Ph.D.) – Syracuse University. 2005. 98 pp. ISBN: 978-0542-37051-9 ProQuest LLC, Thesis.
- [R] C. M. Ringel, Tame algebras and integral quadratic forms, Lecture Notes in Math., vol. 1099, Springer-Verlag, Berlin and New York, 1984.
- [S] D. Simson, Linear representations of partially ordered sets and vector space categories, Gordon and Breach Science Publishers, 1992.

Department of Mathematics, Syracuse University, Syracuse, NY 13244 *E-mail address*: mkleiner@syr.edu

 $\label{eq:constraint} \begin{array}{l} \text{Department of Mathematics and Statistics, Colorado Mesa University, Grand Junction, CO 81501} \\ E-mail \ address: \texttt{mreitenb@coloradomesa.edu} \end{array}$